

06-17-1999



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6-15-99

JUN 15 1999

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Pharmacia & Upjohn S.p.A.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: NEWRON PHARMACEUTICALS S.p.A.

Address: Via R. Lepetit 34
21040 Gerenzano (Varese) Italy

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 3/31/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/981,492 filed January 8, 1998

08/981,493 filed January 8, 1998

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nikaido, Marmelstein, Murray & Oram LLP

Street Address: Metropolitan Square, 655 Fifteenth Street,

N.W., Suite 330 - G Street Lobby

Washington, D.C. 20005-5701

6. Total number of applications and patents involved:

Two

7. Total fee (37 CFR 3.41)..... \$ 80.00

☒ Enclosed-Check No.: 17896
☐ Authorized to be charged to deposit account

8. Deposit account number: 14-1060

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert B. Murray
Name of Person Signing
Reg. No. 22,980

Signature

June 15, 1999
Date

Total number of pages including cover sheet, attachments, and document: 16

ASSIGNMENT OF PATENT RIGHTS

by and between

PHARMACIA & UPJOHN S.p.A., an Italian corporation, having its principal place of business at via Robert Koch 1.2, Milan, Italy (hereinafter referred to as "Assignor")

and

NEWRON PHARMACEUTICALS S.p.A., an Italian corporation, having its principal place of business at via R. Lepetit 34, Gerenzano (Varese), Italy (hereinafter referred to as "Assignee")

WHEREAS Assignor is the sole and exclusive owner of the patent rights deriving from the patents/patent applications listed in Annex 1 attached herewith (hereinafter referred to as the "Patents")

NOW, THEREFORE, in consideration of the mutual covenants and undertakings set forth herein, Assignor and Assignee agree as follows:

1. Assignor does hereby assign, transfer and sell to Assignee and Assignee does hereby agree to accept such assignment of all Assignor's rights, title and interest in and to the Patents. Assignor shall record the assignment deed before the competent patent authorities of any and all countries where the Patents have been granted or there are pending applications related to and shall execute any act whatsoever or other writings, at its own expenses, necessary to perfect Assignee's enjoyment of this assignment and to have the assignment enforceable against third parties.
2. In consideration of the patent rights granted herein, Assignee shall pay Assignor the sum of 100.000 Italian Lira plus VAT, within 15 (fifteen) days from the signature's date by the parties of the present assignment.
3. This assignment shall be effective upon its execution by both parties hereto.
4. Since the present assignment relates to services subject to VAT, the parties will make the registration at a fixed rate as set out in articles 5 and 40 of the D.P.R. number 131 of 26.04.1986. This assignment will be executed in four counterparts, one for each of the parties, the third for the Registrar's Office

V157/31/03/99



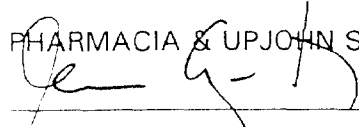
PATENT
REEL: 010023 FRAME: 0320

and the fourth for the transfer of the ownership of the Patents before the Italian Patent Office.

5. In witness whereof, the parties have executed this assignment as of the date below written.

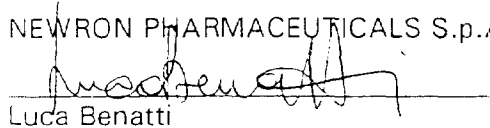
Milan,

PHARMACIA & UPJOHN S.p.A.



Francesco Granata
Managing Director

NEWRON PHARMACEUTICALS S.p.A.



Luca Benatti
Managing Director

V157/31/03/99



Atto di cessione

ATTO DI CESSIONE DI BREVETTI

tra

PHARMACIA & UPJOHN S.p.A., società legalmente costituita in base alle leggi italiane, con sede in via Robert Koch 1.2, 20152 Milano, Italia (qui di seguito indicata come "Cedente")

e

NEWRON PHARMACEUTICALS S.p.A., società legalmente costituita in base alle leggi italiane, con sede in via R. Lepetit 34, Gerenzano (Varese), Italia (qui di seguito indicata come "Cessionario")

PREMESSO CHE:

Il Cedente è l'unico ed esclusivo titolare dei diritti brevettuali risultanti dai brevetti e dalle domande di brevetto indicati nell'Allegato 1 che forma parte integrante del presente atto (qui di seguito indicati come i "Brevetti")

tutto ciò premesso, e in considerazione dei reciproci impegni ed obblighi qui assunti, il Cedente ed il Cessionario concordano quanto segue:

