

06-18-1999

SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101069715

To the Honorable Commissioner of Patents

attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Stephen Colvin,  
Eugene Grossi  
Aubrey GallowayAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Security Agreement☐ Merger☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: June 1, 1999

## 2. Name and address of receiving party(ies):

Name: S&amp;A Rings, LLC

Street Address: 3 New York Plaza

City: New York State: NY ZIP: 10004

Country: U.S.A.

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 1, 1999

## A. Patent Application No.(s)

## B. Patent No.(s)

09/327823

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Todd S. Sharinn

Pepe &amp; Hazard LLP

Goodwin Square

Street Address: 225 Asylum Street

City: Hartford State: CT ZIP: 06103

## 6. Total number of applications and patents involved:.....

1

## 7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

03-3355

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Todd S. Sharinn

Name of Person Signing

Signature

June 7, 1999

Date

Total number of pages comprising cover sheet: 4

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

06/17/1999 DNGUYEN 00000142 09327823

01 FC:581

40.00 DP

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

# A S S I G N M E N T

FOR GOOD AND VALUABLE CONSIDERATION, We, STEPHEN COLVIN, EUGENE GROSSI, and AUBREY GALLOWAY, of New York in the State of New York, New York in the State of New York, and Bronxville in the State of New York; respectively, do hereby sell, assign and transfer unto

## S&A RINGS, LLC

a company organized under the laws of the State of New York, and having an office at 3 New York Plaza, Attn: Alan Fell, New York, New York 10004, herein sometimes called "Assignee", the entire right, title and interest in and to our invention for the improvement in a

### NOVEL ANNULOPLASTY RINGS OF PARTICULAR USE IN SURGERY FOR THE MITRAL VALVE

as described and/or claimed in our application for Letters Patent of the United States of America, executed concurrently herewith, in and for the United States and all foreign countries, the same to be held and enjoyed by Assignee, its successors, assigns or other legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted.


AND WE HEREBY AUTHORIZE Assignee to make application for and to receive Letters Patent for said invention in any of said countries in its own name, or in our name, at its election.

AND WE HEREBY COVENANT AND AGREE that we will execute or procure any further necessary assurance of the title to said invention and any Letters Patent which may issue therefor and that we will, at any time, upon the request and at the expense of Assignee execute and deliver any testimony in any legal proceedings and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in Assignee, its successors, assigns or other legal representatives, and that we will, at any time, upon the request and at the expense of Assignee execute any additional or divisional applications for patents for said invention, or any part or parts thereof, and for the issue of any Letters Patent to be granted thereon and will make all rightful oaths, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of Assignee, its successors, assigns or other legal representatives.

AND WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention or resulting from said application or any division or continuation thereof, to said S&A RINGS, LLC as sole Assignee.

WITNESS our hands and seals this 1st day of JUNE, 1999.

  
STEPHEN COLVIN

  
EUGENE GROSSI

  
AUBREY GALLOWAY

A C K N O W L E D G M E N T

STATE OF NEW YORK     )  
                              ) ss:  
COUNTY OF NEW YORK    )

On this 1st day of JUNE, 1999, personally appeared before me STEPHEN COLVIN to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

  
Notary Public

JOSEPH T. MINUTELLO  
Notary Public, State of New York  
No. 4899589  
Qualified in New York County  
Commission Expires

8/20/99

STATE OF NEW YORK     )  
                              )  
COUNTY OF NEW YORK    )     ss:

On this 1<sup>st</sup> day of JUNE, 1999, personally appeared before me EUGENE GROSSI to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Joseph T. Minutello  
Notary Public

JOSEPH T. MINUTELLO  
Notary Public, State of New York  
No. 4899569  
Qualified in New York County  
Commission Expires

8/20/99

STATE OF NEW YORK     )  
                              )  
COUNTY OF WESTCHESTER)     ss:

On this 1<sup>st</sup> day of JUNE, 1999, personally appeared before me AUBREY GALLOWAY to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Joseph T. Minutello  
Notary Public

JOSEPH T. MINUTELLO  
Notary Public, State of New York  
No. 4899569  
Qualified in New York County  
Commission Expires

8/20/99