

06-17-1999



101067855

Docket No. 1758-4019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Joseph Y. Tai, et al.
Lucjan J.J. Hronowski
Sharon Mates

Group Art Unit: 1805

Serial No. : 07/938,367

Examiner: Mosher, M.

Filed : August 31, 1992

For : **VACCINES AGAINST GROUP C NEISSERIA MENINGITIDIS**

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:
Francis Michon, and

Harold Jennings

2. Name and address of receiving party/parties:

Name National Research Council Canada

Internal Address: 1200 Montreal Road

Street Address: 1200 Montreal Road

City Ottawa Country Canada Postal Code K1A0R6

Name _____

Internal Address: _____

Street Address: _____

City _____ State _____ ZIP _____

☐ Additional names and addresses attached.

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PATENT
REEL: 010021 FRAME: 0610

3. Name of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement
☐ Change of Name ☐ Other _____
Execution Date: April 30, 1999 and May 11, 1999

4. Application Number(s) or Patent Number(s):

☐ This document is being filed together with a new application which was executed on _____.

☐ Patent Application No.(s) _____.

☒ Patent No.(s) U.S. Patent No. 5,425,946

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154

6. Total number of applications and patents involved: one

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$ 40.00

☒ A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

☐ Charge fee to Deposit Account No. 13-4500. Order No. _____.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 1758-4019.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: June 7, 1999

By: Darryl H. Steensma
Darryl H. Steensma
Registration No. 43,155

CORRESPONDENCE ADDRESS: Total number of pages including the recordation cover sheet five

MORGAN & FINNEGAN, L.L.P.
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New York, New York 10154
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(212) 751-6849 Facsimile

FORM: REC-PAT.NY
Rev. 05/26/98

PATENT

Docket No. 1758-4019

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Francis Michon of 4401 Rosedale Avenue, Bethesda, MD 20814, USA; and

Harold Jennings of 2049 Woodglen Crescent, Gloucester, Ontario, Canada K1J6G6; and

Joseph Tai of 1370 Cinnamon Dr., Fort Washington, PA 19034 USA; and

Lucian Hronowski of 9160F Hitching Post Lane, Laurel, MD 20723 USA; and

Sharon Mates of 4615 North Park Avenue, #501, Chevy Chase, MD 20815 USA

(full name(s) and post office address(s) of inventor(s) (including country))

have made a discovery or invention entitled:

VACCINES AGAINST GROUP C NEISSERIA MENINGITIDIS

(title of discovery or invention)

for which application for Letters Patent of the United States has been filed on August 31, 1992, under Serial No. 07/938,367, and issued as U.S. Patent No. 5,425,946, and

WHEREAS:

Francis Michon of 4401 Rosedale Avenue, Bethesda, MD 20814, USA; and

Harold Jennings of 2049 Woodglen Crescent, Gloucester, Ontario, Canada K1J6G6

(hereinafter referred to as ASSIGNORS) agree to assign their entire interest in, to and under said invention to the National Research Council Canada, 1200 Montreal Road, Ottawa, Canada K1A0R6

WHEREAS:

National Research Council Canada, 1200 Montreal Road, Ottawa, Canada K1A0R6

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

PATENT

REEL: 010021 FRAME: 0612

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of One Dollar (\$ 1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that it reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, and without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature: Francis Michon
Francis Michon

Citizenship: Canada

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 11 day of May 1999

STATE OF MD)
ss.:
COUNTY OF HOWARD

Subscribed and sworn to before me, in my presence,
this 11th day of May, 1999, a Notary Public
in and for the State of Maryland
Christine M. Martin
Notary Public

Christine M. Martin
Notary Public, State of Maryland
Qualified in Howard County
Commission Expires June 1, 2000

My commission expires June 1 192000

On this 11th day of May, 1999 before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposes therein specified.

Christine M. Martin
Notary Public

Assignor's signature: Harold Jennings
Harold Jennings

Citizenship: Canadian

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 30 day of April 1999

STATE OF)
ss.:
COUNTY OF)

On this _____ day of _____, 19____, before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposes therein specified.

Notary Public

FORM: ASSIGN. PAT
Rev. 05/26/98