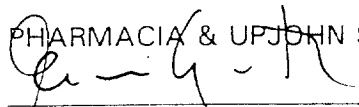
- 1) Il Cedente, con il presente atto, cede, trasferisce e vende al Cessionario, che accetta, tutti i diritti ed interessi relativi ai Brevetti facenti capo al Cedente.
Il Cedente provvederà, a proprie spese, a trascrivere l'Atto di cessione presso i competenti uffici brevetti dei paesi in cui i Brevetti sono stati concessi o esistono domande di brevetto pendenti e a compiere tutti quegli atti che si rendessero necessari per il perfezionamento della cessione a favore del Cessionario e per rendere la cessione opponibile a terzi.
- 2) Come corrispettivo per la cessione dei diritti brevettuali, il Cessionario pagherà al Cedente l'importo di Lire 100.000 oltre IVA, entro 15 (quindici) giorni dalla data di firma del presente atto di cessione.
- 3) Il presente atto ha effetto dalla data di sottoscrizione di entrambe le parti.
- 4) Considerato che il presente atto si riferisce a prestazioni soggette ad IVA, le parti provvederanno alla registrazione a taxa fissa ai sensi degli articoli 5 e 40 del D.P.R. n. 131 del 26.04.1986.

Il presente atto viene redatto in quattro originali, uno per ciascuna delle parti, il terzo per l'Ufficio del Registro ed il quarto per la trascrizione della titolarità presso l'Ufficio Centrale Brevetti Italiano.

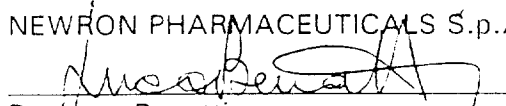
- 5) A conferma di quanto sopra, le parti sottoscrivono questo atto di cessione nel giorno qui sotto indicato.

Milano, li

PHARMACIA & UPJOHN S.p.A.


Dr. Francesco Granata
Amministratore Delegato

NEWRON PHARMACEUTICALS S.p.A.

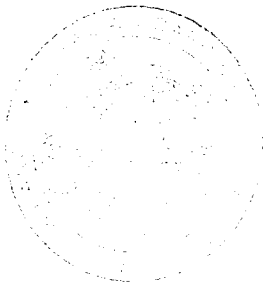

Dr. Luca Benatti
Amministratore Delegato

AUTENTICA DI FIRMA

Certifico io sottoscritta Dott.GRAZIA SCARPONI, Notaio in Milano ed iscritta presso il Collegio Notarile di Milano, vera ed autentica la firma sopra apposta in mia presenza, previa rinunzia con il mio consenso all'assistenza dei testimoni, dal Signor GRANATA Dott. FRANCESCO, nato a Pavia il 16 ottobre 1950 e domiciliato per la carica presso la sede sociale, dirigente, nella sua qualità di Amministratore Delegato della Società "PHARMACIA & UPJOHN S.p.A." con sede in Milano-Via Robert Koch 1.2, munito degli occorrenti poteri.

Della sua identità personale, qualifica e poteri io Notaio sono certa.

Milano, li quattordici aprile millenovecentonovantanove.



A handwritten signature in dark ink, appearing to read "Grazia Scaroni", written over the notary seal.

N. 25513 di Rep.

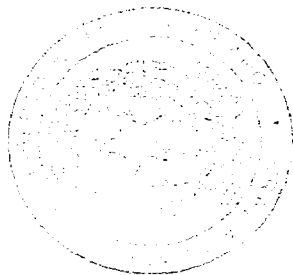
AUTENTICA DI FIRMA

Certifico io sottoscritta Dott.GRAZIA SCARPONI, Notaio in Milano ed iscritta presso il Collegio Notarile di Milano, vera ed autentica la firma sopra apposta in mia presenza, previa rinunzia con il mio consenso all'assistenza dei testimoni, dal Signor BENATTI Dott. LUCA, nato a Milano l'8 ottobre 1960 e domiciliato per la carica presso la sede sociale, dirigente, nella sua qualità di Amministratore Delegato della Società "NEWRON PHARMACEUTICALS S.p.A." con sede in Gerenzano (Varese)-Via R. Lepetit n.34, munito degli occorrenti poteri.

Della sua identità personale, qualifica e poteri io Notaio sono certa.

Dichiaro, io Notaio di conoscere la lingua inglese e che il testo in italiano corrisponde al testo in inglese.

Milano, li venti aprile millenovecentonovantanove.



Grazia Scaroni Notario



APPENDIX 1

Pharmacia & Upjohn

27/01/1999

FC712/6

N-(4-SUBST-BENZYL)-2-AMINOLACT.

