

06-25-1999

FORM PTO-1595

Recordat

(Rev. 6-93)

OMD No. 0651-0011 (exp. 4/94)

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DEPARTMENT OF COMMERCE
Patent and Trademark Office

101074824

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Jian Gu

6-21-99

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 06/08/99

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard RoadCity: Armonk State: NY ZIP: 10504Additional name(s) & address(es) attached? ☒ No ☐ Yes

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/881,690

B. Patent No.(s)

Additional numbers attached?

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Blakely, Sokoloff, Taylor & Zafman LLP

Internal Address: _____

Street Address: 12400 Wilshire Boulevard
7th FloorCity: Los Angeles State: CA ZIP: 900256. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

06/23/1999 HTHA11 00000101 00881690

01 FC:581

40.00 OP

Roger W. Blakely, Jr., Reg. No. 25,831

Name of Person Signing

Signature

June 15, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents, Box Assignments

Washington, D.C. 20231

Attorney Docket No. 002661.P007PATENT
REEL: 010041 FRAME: 0379

ASSIGNMENT
(For Execution After Filing Patent
Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned:

Jian Gu

hereby sell, assign, and transfer to:

International Business Machines Corporation

a corporation of New York, having a principal place of business at New Orchard Road, Armonk, New York 10504, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions and improvements that are disclosed in the application for the United States patent that was filed June 24, 1997, and assigned Application No. 08/881,690 and is entitled:

**METHOD OF SAMPLING, DOWNCONVERTING, AND DIGITIZING A BANDPASS
SIGNAL USING A DIGITAL PREDICTIVE CODER**

and in and to said application and all divisional, continuing, continuation-in-part, substitute, renewal, reissue, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said inventions and improvements; and in and to all original and reissued patents that have been or shall be issued in the United States and all foreign countries on said inventions and improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said inventions and improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, continuation-in-part, substitute, renewal, reissue, and all other patent applications on any and all said inventions and improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said inventions and improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said inventions and improvements and for vesting title to said inventions and improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Each Inventor: Please Sign and Date Below:

June 8, 1999

Date:

Jian Gu

Name: **Jian Gu**

Date:

Name:

Date:

Name:

Date:

Name:

Date:

Name:

Date:

Name:

Date:

Name:

Date:

Name:

Date:

Name:

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, CA 90025-1026
Telephone: (714) 557-3800