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(Rel.65-10/25 Pub.605)	FORM 16-5		16-27

FQAM PTO-1595 (Rev. 6-93) REC	U.S. DEPARTMENT OF COMMER Patent and Trademark O
OMB No. 0651-0011 (exp. 4/94)	067905
Tab settings O C O V V 101	Please record the attached original documents or copy thereof.
1. Name of conveying party(ies): John J. MacDonald	
Ronald F. Richard	Name: Bird Products Corporation
Additional name(s) of conveying party(ies) attached? O Yes O No	Internal Address: See Below
3. Nature of conveyance:	
Assignment C Merger	Street Address: 1100 Bird Center Drive
	City: Palm Springs State: CA ZIP: 922
Other	City City Citate ZiP,
Execution Date: June 2, 1999	Additional name(s) & address(es) attached? 🔾 Yes 🖉 No
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application	on, the execution date of the application is: <u>June 2, 19</u>
A. Patent Application No.(s)	B. Patent No.(s) 09374868
	01574868
Additional numbers a	I anached? O Yes & No
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> </ol>	6. Total number of applications and patents involved: 1
STETINA BRUNDA GARRED & BRUCKER AttyName: Kit M. Stetina	
5	7. Total fee (37 CFR 3.41)\$ <u>40.00</u> (\$40 for each patent listed)
Internal Address: See Below	<u><u><u>a</u></u> Enclosed</u>
	Authorized to be charged to deposit account (any additional fees needed)
Street Address: 24221 Calle de la Louisa	
Ath Floor	8. Deposit account number:
CityLaguna Hills State: CA ZIP: 92653-	(Attach duplicate copy of this page if paying by deposit account)
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DO NOT U 9. Statement and signature.	1
DO NOT U 9. Statement and signature. To the best of my knowledge and beliel, the foregoing infom	1
9. Statement and signature. To the best of my knowledge and belief, the foregoing inform the original document. <u>Kit M. Stetina</u> Name of Person Signing	mation is true and correct and any attached copy is a true cop Signature
9. Statement and signature.         To the best of my knowledge and beliel, the foregoing informative original document.         Kit M. Stetina         Name of Person Signing         (attorney)         Total number of pages including	

REEL: 010021 FRAME: 0960

FORM 16-3.2

16-17

 Practitioner's Docket No.
 BIRDP-041A
 PATENT

 For: ☑ U.S. and/or ☑ Foreign Rights
 For: ☑ U.S. Application or
 □

 U.S. Provisional Application
 For: □ U.S. Patent
 PATENT

For: PCT Application By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

#### ASSIGNORS (Inventors):

	73890 Masson Street	
John J. MacDonald	Palm Desert CA 92660 U.S. Address Nationality /	Gitizen
(type or print name of inventors)	Address Nationality/ 31769 Poole Court	state incor.
Ronald F. Richard	Temecula CA 92591 U.S.	citizen
	$\overline{}$	
		-
		-

(Assignment of Invention-Multiple Inventors [16-3.2]-page 1 of 3)

hereby sells, assigns and transfers to ASSIGNEE:

ASSIGNEE:
Bird Products Corporation 1100 Bird Center Drive (type or print name of ASSIGNEE) Address
California Palm Springs Ca 92262
Nationality (or state of incorporation)
and the successors, assigns and legal representatives of the ASSIGNEE
(complete one of the following)
the entire right, title and interest
an undivided percent ( %) interest
for the United States and its territorial possessions
(check the following box, if foreign rights are also to be assigned)
Image and in all foreign countries, including all rights to claim priority
in and to any and all improvements which are disclosed in the invention entitled:
METHOD FOR PROVIDING RESPIRATORY AIRWAY SUPPORT PRESSURE
(check and complete (a), (b), (c), (d), (e), (f), or (g))
and which is found in (37 C.F.R. § 3.21)
<ul> <li>(a)</li></ul>
invention
Express mail label no.:
Mailed:
To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application num- ber when they become known.
(d)  U.S. application no. / filed on
(e) International application no. PCT /////
(f) 🔲 U.S. patent no issued
A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(check (g), if foreign application(s) is also being assigned)
(g) 🖾 and any legal equivalent thereof in a foreign country, including the right to claim priority
(Assignment of InventionMultiple Inventors [16-3.2]page 2 of 3)

FORM 16-3.2

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this <u>June 2, 1999</u> Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

John J. MacDonald (type name of Inventor) Ronald F. Richard	Signature of INVENTOR CONCOLOR 1000000000000000000000000000000000000

Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

(Assignment of Invention-Multiple Inventors [16-3.2] page 3 of 3)