6

Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
CANADA	WP	2226886	05/07/1996				
EUROPEAN PATENT	WP	96925667 6	05/07/1996				
FRANCE	EPW	96925667 6	05/07/1996				
GERMANY	EPW	96925667 6	05/07/1996				
ITALY	EPW	96925667 6	05/07/1996				
JAPAN	WP	507148/97	05/07/1996				
PATENT COOPERATION TREATY		EP96/02962	05/07/1996	97/05111			
SPAIN	EPW	96925667 6	05/07/1996				
SWEDEN	EPW	96925667 6	05/07/1996				
UNITED KINGDOM	EPW	96925667 6	05/07/1996				
UNITED STATES OF AMERICA	WP	981493	05/07/1996				

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PATENT

REEL: 010023 FRAME: 0326



Pharmacia & Upjohn

26/01/1999

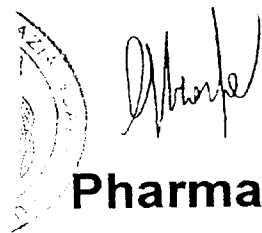
FC821

...5-ISOXAZOLYLMETHYLAMINO-ALKANAMIDE DERIVATIVES

6

Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
EUROPEAN PATENT	WP	EP98/01928	27/03/1998				
GERMANY	EPW	EP98/01928	27/03/1998				
ITALY	EPW	EP98/01928	27/03/1998				
JAPAN	WP	EP98/01928	27/03/1998				
PATENT COOPERATION TREATY		EP98/01928	27/03/1998	98/43964			
UNITED KINGDOM	EPW	EP98/01928	27/03/1998				

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Pharmacia & Upjohn

26/01/1999

FC820

ARALKOXY-MORPHINAN DERIVATIVES

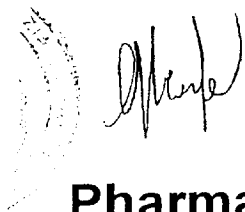
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Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
EUROPEAN PATENT	WP	EP98/01927	27/03/1998				
GERMANY	EPW	EP98/01927	27/03/1998				
ITALY	EPW	EP98/01927	27/03/1998				
JAPAN	WP	EP98/01927	27/03/1998				
PATENT COOPERATION TREATY		EP98/01927	27/03/1998	98/43961			
UNITED KINGDOM	EPW	EP98/01927	27/03/1998				

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26/01/1999

FC759

6

SUBST. 2-BENZYLAMINO-2-PHENYL-ACETAMIDE COMPOUNDS

Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
CANADA	WP	EP98/08158	12/12/1998				
EUROPEAN PATENT	WP	EP98/08158	12/12/1998				
FRANCE	EPW	EP98/08158	12/12/1998				
GERMANY	EPW	EP98/08158	12/12/1998				
ITALY	EPW	EP98/08158	12/12/1998				
JAPAN	WP	EP98/08158	12/12/1998				
PATENT COOPERATION TREATY		EP98/08158	12/12/1998				
SPAIN	EPW	EP98/08158	12/12/1998				
SWEDEN	EPW	EP98/08158	12/12/1998				
UNITED KINGDOM	EPW	EP98/08158	12/12/1998				
UNITED STATES OF AMERICA	WP	EP98/08158	12/12/1998				

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PATENT

REEL: 010023 FRAME: 0329

Pharmacia & Upjohn

26/01/1999

FC711/6

2-(4-SUBST.)-BENZYLAMINO DERS.

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Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
ARGENTINE		P960103752	26/07/1996				
AUSTRALIA	WP	64187/96	05/07/1996				
AUSTRIA	EPW	96924888.9	05/07/1996				
BELARUS	EAW	199800170	05/07/1996				
BELGIUM	EPW	96924888.9	05/07/1996				
BRAZIL	WP	PI9609849-0	05/07/1996				
CANADA	WP	2226894	05/07/1996				
CHINA	WP	96195901.0	05/07/1996				
DENMARK	EPW	96924888.9	05/07/1996				
EURASIAN PATENT	WP	199800170	05/07/1996				
EUROPEAN PATENT	WP	96924888.9	05/07/1996				
FINLAND	EPW	96924888.9	05/07/1996				
FRANCE	EPW	96924888.9	05/07/1996				
GERMANY	EPW	96924888.9	05/07/1996				
GREECE	EPW	96924888.9	05/07/1996				
HUNGARY	WP	EP96/02961	05/07/1996				
IRELAND	EPW	96924888.9	05/07/1996				
ISRAEL	WP	122705	05/07/1996				
ITALY	EPW	96924888.9	05/07/1996				
JAPAN	WP	507147/97	05/07/1996				
KAZAKHSTAN	EAW	199800170	05/07/1996				
MEXICO	WP	980718	05/07/1996				
NETHERLANDS	EPW	96924888.9	05/07/1996				
NEW ZEALAND	WP	313185	05/07/1996				

