

09/101301

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U.S. Department of Commerce  
Patent and Trademark Office

101073765

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copies thereof.

## 1. Name of Conveying Party(ies):

Gregor BERZ

☐ Additional names of conveying parties attached.

## 3. Nature of Conveyance:

- ☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Other:

Execution Date: 22 October 1998

## 2. Name and Address of Receiving Party(ies):

Name: GIESECKE &amp; DEVRIENT GmbH

Internal Address:

Street Address: Prinzregentenstrasse 159

City, State, Zip: 81677 Munchen, GERMANY

☐ Additional name(s) and address(es) attached.

## 4. (A) Patent Application Number(s):

09/101,301

## 4. (B) Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Numbers Attached

## 5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: J. ERNEST KENNEY

 Address: Bacon & Thomas  
 625 Slaters Lane  
 Alexandria, VA 22314
Total Number of Applications and  
Patents Involved:

1

7. Total Fee:  
(37 CFR 3.41)

\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-0200

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## 9. Statement and Signature:

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*

J. ERNEST KENNEY, Reg. No. 19,179

June 3, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 2

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 PATENT  
 REEL: 010037 FRAME: 0828

# ASSIGNMENT

WHEREAS, I (we), **Gregor BERZ**

whose post office address(es) appear(s) below, hereinafter referred to as **ASSIGNOR**, have invented certain new and useful improvements in **METHOD FOR PROCESSING SHEET MATERIAL SUCH AS BANK NOTES**

(hereinafter referred to as the **INVENTION**) for which an application for United States Letters Patent was

☐ executed on even date herewith

☐ executed on:

☐ filed on: Serial No.:

☒ established by PCT International Patent Application No.: **PCT/EP97/06246** (U.S. Application No. **09/101,301**) filed: **10 November 1997**

designating the United States of America

WHEREAS, **GIESECKE & DEVRIENT GmbH**

whose post office address is **Prinzregentenstrasse 159, 81677 Munchen, Germany**

hereinafter referred to as **ASSIGNEE**, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), **ASSIGNOR**, by these presents do sell, assign and transfer unto said **ASSIGNEE**, the entire right, title, and interest in and to said **INVENTION** and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, **ASSIGNOR** hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said **INVENTION**, including additional documents that may be required to affirm the rights of **ASSIGNEE** in and to said **INVENTION**, all without further consideration. **ASSIGNOR** also agrees, without further consideration and at **ASSIGNEE**'s expense, to identify and communicate to **ASSIGNEE** at **ASSIGNEE**'s request documents and information concerning the **INVENTION** that are within **ASSIGNOR**'s possession or control, and to provide further assurances and testimony on behalf of **ASSIGNEE** that lawfully may be required of **ASSIGNOR** in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. **ASSIGNOR**'s obligations under this instrument shall extend to **ASSIGNOR**'s heirs, executors, administrators and other legal representatives.

ALSO, **ASSIGNOR** hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to **ASSIGNEE**, as the **ASSIGNEE** of the entire right, title and interest in and to the same, for **ASSIGNEE**'s sole use and behoof; and for the use and behoof of **ASSIGNEE**'s legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by **ASSIGNOR** had this assignment and sale not been made.

**ASSIGNOR** authorizes any member of the firm of **Bacon & Thomas** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

Assignor Name <b>Gregor BERZ</b>	Address <b>Seeweg-Sud 19 86911 Dießen, Germany</b>
Where Signed <b>86911 Dießen, Germany</b>	
Date <b>22. Oktober 1998</b>	Signature <b>Dr. Gregor Berz</b>
(Notarization preferred but not required)	
ss.	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 19____.	
_____ Notary Public	
My Commission Expires: _____	