

06-21-1999

Attorney Docket No.: EH-10297

Form PTO 1595
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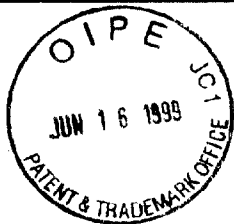
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U.S. Department of Commerce

Patent & Trademark Office

md 6-16-99

1. Name of Conveying Party(ies): James B. Hoke Daryl B. Mountz Howard Olsen Robert M. Sonntag Additional names of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and Address of Receiving Party(ies): United Technologies Corporation 1 Financial Plaza Hartford, CT 06101 Additional names of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date(s): April 29, 1999 & May 4, 1999 Additional names of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	



4. Application Number(s) or Patent Number(s):
 If this document is being filed together with a new application, the execution date(s) of the application is (are):
 A. Patent Application No(s): (09/292,136)
 B. Patent No(s):

5. Name & Address of Party to Whom Correspondence Concerning Document Should be Mailed:
 Kenneth C. Baran
 Pratt & Whitney
 Legal Department-Patent Section
 Mail Stop 132-13
 East Hartford, CT 06108

6. Total Number of Applications & Patents Involved: 1

7. Total Fee (37 CFR 3.41): \$40.00
 Enclosed
 Authorized to be Charged to Deposit Account
 Paid - See Original Transmittal

8. Deposit Account Number: 21-0279
 Order Number: EH-10297
 Attach a duplicate copy of this page if paying by deposit account.

(06/17/1999 SSANDARA 00000018 210279 09292136)
02 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

KENNETH C. BARAN *[Signature]* JUNE 14, 1999
 Name of person signing paper Signature Date

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on or before
June 14, 1999
Anna Sardinikas
 Signature
June 14, 1999
 Date

ASSIGNMENT

WHEREAS, James B. Hoke, Daryl B. Mountz, Howard Olsen and Robert M. Sonntag residing at Tolland, West Hartford, Wethersfield and Manchester Connecticut respectively have invented certain improvements in

LOW EMISSIONS FOR A TURBINE ENGINE

identified as Attorney Docket No.: EH-10297, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION (hereinafter, assignee), of Hartford, Connecticut, a corporation of the State of Delaware is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has (have) hereunto set their hand(s) and seal(s) on the date after their signature(s).

(L.S.) James B. Hoke _____ 5/4/99 _____
James B. Hoke Date

(L.S.) Daryl B. Mountz _____ 4/29/99 _____
Daryl B. Mountz Date

(L.S.) Howard Olsen _____ 4/29/99 _____
Howard Olsen Date

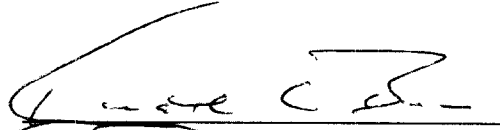
(L.S.) Robert M. Sonntag _____ 4/29/99 _____
Robert M. Sonntag Date

State of Connecticut

}ss: EAST HARTFORD

County of Hartford

On this date MAY 4, 1999, before me personally appeared, **James B. Hoke**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



Notary Public

My Commission Expires: OCT. 31, 2001

~~Commissioner of the Superior Court~~

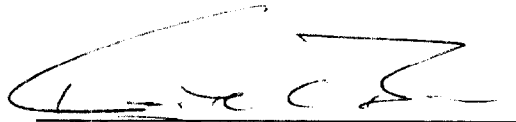
SEAL

State of ~~CONNECTICUT~~

}ss: EAST HARTFORD

County of ~~HARTFORD~~

On this date APRIL 24, 1999, before me personally appeared, **Daryl B. Mountz**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



Notary Public

My Commission Expires: OCT 31, 2001

~~Commissioner of the Superior Court~~

SEAL

State of Connecticut

}ss: EAST HARTFORD

County of Hartford

On this date APRIL 29 1999, before me personally appeared, **Howard Olsen**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



Notary Public

My Commission Expires: OCT 31, 2001
~~Commissioner of the Superior Court~~

SEAL

State of CONNECTICUT

}ss: EAST HARTFORD

County of HARTFORD

On this date APRIL 29 1999, before me personally appeared, **Robert M. Sonntag**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



Notary Public

My Commission Expires: OCT 31, 2001
~~Commissioner of the Superior Court~~

SEAL