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PATENT

REEL: 010023 FRAME: 0330

Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
NORWAY	WP	19980290	05/07/1996				
PATENT COOPERATION TREATY		EP96/02961	05/07/1996	97/05102			
POLAND	WP	P324639	05/07/1996				
PORTUGAL	EPW	96924888.9	05/07/1996				
RUSSIA	EAW	199800170	05/07/1996				
SLOVENIA	WP	EP96/02961	05/07/1996				
SOUTH AFRICA		96/5998	15/07/1996	96/5998	26/03/1997		15/07/2016
SOUTH KOREA	WP	98-700503	05/07/1996				
SPAIN	EPW	96924888.9	05/07/1996				
SWEDEN	EPW	96924888.9	05/07/1996				
SWITZERLAND	EPW	96924888.9	05/07/1996				
TAIWAN	NC	85108725	18/07/1996				
UKRAINE	WP	98021015	05/07/1996				
UNITED KINGDOM	EPW	96924888.9	05/07/1996				
UNITED STATES OF AMERICA	WP	981492	05/07/1996				

PATENT

REEL: 010023 FRAME: 0331

Pharmacia & Upjohn

26/01/1999

FC602

ARYLALKYLAMINO BENZYL AMINOPROPI

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Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
CANADA	WP	2136008	15/03/1994				
EUROPEAN PATENT	WP	94911181.9	15/03/1994	0648202	02/05/1997		15/03/2014
FRANCE	EPW	94911181.9	15/03/1994	0648202	02/05/1997		15/03/2014
GERMANY	EPW	94911181.9	15/03/1994	69402935	02/05/1997		15/03/2014
ITALY	EPW	94911181.9	15/03/1994	0648202	02/05/1997		15/03/2014
JAPAN	WP	521430/94	15/03/1994				
PATENT COOPERATION TREATY		EP94/00825	15/03/1994	94/22809			
SPAIN	EPW	94911181.9	15/03/1994	0648202	02/05/1997		15/03/2014
SWEDEN	EPW	94911181.9	15/03/1994	0648202	02/05/1997		15/03/2014
UNITED KINGDOM	EPW	94911181.9	15/03/1994	0648202	02/05/1997		15/03/2014
UNITED STATES OF AMERICA		215694	22/03/1994	5449692	12/09/1995		17/08/2010

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PATENT

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Pharmacia & Upjohn

26/01/1999

FC601

ARYLALKOXYBENZYL AMINOPROPANAMI

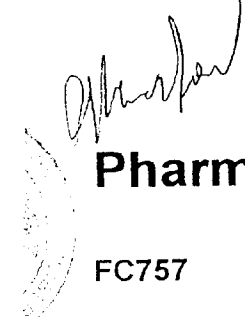
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Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
CANADA	WP	2135783	15/03/1994				
EUROPEAN PATENT	WP	94910419.4	15/03/1994	0643688	29/07/1998		15/03/2014
FRANCE	EPW	94910419.4	15/03/1994	0643688	29/07/1998		15/03/2014
GERMANY	EPW	94910419.4	15/03/1994	69412016.2	29/07/1998		15/03/2014
ITALY	EPW	94910419.4	15/03/1994	0643688	29/07/1998		15/03/2014
JAPAN	WP	521429/94	15/03/1994				
PATENT COOPERATION TREATY		EP94/00802	15/03/1994	94/22808			
SPAIN	EPW	94910419.4	15/03/1994		29/07/1998		15/03/2014
SWEDEN	EPW	94910419.4	15/03/1994	94910419.4	29/07/1998		15/03/2014
UNITED KINGDOM	EPW	94910419.4	15/03/1994	0643688	29/07/1998		15/03/2014
UNITED STATES OF AMERICA		215628	22/03/1994	5446066	29/08/1995		17/08/2010

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PATENT

REEL: 010023 FRAME: 0333



Pharmacia & Upjohn

26/01/1999

FC757

ALPHA-AMINOAMIDE DERIVATIVES USEFUL AS ANALGESIC

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PNU151774 E

Country	Type	Application Number	Filing Date	Patent Number	Issue Date	Aband. Date	Expiring Date
CANADA	WP	EP98/08157	12/12/1998				
EUROPEAN PATENT	EP	EP98/08157	12/12/1998				
FRANCE	EPW	EP98/08157	12/12/1998				
GERMANY	EPW	EP98/08157	12/12/1998				
ITALY	EPW	EP98/08157	12/12/1998				
JAPAN	WP	EP98/08157	12/12/1998				
PATENT COOPERATION TREATY		EP98/08157	12/12/1998				
SPAIN	EPW	EP98/08157	12/12/1998				
SWEDEN	EPW	EP98/08157	12/12/1998				
UNITED KINGDOM	EPW	EP98/08157	12/12/1998				
UNITED STATES OF AMERICA	WP	EP98/08157	12/12/1998				

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RECORDED: 06/15/1999


PATENT

REEL: 010023 FRAME: 0334