

FORM PTO-1619A

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U.S. Department of Commerce
Patent and Trademark Office
PATENT

6.17.99

**RECORDATION FORM COVER SHEET
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1-13883

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type☒ New☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

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Conveyance Type☒ Assignment☐ Security Agreement☐ License☐ Change of Name☐ Merger☐ OtherU.S. Government
(For Use ONLY by U.S. Government Agencies)☐ Departmental File☐ Secret File**Conveying Party(ies)**☐ Mark if additional names of conveying parties attached

Name (line 1) FROST, MARK ROBERT

Execution Date
Month Day Year
04 16 99

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1) PILKINGTON AUTOMOTIVE UK LIMITED

☒ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Name (line 2) INCORPORATED IN ENGLAND

Address (line 1) PRESCOT ROAD

Address (line 2) MERSEYSIDE

Address (line 3) ST. HELENS

UNITED KINGDOM

WA10 3TT

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name MARSHALL & MELHORN

Address (line 1) FOUR SEAGATE - 8TH FLOOR

Address (line 2) TOLEDO, OHIO 43604

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

06/21/1999 NTHA11 00000047 09299036

01 FC:581

40.00 OP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, DC 20231

PATENT
REEL: 010029 FRAME: 0012

Correspondent Name and Address

Area Code and Telephone Number (419) 249-7100

Name MARSHALL & MELHORN

Address (line 1) SCHURR, DONALD A.

Address (line 2) FOUR SEAGATE - 8TH FLOOR

Address (line 3) TOLEDO, OHIO 43604

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/299,036

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit AccountEnclosed ☒Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

13-1816

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

DONALD A. SCHURR, REG. NO. 34,247

Name of Person Signing

Signature

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mark Robert Frost

Serial No.: 09 / 299,036

Group No.:

Filed: April 23, 1999

Examiner:

For: Vehicle Window

Patent:

Issue Date: _____

DESIGNATION OF DOMESTIC REPRESENTATIVE BY ASSIGNEE
(37 CFR 3.61)

1. I(We) am(are) the assignee(s) of the above-identified application/patent by virtue of an assignment

☒ separately submitted for recordal on even date herewith.☒ A separate ☒ "ASSIGNMENT (DOCUMENT) RECORDAL COVER SHEET"
or ☐ FORM PTO 1595 is also attached.☐ recorded in the PTO on _____
Date

Reel _____ Frame _____

2. In accordance with 37 CFR 3.37, the assignee hereby certifies that the evidentiary documents, with respect to its ownership, have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action. A "CERTIFICATE UNDER 37 CFR 3.73(b)" is attached.

3. I(We) do not reside in the United States

I(We) designate, as domestic representative, on whom process or notice of proceedings affecting the application/patent may be served.

MARSHALL & MELHORN

Name: _____

Address: Four SeaGate - 8th Floor

Toledo, Ohio 43604


Telephone No.: (419) 249-7149

Fax: (419) 249 7151 presence of:-

Pilkington Automotive U
The Common Seal of ~~Triplex Safety Glass~~
Limited was hereto affixed in the

Date:

Signature of authorized person



(type or print name of person authorized to sign on behalf of assignee)

Director (Michael T Hyldon)

Title of person authorized to sign on behalf of assignee



Signature of authorized person

(Sheila Elizabeth Lennon)

(Designation of Domestic Representative By Assignee [16-18]—page 1 of 1)

Company Secretary

Title

Attorney's Docket No. _____

PATENT

For: ☐ U.S. and/or ☐ Foreign Rights
 For: ☐ U.S. Application or
 ☐ U.S. Provisional Application
 For: ☐ U.S. Patent
 For: ☐ PCT Application
 By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or
 entity(ies) who own the
 invention

MARK ROBERT FROST

(type or print name(s) of ASSIGNOR(S))

Address 2 Middlewood Close, Ecclestone,
 Chorley, Lancashire PR7 5QG,

United Kingdom

Nationality British Subject

(if assignment is by person or entity to whom invention was previously assigned
 and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

Pilkington Automotive UK Limited
 (formerly known as
 TRIPLEX SAFETY GLASS LIMITED)

ASSIGNEE:

(type or print name(s) of ASSIGNEE(S))

Address Prescot Road, St Helens,
 Merseyside WA10 3TT,

United Kingdom

Incorporated in England

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention [16-3]—page 1 of 3)

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

VEHICLE WINDOW

Name of inventor(s) MARK ROBERT FROST

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on _____

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.

☐ Express mail label no.: _____

Mailed: _____

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☐ U.S. application no. _____ / _____
filed on _____

(e) ☒ International application no. PCT/ GB97 / 02787

(f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

(Assignment of Invention [16-3]—page 2 of 3)

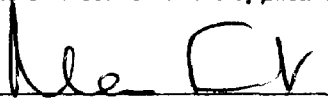
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 16th day
of April 1999

Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: 16th April 1999


Signature of ASSIGNOR(S)

Date: _____
Date: _____
Date: _____

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)



CERTIFICATE OF INCORPORATION

ON CHANGE OF NAME

Company No. 2803344

The Registrar of Companies for England and Wales hereby certifies that

TRIPLEX SAFETY GLASS LIMITED

having by special resolution changed its name, is now incorporated
under the name of

PILKINGTON AUTOMOTIVE UK LIMITED

Given at Manchester, the 1st April 1999

F. Grachin

For The Registrar Of Companies



C O M P A N I E S H O U S E