

06-25-1999

ney Docket No. 010577-043300US

FORM PTO-1595  
(Rev. 6-93)



U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents

Documents or copy thereof

101074481

1. Name of conveying party(ies):

Johannes Klein  
Keith B. Evans  
Albert C. Gondi  
Sitaram V. Lanka  
Roger J. Hansen

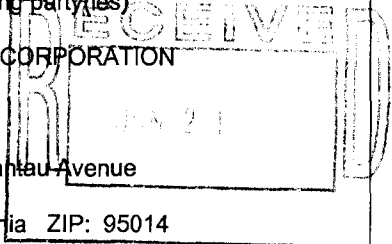
Name and address of receiving party(ies)

Name: COMPAQ COMPUTER CORPORATION

Internal Address:

Street Address: 10435 North Tantau Avenue

City: Cupertino State: California ZIP: 95014



Additional name(s) of conveying parties attached?  Yes  
 No.

Additional names and addresses attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other:

Execution Date:

6-21-99

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/267,426

B. Patent No(s):

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Bennett  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

Enclosed  Charge Fees to Deposit Account

Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Robert J. Bennett  
Name of Person Signing

Signature

6-15-99  
Date

Atty Reg. No. 27,533

Total number of pages including cover sheet, attachments and document 5

10. Change Correspondence Address to that of Part 5?  Yes  No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

6/24/1999 MTHAI1 00000086 201430 09267426

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Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

SF 1003366 v1

PATENT  
REEL: 010042 FRAME: 0390

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Johannes Klein, of 55 Coleridge, San Francisco, California 94110, Keith B. Evans, of 38737 Almaden Place, Fremont, California 94536, Albert C. Gondi, of 3387 Forest Avenue, Santa Clara, California 95050, Sitaram V. Lanka, of 109 Hilary Avenue, Mountain View, California 94040, Roger J. Hansen, of 2552 Hyde Street, San Francisco, California 94109, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHOD AND APPARATUS FOR CONDUCTING A TRANSACTION BETWEEN HOMOGENEOUS AND/OR HETEROGENEOUS TRANSACTION PROCESSING SYSTEMS USING ASYNCHRONOUS PULL OF A TRANSACTION TRANSFER

Date(s) of execution of Declaration: \_\_\_\_\_

Filing Date: \_\_\_\_\_

Application No.: \_\_\_\_\_; and

WHEREAS, Tandem Computers Incorporated, a corporation of the state of Delaware, located at 10435 N. Tantau Avenue, Loc. 200-16, Cupertino, California 95014, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

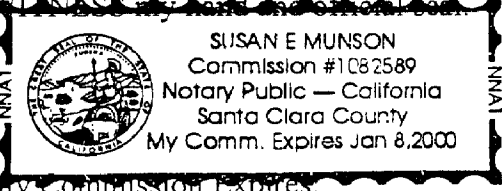
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 3/11/99

[Signature]  
Johannes Klein

STATE OF CALIFORNIA )  
COUNTY OF Santa Clara ) ss.

On 11 March 1999, before me, [Signature], personally appeared Johannes Klein, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.  
  
My Commission Expires: \_\_\_\_\_

[Signature]  
NOTARY PUBLIC

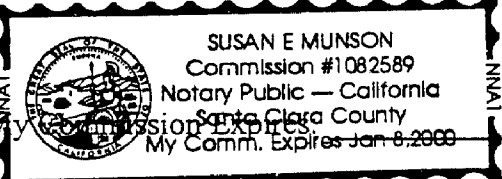
Dated: 3/11/99

[Signature]  
Keith B. Evans

STATE OF CALIFORNIA )  
COUNTY OF Santa Clara ) ss.

On 11 March, before me, [Signature], personally appeared Keith B. Evans, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

  
My Commission Expires: \_\_\_\_\_

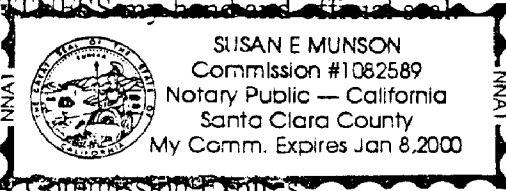
[Signature]  
NOTARY PUBLIC

Dated: 3/11/99

[Signature]  
Albert C. Gondi

STATE OF CALIFORNIA )  
COUNTY OF Santa Clara ) ss.

On 11 March, before me, [Signature], personally appeared Albert C. Gondi, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.  
  
My Commission Expires: \_\_\_\_\_

[Signature]  
NOTARY PUBLIC

Dated: 6/9/99

[Signature]  
Sitaram V. Lanka

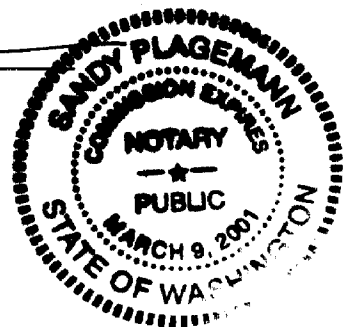
STATE OF ~~CALIFORNIA~~ <sup>WASHINGTON</sup> )  
COUNTY OF KING ) ss.

On 6/9/99, before me, [Signature], personally appeared Sitaram V. Lanka, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]  
NOTARY PUBLIC

My Commission Expires: 3/9/2001



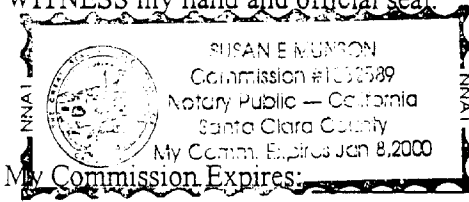
Dated: 3/11/99

Roger J. Hansen  
Roger J. Hansen

STATE OF CALIFORNIA )  
COUNTY OF Santa Clara ) ss.

On 11 March 1999, before me, Susan Munson, personally appeared Roger J. Hansen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Susan E. Munson  
NOTARY PUBLIC

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