

RECORDATION
PATENT APP.

06-25-1999

TO THE ASSISTANT COMMISSIONER OF PATENTS /
SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENT

1. NAME OF CONVEYING PARTY(IES) (ASSIGNOR(S))

1. Bernd NICKEL
3. Jurgen SCHMIDT
5. Dietmar REICHERT
7. Kay BRUNE

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: ASTA Medica Aktiengesellschaft

ADDRESS: An der Pikardie 10, D-01277, Dresden

06/22/1999 AMOHAMME 00000129 09285058

05 FC:581

40.00 DP

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

- ☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST
☐ CHANGE OF NAME ☐ ACCURATE TRANSLATION

EXEC. DATE: 1st Inventor: April 19, 1999;
 2nd Inventor: May 1, 1999; 3rd Inventor: May 8, 1999;
 4th and 5th Inventor: April 20, 1999;
 6th Inventor: April 27, 1999; 7th Inventor: May 5, 1999

- ☐ SECURITY ☐ MERGER ☐ OTHER:

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (**NOTE:** IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) 1st Inventor: April 19, 1999; 2nd Inventor: May 1, 1999; 3rd Inventor: May 8, 1999;
 4th and 5th Inventor: April 20, 1999; 6th Inventor: April 27, 1999; 7th Inventor: May 5, 1999

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1* INVENTOR if not in item 1	B. PATENT NO.(S)	M#	1* INVENTOR if not in item 1
09/285,058	259955				

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:

Pillsbury Madison & Sutro LLP
Intellectual Property Group
 1100 NEW YORK AVENUE, N.W.
 NINTH FLOOR, EAST TOWER
 WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS _____ = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 259955 98/02 PH/DE
 MATTER NO. CLIENT REF.

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO 11468 259955
 dup. sheet not required CLIENT NO. MATTER NO.

9. STATEMENT AND SIGNATURE

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

06/22/1999 AMOHAMME 00000129 09285058

01 FC:101 750.00 DP
 02 FC:105 120.00 DP
 03 FC:102 120.00 DP
 04 FC:104 250.00 DP

Signature

Attorney: Ann S. Hobbs

Reg. No. 36830

Att/Sec: ASH/slb

TEL: (202) 861-3063

Date: June 21, 1999

FAX: (202) 822-0944

10. Total number of pages including this
 cover sheet, attachments and document
 (do not file dup. Cover sheet)

7

FILE WITH PTO RETURN RECEIPT (PAT-103A)

Please return signed/recorded to:

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For Inventions made outside USA
executed with or after application

Atty. Dkt. PMS 259955 M# 98/02 PH/DE
Client Ref.

NONPROVISIONAL

ASSIGNMENT
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT
NAME(S) OF
INVENTOR(S)

(1) Bernd NICKEL	(2) Istvan SZELENYI
(3) Jürgen SCHMIDT	(4) Peter EMIG
(5) Dietmar REICHERT	<input checked="" type="checkbox"/> x box if continued on page 2

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT
NAME(S) OF
ASSIGNEE(S)
& ADDRESS(ES)

ASTA Medica Aktiengesellschaft

An der Pikardie 10,

D-01277, Dresden

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF
INVENTION

INDOLYL-3-GLYOXYLIC ACID DERIVATIVES HAVING ANTITUMOR ACTION

for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → →

(Complete

line A, B and/or C)

(A) ☐ even date herewith

(B) ☒ on April 2, 1999

(C) ☐ in U.S. Appln. No. / filed

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

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INVENTORS

1):
Name: Bernd NICKEL
2)
Name: Istvan SZELENYI
3)
Name: Jürgen SCHMIDT
4) Name: Peter EMIG
Name: Peter EMIG
5) Name: Dietmar REICHERT
Name: Dietmar REICHERT

DATE SIGNED

April 19/99

WITNESSES

April 20/1999

April 20/1999

ASSIGNMENT
(Continued from page 1)

LISTING OF ADDITIONAL INVENTORS

INSERT
NAME(S) OF
INVENTOR(S)

(6) Eckhard GÜNTHER	(7) Kay BRUNE
(8)	(9)
(10)	(11)
(12)	(13)
(14)	(15)

SIGNATURES OF ADDITIONAL INVENTORS/WITNESSES/DATES SIGNED

INVENTORS

DATE SIGNED

WITNESSES

6):

Name:

Eckhard GÜNTHER

7)

Name:

Kay BRUNE

8)

Name:

9)

Name:

10)

Name:

11)

Name:

12)

Name:

13)

Name:

14)

Name:

15)

Name:

April 27/99

W. N. Meyer

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05/07/99

Birgit Reiter: Birgit Reiter

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Name: Dietmar REICHERT

8 Mar 1999

S. J. Heller-Schmidt

ASSIGNMENT
(Continued from page 1)

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5/5/99

d. W. B.

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PATENT
REEL: 010040 FRAME: 0307

PAT-114 11/97