State of CALIFORNIA		
County ofRIVERSIDE		
•	ore me. J. Ackerman	
	Denald and Bonald F. Richard	
personally appeared John J. MacL	Donald and Ronald F. Richard Name(s) of Segner(s)	
	personally known to me proved to me on the basis of satisfactory evidence	
J. ACKERMAN J. ACKERMAN Commissicr = 1.60666 Notary Public - Caitornia Notary Public - Caitornia Notary Public - Cautornia Niverside County Riverside County My Comm. Expires Nov 6, 2001	to be the person(s) whose name(s) is are subscribed to within instrument and acknowledged to me that he/she executed the same in his/ner/their authorized capacity and that by his/her/their signature(s) on the instrument person(s), or the entity upon behalf of which the person acted, executed the instrument.	e/they y(ies), nt the
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#### (Rel.77-10/98 Pub.605)

FORM 4-2.3



PATENT

Practitioner's Docket No. BIRDP-041A

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

# COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION (37 C.F.R. § 3.31)

## **IDENTIFICATION OF APPLICATION** (37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

1. The 🖾 patent application 🗌 provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

- a. Type of application
  - Application of patent (37 C.F.R. § 1.53(b))
  - Continued prosecution (nonprovisional) application (37 C.F.R. § 1.53(d))
  - Provisional application (37 C.F.R. § 1.53(c))
  - □ International application (37 C.F.R. § 1.431)
- b. Date of execution: June 2, 1999'

NOTE: Leave this blank if assignment is for a provisional application.

- c. Name of each inventor:
  - 1: John J. MacDonald
  - 2: Ronald F. Richard
  - 3:

d. Title of invention: METHOD FOR PROVIDING RESPIRATORY AIRWAY SUPPORT PRESSURE

#### CERTIFICATION UNDER 37 C.F.R. § 1.10\*

(Express Mail label number is mandatory.)

(Express Mail certification is optional.)

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application," along with any document referred to, is being deposited with the United States Postal Service on this date June 7, 1999, in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number <u>EL342051885US</u>, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.



( Signature of person mailing paper)

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

\*WARNING: Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]-page 1 of 4)

NOTE: 37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the patent application application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended."

#### NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1:_	John J. MacDonald	_
Name 2:_	Ronald F. Richard	-

Name	3:

# NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 C.F.R. § 3.31(a)(2))

3. The rights are being conveyed to:

Name: Bird Products Corporation Address: 1100 Bird Center Drive Palm Springs, California 92262

Telephone No.: ( )

#### DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))

- 4. The accompanying document intends to accomplish:
  - 🖄 an assignment.
  - a security agreement.
  - a license.
  - □ a merger.
  - □ a change of name.
  - □ a change of address.
  - □ other.

### NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31(a)(5))

5. Please address correspondence to:

Name:	<u>Kit M.Stetina</u>
Address:	STETINA BRUNDA GARRED & BRUCKER
	24221 Calle de la Louisa, 4th Flr.
	Laguna Hills, CA 92653-3642

Telephone No.: (949) 855-1246

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]-page 2 of 4)

FORM 4-2.3

#### DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))

6. The attached assignment (document) was executed on <u>June 2, 1999</u> Date

### LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

- NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation." 37 C.F.R. § 3.26.
- 7. The attached document:
  - I is in the English language.
  - is not in the English language. An English translation signed by the individual making the translation and statement as to its accuracy is attached.

#### ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

- NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (81/2 x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 C.F.R. § 3.24.
- 8. Submitted herewith is:

I the original document.

- a true copy of the original document.
- NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

#### NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE

- NOTE: "All requests to record documents must be accompanied by the appropriate fee. Except as provided in paragraph (b) of this section, a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h)...." 37 C.F.R. § 3.41.
- 9. A. This cover sheet identifies only one application:
  - B. The fee for recordal (37 C.F.R. § 1.21(h)) is \$40.00.
    - X Attached is a check for \$40.00.
    - Please charge Account \_\_\_\_\_ \$40.00.

A duplicate of this cover sheet is attached.

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]-page 3 of 4)

#### **STATEMENT** (37 C.F.R. § 3.31(a)(9)) **AND SIGNATURE** (37 C.F.R. § 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date:	· · · · · · · · · · · · · · · · · · ·
	Name of party submitting document
	Signature of party submitting document
(complete the following, if the party	submitting the document is applicant's efformey)
Reg. No. 29,445	Martin
Date:	Signature of Practitioner
el. No. (949) 855-1246	Kit M. Stetina
Customer No. 007663	<b>(type or print name of practitioner)</b> STETINA BRUNDA GARRED & BRUCKER 24221 Calle de la Louisa, 4th Flr
	P.O. Address
	Laguna Hills, CA 92653-3642

11. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

TOTAL NUMBER OF PAGES BEING SUBMITTED

5

Total number of pages submitted

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]-page 4 of 4)