| WECEINEW | |
|--|---|
| FORM PTO-1595 1-31-92 Docket No.: ISPH-0349 | M COVER SHI |
| RECORDATION FORM COVER SHI I 101072937 | |
| To The Honorable Commissioner of Patents and Trademarks. Please record the attached original document(s) or copy thereof. | |
| Name of conveying party(ies): Frank Bennett, Elizabeth J. Ackermann, Eric E. Swayze and Lex M. Cowsert | 2. Name and address of receiving party(ies): Name: Isis Pharmaceuticals Inc. Internal Address: Carlsbad Research Center Street Address: 2292 Faraday Avenue |
| Additional name(s) of conveying party(ies) attached? () YES (XX) NO | City: Carlsbad State: California Zip: 92008 |
| 3. Nature of Conveyance: (XX) Assignment () Merger () Name Change () Security Agreement () Other: Execution date: June 4, 1999 | Additional names (s) & address attached? () YES (XX) NO UED 6.21.19 |
| 4. Application number(s) or patent number(s): A. Patent Application No(s). 09/286,407 FILED April 5, 1999 B. Patent No(s). If this document is being filed together with a new application, the execution date of the application is: Additional numbers attached? () YES (XX) NO | |
| 5. Name and address of party to whom correspondence concerning documents should be mailed: | 6. Total number of applications and patents involved: One (1) |
| Jane Massey Licata, Esq. Law Offices of Jane Massey Licata 66 E. Main Street | 7. Total fee (37 CFR 3.41): \$() Enclosed(XX) Authorized to be charged to deposit account. |
| Marlton, New Jersey 08053 | 8. Deposit Account number: 12-1086 (Attach duplicate copy of this page if paying by deposit account.) |
| DO NOT USE THIS SPACE | |
| 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. | |
| Jane Massey Licata, Reg. No. 32,257 Name of Person Signing | |
| Signature gammung sucht June 16, 1999 | |
| Total number of pages comprising cover sheet, attachments, and document: Six | |

PATENT REEL: 010034 FRAME: 0533

ASSIGNMENT

WHEREAS, We, C. Frank Bennett, of Carlsbad, State of California; Elizabeth J. Ackermann, of Solano Beach, State of California; Eric E. Swayze, of Carlsbad, State of California; and Lex M. Cowsert, of Carlsbad, State of California, have invented a certain invention entitled Antisense Modulation of Survivin Expression for which we have made application for Letters Patent of the United States, said application having been filed April 5, 1999 and assigned Application No. 09/286,407; and

WHEREAS, ISIS Pharmaceuticals, Inc. hereinafter referred to as the assignee, of 2292 Faraday Avenue, Carlsbad CA, a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in our names applications for Letters

ASSIGNMENT - PAGE 1 OF 5

DOCKET NO.: ISPH-0349

Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title

ASSIGNMENT - PAGE 2 OF 5

and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

DATED: May 24, , 1999

C. FRANK BENNETT

State of California

SS.

County of San Diego

On this Amay of May, 1999, before me, the undersigned, a Notary Public for the state, personally appeared C. Frank Bennett, personally known to me/proved to me on the basis of satisfactory evidence to be the person who subscribed the foregoing Assignment and acknowledged to me that ne/she executed the same in his/her authorized capacity, and that by his/her signature on the Assignment the person, or the entity on behalf of which the person acted, executed the Assignment.

WITNESS my hand and official seal.

LANE B.D. SCHWARTZ
Commission # 1196197
Notary Public - California
San Diego County
My Comm. Expires Sep 13, 2002

Signature of Notary

DATED: <u>6 - 4</u>, 1999

Elicabeth J Hotermann ELIZABETH J. ACKERMANN

State of California) ss.

County of San Diego

On this 4th day of June, 1999, before me, the undersigned, a Notary Public for the state, personally appeared Elizabeth J. Ackermann, personally known to me/proved to me on the basis of satisfactory evidence to be the person who subscribed the foregoing Assignment and acknowledged to me that he/she executed the same in his/her authorized capacity, and that

by hais/her signature on the Assignment the person, or the entity on behalf of which the person acted, executed the Assignment.

WITNESS my hand and official seal.

JACQUELINE J. ALTMAN
Comm. # 1131778
NOTARY PUBLIC - CALIFORNIA
San Diego County
My Comm. Expires March 31, 2001

Signature of Notary Alfmin

DATED: S/U , 1999

ERIC E SWAYZE

State of California)) ss.
County of San Diego)

On this // day of Mary, 1999, before me, the undersigned, a Notary Public for the state, personally appeared Eric E. Swayze, personally known to me/proved to me on the basis of satisfactory evidence to be the person who subscribed the foregoing Assignment and acknowledged to me that be she executed the same in his/her authorized capacity, and that by his/her signature on the Assignment the person, or the entity on behalf of which the person acted, executed the Assignment.

LANE B.D. SCHWARTZ
Commission # 1196197
Notary Public - California
San Diego County
My Comm. Expires Sep 13, 2002

WITNESS my hand and official seal.

DATED: 5/26 , 1999

LEX M. COWSERT

State of California

) ss.

County of San Diego

On this 26 day of 700, 1999, before me, the undersigned, a Notary Public for the state, personally appeared Lex M. Cowsert, personally known to me/proved to me on the basis of satisfactory evidence to be the person who subscribed the foregoing Assignment and acknowledged to me

ASSIGNMENT - PAGE 4 OF 5

DOCKET NO.: ISPH-0349 PATENT

that he she executed the same in his/her authorized capacity, and that by his/her signature on the Assignment the person, or the entity on behalf of which the person acted, executed the Assignment.

WITNESS my hand and official seal.

LANE B.D. SCHWARTZ
Commission # 1196197
Notary Public - California
San Diego County
My Comm. Expires Sep 13, 2002

ignature of Notary

ASSIGNMENT - PAGE 5 OF 5

PATENT REEL: 010034 FRAME: 0538