

06-25-1999



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ali R. Rezai

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Change of Name
☐ Security Agreement ☐ Merger
☐ Other

Execution Date: June 11, 1999

Additional name(s) & address(es) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ElectroCore Techniques, LLC

Internal Address:

Post Office Address: 47 Maple St., Suite 102

City: Summit State: N.J. Zip: 07901

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

June 14, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers ☐ Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph P Errico, Esq.

Internal Address:

Street Address: 150 Douglas Rd.

City: Far Hills State: NJ

Zip: 07901

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 06-0245

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing:

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Signature

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Joseph P. Errico, Esq.

Date

6/14/99

Total number of pages including cover sheet, attachments, and

1

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, I, the below-named inventor whose residence and Post Office are as stated below, have invented certain new and useful improvements in

A Method of Treating Psychological Disorders by Brain Stimulation Within the Thalamus

for which an application for United States Letters Patent was filed on June 14, 1999 and a declaration therefor was executed by me on even date herewith, and

WHEREAS, ElectroCore Techniques, LLC., a limited liability company of the State of Delaware, having a postal address 47 Maple St., Suite 102, Summit, NJ 07901, hereinafter called the ASSIGNEE, is desirous of acquiring the entire right, title and interest in the application and invention and to any Letters Patent that may be granted therefor in the United States of America and in any and all foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to ASSIGNEE the entire right, title and interest in the application and the invention, to all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property, and in any Letters Patent on the invention that may be granted in the United States of America and in any and all foreign countries, including any and all Letters Patent that are divisions, reissues, continuations, substitutions or extensions of any Letters Patent or applications therefor on the invention.

I hereby authorize and request the Commissioner of the United States Patent and Trademark Office and the officials of any and all foreign countries to issue any and all of said Letters Patent, when granted, to the ASSIGNEE.

Further, I hereby agree to communicate to said ASSIGNEE or its representatives any facts known to me respecting said invention, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, to execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, and to make all rightful oaths and generally do everything possible to aid said ASSIGNEE and its representatives to obtain and enforce proper protection for said invention in the United States of America and in any and all foreign countries.

I further agree that the terms, covenants and conditions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns, and legal representatives, and shall be binding on me, my heirs, legal representatives, and assigns.

Full name of first, or sole, inventor: **Ali R. Rezai**

Inventor's signature*: _____



6/11/99
date

Residence: **630 First Ave., Apt. 25A, New York City, N.Y. 10016**

Citizenship: **USA**

Post Office Address: **same as residence**