

06-28-1999

In place of Form PTO-1595

Docket No. AT9-98-440



EET

101077090

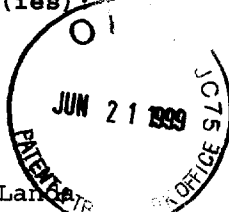
To the Assistant Commissioner of Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tushar Chandra
Ching-Yun Chao
Roger E. Hough
Daniel M. Hsieh
Rodolfo A. Mancisidor-Lan
Javashree Ramanathan
Amal A. Shaheen

2. Name and address of

receiving party(ies):
International Business
Machines Corporation
New Orchard Road
Armonk, New York 10504



3. Nature of conveyance:

X Assignment

Execution Date: June 1, 1999; May 24, 1999; May 21, 1999; May 24, 1999; June 1, 1999; May 28, 1999; and May 26, 1999.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

09/282,225

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Kelly K. Kordzik
Winstead Sechrest & Minick
5400 Renaissance Tower
1201 Elm Street
Dallas, TX 75270
(512) 370-2851

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

____ Authorized to be charged to deposit account
X Enclosed is a check.

8. Deposit account number: _____

06/23/1999 SSALEEKU 00000035 09282225

02 FC:561

40.00 UP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kelly K. Kordzik

Name of Person Signing

[Signature]
Signature

Date

Total number of pages including cover sheet: 7

:::ODMA\PCDOCS\AUSTIN_1\118387\1 207:7047-P279US

PATENT
REEL: 010045 FRAME: 0563

A S S I G N M E N T

Whereas, we,

- | | |
|------------------------------------------------------|---------------------------------------------|
| (1) Tushar Chandra,
County of New York | of New York City,
and state of New York, |
| (2) Ching-Yun Chao,
County of Travis | of Austin,
and state of Texas, |
| (3) Roger E. Hough,
County of Travis | of Austin,
and state of Texas, |
| (4) Daniel M. Hsieh,
County of Travis | of Austin,
and state of Texas, |
| (5) Rodolfo A. Mancisidor-Landa,
County of Travis | of Austin,
and state of Texas, |
| (6) Jayashree Ramanathan,
County of Williamson | of Round Rock,
and state of Texas, |
| (7) Amal A. Shaheen,
County of Travis | of Austin,
and state of Texas, |

have invented certain improvements in

APPARATUS AND METHOD FOR TRACKING ACCESS TO DATA RESOURCES IN A CLUSTER ENVIRONMENT

and executed a United States patent application therefor on *(date Inventor signed Declaration)*

- | | |
|-------------------------|-------------------------------|
| (1) _____ | (2) <u>May 24, 1999</u> |
| (3) <u>May 21, 1999</u> | (4) <u>May 24, 1999</u> |
| (5) <u>June 1, 1999</u> | (6) <u>May 28, 1999</u> , and |
| (7) <u>May 26, 1999</u> | |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now, therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at _____ on _____, 1999.
(City & State) (Date)

Tushar Chandra

(2) Signed at Austin on May 24, 1999.

Ching-Yun Chao

(3) Signed at Austin on May 21, 1999.

Roger E. Hough

(4) Signed at Austin on May 34, 1999.

Daniel M. Hsieh

(5) Signed at Austin on June 1, 1999.

Rodolfo A. Mancisor-Landa
Rodolfo A. Mancisor-Landa

(6) Signed at Austin on 28th May, 1999.

Jayashree Ramanathan
Jayashree Ramanathan

(7) Signed at Austin on May 26, 1999.

Amal A. Shaheen
Amal A. Shaheen

::ODMA\PCDOCS\AUSTIN_1\118384\1
207:7047-P279US

AT9-98-440

ASSIGNMENT

Whereas, we,

- | | |
|------------------------------------------------------|---------------------------------------------|
| (1) Tushar Chandra,
County of New York | of New York City,
and state of New York, |
| (2) Ching-Yun Chao,
County of Travis | of Austin,
and state of Texas, |
| (3) Roger E. Hough,
County of Travis | of Austin,
and state of Texas, |
| (4) Daniel M. Hsieh,
County of Travis | of Austin,
and state of Texas, |
| (5) Rodolfo A. Mancisidor-Landa,
County of Travis | of Austin,
and state of Texas, |
| (6) Jayashree Ramanathan,
County of Williamson | of Round Rock,
and state of Texas, |
| (7) Amal A. Shaheen,
County of Travis | of Austin,
and state of Texas, |

have invented certain improvements in

APPARATUS AND METHOD FOR TRACKING ACCESS TO DATA RESOURCES IN A CLUSTER ENVIRONMENT

and executed a United States patent application therefor on *(date Inventor signed Declaration)*

- (1) June 1 '99, (2) _____,
(3) _____, (4) _____,
(5) _____, (6) _____ and
(7) _____.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

AT9-98-440

Now, therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Hawthorne, NY on June 1, 1999.
(City & State) (Date)

Tushar Deepak Chandra
Tushar Chandra

(2) Signed at _____ on _____, 1999.

Ching-Yun Chao

(3) Signed at _____ on _____, 1999.

Roger E. Hough

(4) Signed at _____ on _____, 1999.

Daniel M. Hsieh

AT9-98-440

(5) Signed at _____ on _____, 1999.

Rodolfo A. Mancisidor-Landa

(6) Signed at _____ on _____, 1999.

Jayashree Ramanathan

(7) Signed at _____ on _____, 1999.

Amal A. Shaheen

::ODMA\PCDOCS\AUSTIN_INV\18384\1
207:7047-P279US