

In place of Form PTO-1595



06-24-1999

PATENT

DOCKET NUMBER: RP9-99-040



TS

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy thereof:

**1. Name of conveying party(ies):**

Eric Thomas Gamble  
John Geoffrey Gundlach  
Edward John McNulty  
Brian Alan Trumbo  
Paul Andrew Wormsbecher

**2. Name and address of receiving party:**

INTERNATIONAL BUSINESS MACHINES CORP.  
New Orchard Road  
Armonk, New York 10504

Additional name(s) & address(es) attached?

\_\_\_\_ Yes XXX No

**3. Nature of conveyance:** XXX Assignment Execution Date: March 31, 1999 and May 10, 1999.

**4. Application or patent number(s):**

If this document is being filed together with a new application, the execution date of the application: \_\_\_\_.

Entitled: **"DISK DRIVE ENCLOSURE OPTIMIZED FOR MIXED SLIM AND HALF HIGH DRIVE SIZES"**

A. Patent Application No.(s): Serial No. 09/282,116

B. Patent No.(s):

**5. Name and address of party to whom correspondence concerning document should be mailed:**

Martin J. McKinley  
Personal Systems Group  
INTERNATIONAL BUSINESS MACHINES CORP.  
Intellectual Property Law Dept.  
Dept. 9CCA/002-2  
P. O. Box 12195  
RTP, NC 27709-2195

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 3.41):** \$ 40.00

Authorized to be charged to deposit account

**8. Deposit account number:** 09-1990

(Duplicate copy of this sheet is attached)

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**9. Statement and signature:**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Martin J. McKinley  
Name of Person Signing

Martin McKinley  
Signature

6-16-99  
Date

Total number of pages including cover sheet, attachments and document: 3

Mail document(s) to be recorded with required cover sheet information to:

Assistant Commissioner for Patents  
Box Assignments  
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( )

PATENT  
REEL: 010036 FRAME: 0630

**ASSIGNMENT**

Whereas, we,

- |     |   |                                       |
|-----|---|---------------------------------------|
| (1) | Eric Thomas Gamble<br>County of Wake        | Of Raleigh<br>State Of North Carolina |
| (2) | John Geoffrey Gundlach<br>County of Wake    | Of Raleigh<br>State Of North Carolina |
| (3) | Edward John McNulty<br>County of Wake       | Of Raleigh<br>State of North Carolina |
| (4) | Brian Alan Trumbo<br>County of Wake         | Of Cary<br>State of North Carolina    |
| (5) | Paul Andrew Wormsbecher<br>County of Orange | Of Apex<br>State of North Carolina    |

have invented certain improvements in

**DISK DRIVE ENCLOSURE OPTIMIZED FOR MIXED SLIM AND HALF HIGH DRIVE SIZES"**

and executed a United States patent application therefor on the following date(s):

- |     |                |     |                |     |
|-----|----------------|-----|----------------|-----|
| (1) | <u>3/31/99</u> | (2) | <u>5/10/99</u> |     |
| (3) | <u>3/31/99</u> | (4) | <u>3/21/99</u> | and |
| (5) | <u>3/31/99</u> |     |                |     |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>RTP, NC</u>	<u>3/31/99</u>	<u>Eric Thomas Gamble</u> Eric Thomas Gamble
(2)	<u>RTP, NC</u>	<u>5/10/99</u>	<u>John Geoffrey Gundlach</u> John Geoffrey Gundlach
(3)	<u>RTP, NC</u>	<u>3/31/99</u>	<u>Edward John McNulty</u> Edward John McNulty
(4)	<u>RTP, NC</u>	<u>3/31/99</u>	<u>Brian Alan Trumbo</u> Brian Alan Trumbo
(5)	<u>RTP, NC</u>	<u>3/31/99</u>	<u>Paul Andrew Wormsbecher</u> Paul Andrew Wormsbecher