

06-16-1999

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



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Patent and Trademark Office

JUN 1 1999

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Shahrokh Etemad 4) William C. Pfefferle
2) Gregory Scott Jackson 5) Lance L. Smith
3) Md. Hasan Ul Karim

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: Inventor 1: 6/29/98; Inventor 2: 6/29/98

Inventor 3: 6/29/98; Inventor 4: 7/2/98; Inventor 5: 6/29/98

2. Name and address of receiving party(ies)

Name: Precision Combustion, Inc.

Internal Address: _____

Street Address: _____

25 Science Park, MS 24City: New Haven State: CT ZIP: 06511Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

(09/097,175)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard R. Michaud

Internal Address: _____

McCormick, Paulding & Huber LLP

Street Address: _____

CityPlace II, 185 Asylum StreetCity: Hartford State: CT ZIP: 061036. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed *PREVIOUSLY SUBMITTED
☐ Authorized to be charged to deposit account

8. Deposit account number:

13-0235

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Richard R. Michaud

Name of Person Signing

Richard R. Michaud

Signature

6/9/99

Date

Total number of pages including cover sheet, attachments, and document: 4

5814-02

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FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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03-11-1999



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HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): MAD 3-2-99Shahrokh Etemad, Gregory Scott Jackson
Md. Hasan Ul Karim, William C. Pfefferle
Lance L. SmithAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Precision Combustion, Inc.

Internal Address: _____

Street Address: _____

25 Science ParkCity: New Haven State: CT ZIP: 06511Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: 06/29/98, 07/02/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/097,175

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard R. Michaud

Internal Address: _____

McCormick, Paulding & Huber LLP

Street Address: _____

CityPlace II, 185 Asylum StreetCity: Hartford State: CT ZIP: 061036. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard R. Michaud

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

5814-02

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Commissioner of Patents & Trademarks, Box A, Washington, DC 20540

PATENT
REEL: 010017 FRAME: 0825

ASSIGNMENT

Whereas, we,

Shahrokh Etemad

Lance L. Smith

Md. Hasan Ul Karim

Gregory Scott Jackson

William C. Pfefferle

having made an invention disclosed in the application for Letters Patent of the United States of America titled Dry, Low Nox Catalytic Pilot, application number 09/097,175, filed on 06/12/98, or attached hereto___:

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have agreed to assign and transfer and do hereby assign and transfer unto Precision Combustion, Inc., a corporation of the State of Connecticut, having its principal office at 25 Science Park, New Haven, CT 06511, its successors and assigns, the entire right, title and interest in and to the said invention and application, and in and to any division, continuation or continuation-in-part, of said application, and in and to any and all Letters Patent and reissues and extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted on any of the foregoing to be held and enjoyed as fully and exclusively as they would have been by us had this assignment and transfer not been made;

AND WE COVENANT, AGREE AND WARRANT that we have full and unencumbered title to the invention hereby assigned, and we further covenant and agree for ourselves and our heirs, executors and administrators, at anytime upon request to execute and deliver without further consideration any further applications, assignments and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Precision Combustion, Inc., its successors, assigns, and nominees, fully to secure its interest as aforesaid and to obtain or maintain Letters Patent in any and all countries;

AND WE DO HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said Precision Combustion, Inc., as the assignee of the entire interest therein.

IN WITNESS WHEREOF, we have hereunto set our hands and seals
this 29th day of June, 1998.

Shahrokh Etemad
Md. Hasan Ul Karim

Gregory S. Jackson
William C. Pfefferle

7-2-98

STATE OF Connecticut) SS.:
COUNTY OF New Haven)

On this 29 day of June, 1998, before me, a Notary Public, personally appeared 2 July 98

Shahrokh Etemad
Lance L. Smith

Md. Hasan Ul Karim William C. Pfefferle
Gregory S. Jackson

to me known and known to me to be the individuals named in and who executed the foregoing instrument, and they being by me first duly sworn, did acknowledge that they executed the same as their own free act and deed and as and for the purposes therein set forth.

Joanne Dubois (witnessed both days)
My Commission Expires on: 3/31/02

(seal)

Original

PATENT

RECORDED: 06/11/1999

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