

06-25-1999



FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

101074777

OVER SHEET 21 1110 14 U.S. DEPARTMENT OF COMMERCE  
LY Patent and Trademark Office

OFF/FINANCE

To the Hon. Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Feng Xu  
Peter Wagner

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance: 6-21-99

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: May 13, 1999 and May 18, 1999

## 2. Name and address of receiving party(ies):

Name: Novo Nordisk Biotech, Inc.

Internal Address:

Street Address: 1445 Drew Avenue

Davis, CA 95616

Additional name(s) & address(es) attached? ☒ Yes ☐ No

Novo Nordisk A/S  
Novo Alle  
DK-2880, Bagsvaerd  
Denmark

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 13, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of the party to whom correspondence concerning document should be mailed:

Name: Steve T. Zelson, Esq.

Internal Address:

Novo Nordisk of North America, Inc.

Street Address: 405 Lexington Avenue,  
Suite 6400

City: New York State: NY Zip: 10174-6401

## 6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

## 8. Deposit account number:

14-1447

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert L. Staaves  
Name of Person Signing

Robert L. Staaves  
Signature

June 16, 1999  
Date

Total number of pages including cover sheet, attachments, and document: 3

**ASSIGNMENT OF APPLICATION FOR PATENT**

WHEREAS:

**Peter Wagner**, a citizen of Denmark, residing at Borgerbo 7. 1<sup>st</sup> Floor, 2300 Copenhagen S, Denmark

(hereinafter ASSIGNOR), has made a discovery or invention entitled:

**Methods For Using Dehydrogenases In Baking**

for which application of Letters Patent of the United States has been filed on May 13, 1999, under Serial No. To Be Assigned, and

WHEREAS:

NOVO NORDISK A/S, a corporation organized under the laws of Denmark, located at Novo Alle, DK-2880 Bagsvaerd, Denmark, (hereinafter ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of one dollar (\$1) or the equivalent thereof, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, continuation-in-part, renewal, extension, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

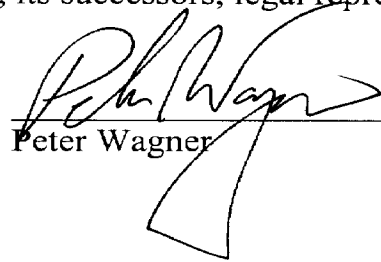
SAID ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

SAID ASSIGNOR hereby covenants that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over.

AND SAID ASSIGNOR hereby further covenants and agrees that the ASSIGNEE, its successors, legal representatives, and assigns, may apply for foreign Letters Patent on said

discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives or assigns, I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Date: 18-5-99

  
Peter Wagner

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Xu et al.

Serial No.: 09/311,687

Group Art Unit: 1761

Filed: May 13, 1999

Examiner: To Be Assigned

For: Methods For Using Dehydrogenases In Baking

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

Box Assignment  
Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

I hereby certify that the attached correspondence comprising:

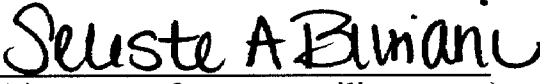
1. Recordation Form Cover Sheet
2. Executed Assignment

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks  
Washington, DC 20231

on June 16, 1999.

Seleste A. Buriani  
(name of person mailing paper)

  
(signature of person mailing paper)