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DEPARTMENT OF COMMERCE
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1595 U.S. PTO
09/336343

06/18/99

To the Honorable Commissioner of Patents,
documents or copy thereof.

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ached original

| | |
|---|---|
| <p>1. Name of conveying party(ies):</p> <p>Darryl D. Baldwin James B. Maddock Fred (NMI) Mehdian Anthony E. Sloan</p> <p>Additional Names attached: [] Yes [X] No</p> | <p>2. Name and address of receiving party(ies):</p> <p>Name: <u>Caterpillar Inc.</u></p> <p>Internal Address: <u>Intellectual Property Department</u></p> <p>Street Address: <u>100 N.E. Adams Street</u></p> <p>City: <u>Peoria</u> State: <u>Illinois</u></p> <p>Zip: <u>61629-6490</u></p> <p>Additional name(s) & addresses attached? [] Yes [X] No</p> |
| <p>3. Nature of Conveyance:</p> <p>[X] Assignment [] Security Agreement [] Other</p> <p>Execution Date(s): 06/16/99</p> | <p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is <u>06/16/99</u>.</p> <p>A. Patent Application No.(s) _____ B. Patent No.(s) <u>09/336343</u></p> <p>Additional numbers attached? [] Yes [X] No</p> <p>Title of Invention: METHOD AND APPARATUS FOR CONTROLLING THE SPEED OF AN ENGINE</p> <p>Attorney Docket No.: 99-258</p> |
| <p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>W. Bryan McPherson III</u> <u>CATERPILLAR INC.</u></p> <p>Internal Address: <u>Intellectual Property Dept.</u></p> <p>Street Address: <u>100 N. E. Adams Street</u></p> <p>City: <u>Peoria</u> State: <u>IL</u></p> <p>Zip: <u>61629-6490</u></p> | <p>6. Total number of applications and patents involved [1]</p> <p>7. Total fee (37 CFR §3.41) \$ <u>40.00</u> [] Enclosed [X] Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>03-1129</u> ✓ (If charged duplicate copy attached)</p> |
| <p>9. Statement and signature:</p> <p>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p><u>W. Bryan McPherson III</u> <u>W. Bryan McPherson III</u> <u>06/18/99</u> Name of Person Signing Signature Date</p> <p>Total number of pages comprising cover sheet, attachments, and document: [4]</p> | |
| <p>Mail document to be recorded with required cover sheet information to:</p> <p>Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231</p> | |

06/28/1999 MTHA11 00000054-031129 09336343
01 FC:581 (40.00 CH)

ASSIGNMENT

WHEREAS, DARRYL D. BALDWIN; JAMES B. MADDOCK; FRED (NMI) MEHDIAN; and ANTHONY E. SLOAN, residing respectively at Lacon, Illinois; Washington, Illinois; Peoria, Illinois; and Lacon, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

METHOD AND APPARATUS FOR CONTROLLING THE SPEED OF AN ENGINE

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on

June 16, 1999, June 16, 1999,
June 16, 1999, and June 16, 1999; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

Darryl D. Baldwin
DARRYL D. BALDWIN

6/16/99
Date

IN WITNESS OF the execution hereof by DARRYL D. BALDWIN we have hereunto set our hands.

Howard H. Taylor 6-16-99
Witness (1) (Date)

Address: 225 W. Warendale Rd.
Peoria, IL 61614

Michael R. Rente 6/16/99
Witness (2) (Date)

Address: 2510 SILVERLEAF ST.
CHILLICOTHE, IL 61527

James B. Maddock
JAMES B. MADDOCK

6/16/99
Date

IN WITNESS OF the execution hereof by JAMES B. MADDOCK we have hereunto set our hands.

Lisa Martinez 06/16/99
Witness (1) (Date)

Address: 225 W. Stonegate Rd.
Peoria, IL 61614

Satish Yadav 6/16/99
Witness (2) (Date)

Address: 5524 W. TIMBEREDGE DR.
PEORIA, IL 61615

F. Mehdian
FRED (NMI) MEHDIAN

6/16/99
Date

IN WITNESS OF the execution hereof by FRED (NMI) MEHDIAN we have hereunto set our hands.

Lisa Martinez 06/16/99
Witness (1) (Date)

Address: 225 W. Stonegate Rd.
Peoria, IL 61614

Satish Yadav 6/16/99
Witness (2) (Date)

Address: 5524 W. TIMBEREDGE DR.
PEORIA, IL 61615

Anthony E Sloan
ANTHONY E SLOAN

6/16/99
Date

IN WITNESS OF the execution hereof by ANTHONY E. SLOAN we have hereunto set our hands.

Harold H. Taylor 6-16-99
Witness (1) (Date)

Address: 225 W. Main St.
Chillicothe, IL 61514

Malcolm D. 6/16/99
Witness (2) (Date)

Address: 2570 SILVERCREAST.
CHILLICOTHE, IL. 61523