

06-17-1999

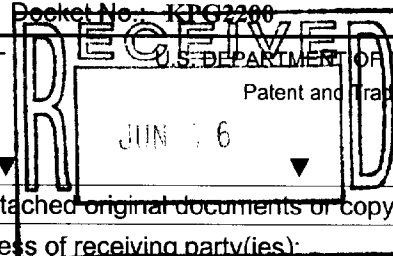


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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Ken-Ichi Shimazu, Jayanti Patel, Shashikant Saraiya,  
Nishith Merchant, Celin Savariar-Hauck,  
Hans-Joachim Timpe, and Christopher D. McCullough**

2. Name and address of receiving party(ies):

Name: **KODAK POLYCHROME GRAPHICS**

Internal Address: \_\_\_\_\_

Street Address: **401 MERRITT 7**

City: **NORWALK** State: **CT** ZIP: **06851**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **6/1/99, 5/28/99, 6/2/99, 6/9/99**

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

**09/301,866**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **WILLIAM P. HAUSER**

Internal Address: **RATNER & PRESTIA**

**SUITE 209 WEBSTER**

Street Address: **3411 SILVERSIDE ROAD**

City: **WILMINGTON** State: **DE** ZIP: **19810**

6. Total number of applications and patents involved:

**1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

8. Deposit account number:

**18-0350**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**WILLIAM P. HAUSER**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

**3**

**PATENT**

**REEL: 010021 FRAME: 0292**

**ASSIGNMENT**

THIS ASSIGNMENT, by Ken-Ichi Shimazu, residing at 494 Chappaqua Road, Briarcliff Manor, New York 10704; Jayanti Patel, residing at 55 Shaw Road, Woodcliff Lake, New Jersey 07675; Shashikant Saraiya, residing at 5 Amherst Court, Parlin, New Jersey 08857; Nishith Merchant, residing at 1514 91<sup>st</sup> Street, North Bergen, New Jersey 07047; Celin Savariar-Hauck, residing at Am Breitenberg 14, D-37534 Badenhausen, Germany; Hans-Joachim Timpe, residing at Bahnhofstrasse 165, D-37520 Osterode, Germany; and Christopher D. McCullough, residing at 700 Rochelle Circle, Ft. Collins, Colorado 80526; (hereinafter referred to as the assignors), respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in **THERMAL DIGITAL LITHOGRAPHIC PRINTING PLATE** as set fourth in a non-provisional application for Letters Patent of the United States, based on U.S. provisional application serial number 60/090,300, and bearing the Serial Number 09/301,688 and Filing Date of April 29, 1999; and

WHEREAS, Kodak Polychrome Graphics, LLC, a limited liability company duly organized under and pursuant to the laws of the State of Delaware, and having its principal place of business at 401 Merritt 7, Norwalk, Connecticut 06851 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefore and thereon:

NOW, THEREFORE, for valuable consideration the sufficiency and receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefore and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenants and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal

inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to the assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

And the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date 6/11/99

Signature Ken-ichi Shimazu  
Name: Ken-ichi Shimazu

Date 5/28/99

Signature Jayanti Patel  
Name: Jayanti Patel

Date 5/28/1999

Signature Shashikant Saraiya  
Name: Shashikant Saraiya

Date 5/28/99

Signature Nishith Merchant  
Name: Nishith Merchant

Date 6/9/99

Signature Celin Savariar-Hauck  
Name: Celin Savariar-Hauck

Date 6/9/99

Signature Hans-Joachim Timpe  
Name: Hans-Joachim Timpe

Date 6/2/99

Signature Christopher D. McCullough  
Name: Christopher D. McCullough