

06-21-1999

1 SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

P7814205 Shi

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To the Honorable Commissioner of

101071541

attached original documents or copy thereof.

## 1. Name of conveying party(ies):

SHI, Nian-Qing

mrs  
6-17-99Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: 05/27/99

## 2. Name and address of receiving party(ies)

Name: Wisconsin Alumni Research Foundation

Internal Address: \_\_\_\_\_

Street Address: 614 Walnut Street

City: Madison State: WI ZIP: 53705

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): (09/274,642) Filed: 03/23/99

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Wisconsin Alumni Research Foundation

Internal Address: \_\_\_\_\_

Street Address: 614 Walnut Street

City: Madison State: WI ZIP: 53705

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

23-2545

(Attach duplicate copy of this page if paying by deposit account)

06/18/1999 NTHAI1 00000228 232545 09274642

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leslie Rector

Name of Person Signing

Leslie Rector

Signature

6/8/99

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT

REEL: 010027 FRAME: 0399

ASSIGNMENT  
(Joint Inventors)

I am one of the persons identified as an inventor and who has contributed to a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following serial number. The application was executed by me, and it was filed on the indicated date:

Title: DISRUPTION OF THE CYTOCHROME C GENE IN XYLOSE-FERMENTING YEAST

Serial Number: 09/274642

Date Filed: 3/23/99

The assignee under this agreement is hereby authorized to insert the filing date and serial number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the Wisconsin Alumni Research Foundation (hereinafter "WARF") a non-stock, non-profit Wisconsin corporation, and to its legal representatives, successors, and assigns, my entire right, title and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. WARF shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon WARF's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that WARF may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to WARF any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to WARF and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to WARF, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

Ref: P98142US  
Wisconsin Alumni Research Foundation

ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P98142US

App.#: 09/274642, Filed: 3/23/99

Inventor: \_\_\_\_\_

*Nianqing Shi*

Date: \_\_\_\_\_

*5/27/99*

Nian-Qing Shi  
One Fleischman Circle  
Madison, WI 53719

State of Wisconsin    )  
                                  )ss.  
County of Dane         )

On this 27<sup>th</sup> day of May, 1999 before me personally appeared Nian-Qing Shi, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

*Kathleen C. Walber*  
\_\_\_\_\_  
Notary Public

My Commission expires: 7-18-99