

06-24-1999

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U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Commissioner of Patents and T.

101073767

documents or copy thereof

## 1. Name of conveying party(ies):

Christopher Nix and Thorsten Siess  
Additional name(s) of conveying party(ies)  
attached? ☐ Y ☒ N

## 2. Name and address of receiving party(ies):

Impella Cardioteknik GmbH,  
Pauwelsstrasse 19,  
Aachen, Germany 52074

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
Execution Dates: October 7, 1998☐ Y ☒ N

308 Rec'd PCT/PTO 24 NOV 1998

## 4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of the  
application is: November 24, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Y ☒ N

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Gunther Hanke  
Fulwider Patton Lee & Utecht, LLP  
200 Oceangate, Suite 1550  
Long Beach, California 908016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed☐ Charge to deposit account☒ Charge any additional fees to our deposit  
account8. Deposit account number: 21-0800  
(Duplicate attached)

12/04/1998 WCLAYBRO 00000112 09194521

02 FC 581 Statement and signature of 00 OP

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true  
copy of the original document.*  
Gunther Hanke Reg. No. 32,989

Date: November 24, 1998

Total number of pages comprising cover sheet: 1

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box: Assignment

Washington, D.C. 20231-9998

# ASSIGNMENT

In consideration of One Dollar (\$1.00) in hand paid and other good and valuable considerations, the receipt of which is hereby acknowledged, the undersigned [hereinafter (collectively) named "Assignor"] hereby assigns and transfers to

Name of  
Assignee

**Impella Cardioteknik GmbH, Pauwelsstrasse 19, 52074 Aachen, Germany**

Place of  
Assignee's  
Incorporation:

a German corporation (hereinafter named "Assignee"), its successors, legal representatives, and assigns, the entire right, title and interest in and to Assignor's application for Letters Patent of the United States, executed concurrently herewith unless otherwise indicated herein, entitled

Title of  
Application:

**An intracardiac blood pump**

and to Assignor's entire right, title and interest in any and all inventions, whether joint or sole, disclosed in said application for Letters Patent, and in any and all divisional or continuation or renewal applications that may be filed for United States Letters Patent for any and all of said inventions, and in and to any and all patents that may be granted on the foregoing applications and any reissue or extension thereof, and in any and all improvements thereon or relating thereto which the Assignor has invented or may hereafter invent.

The Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all of said Letters Patent to said Assignee.

For said consideration, the Assignor hereby agrees upon the request of said Assignee, its successors, legal representatives or assigns, to execute any and all United States divisional, continuation and renewal applications for said invention or improvements, and any and all necessary oaths, supplemental oaths or declarations or supplemental declarations or affidavit relating thereto, and any application for the reissue or extension of any United States Letters Patent that may be granted upon said application that said Assignee, its successors, legal representatives or assigns may deem necessary or expedient.

For the said consideration the Assignor further agrees upon the request of said Assignee, its successors, legal representatives or assigns, in the event of said application or any division thereof, or Letters Patent issued thereon or any reissue or application for the reissue thereof, becoming involved in interference, to cooperate to the best of the ability of the Assignor with said Assignee, its successors, legal representatives or assigns in the matters of preparing and executing the Preliminary Statement and giving and producing evidence in support thereof, the Assignor hereby agreeing to perform upon such request, any and all affirmative acts necessary to obtain said Letters Patent and vest all rights therein hereby conveyed in said Assignee, its successors, legal representatives or assigns as fully and entirely as the same would have been held and enjoyed by the Assignor if this assignment and sale had not been made.

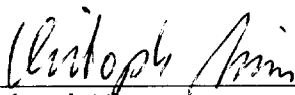
Assignor hereby binds himself, his heirs, legal representatives, administrators, and assigns properly to execute without further consideration, any and all applications, petitions, oaths, assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment and transfer hereby made, or intended or agreed to be made.

Note:  
This para-  
graph to  
be crossed  
out if not  
applicable

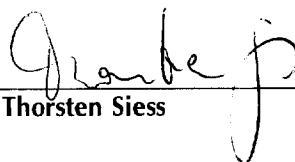
And for said considerations, the Assignor hereby assigns to said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in said invention or improvement for any and all foreign countries and agrees upon the request of said Assignee, its successors, legal representatives or assigns to execute any and all documents that shall be required of the Assignor to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee, its successors, legal representatives or assigns.

Witness, the hand and seal of the Assignor,

this 7 day of Oct. , 1998

  
\_\_\_\_\_  
Christoph Nix (L.S.)

this 7 day of Oct. , 1998

  
\_\_\_\_\_  
Thorsten Siess (L.S.)