

06-28-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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HEET

101077538

To the Honorable Commissioner of Pat.

original documents or copy thereof.

1. Name of conveying party(ies):

John Michael Hergenrother
Donald Paul Monroe
Gary Robert Weber

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **Lucent Technologies Inc.**

Internal Address: _____

Street Address: **600 Mountain Avenue, P. O. Box 636**

City: **Murray Hill** State: **NJ** Zip: **07974-0636**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: **6/18/99**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is **6/18/99**

A. Patent Application No.(s)

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

09/335707

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**

Internal Address: **Room 3C-512**

Street Address: **600 Mountain Avenue**

P. O. Box 636

City: **Murray Hill**, State: **New Jersey**, Zip: **07974-0636**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) \$ **\$40.00** ✓

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **12-2325**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard J. Botos - Reg. No. 32016

Name of Person Signing

Signature

June 18, 1999

Date

Total number of pages including cover sheet, attachments, and document: **4**

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D. C. 20231

06/28/1999 MTHAI 00000053 122325 09335707
01 FC:581 40.00 CH

HERGENROTHER 3-6-10

PATENT
REEL: 010046 FRAME: 0835

ASSIGNMENT AND AGREEMENT

For value received, we, John Michael Hergenrother, of Short Hills in the County of Essex and State of New Jersey, Donald Paul Monroe, of Berkeley Heights in the County of Union and State of New Jersey, and Gary Robert Weber, of Whitehouse Station in the County of Hunterdon and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Process For Fabricating Vertical Transistors** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

J.M. Hergenrother 3-6-10

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

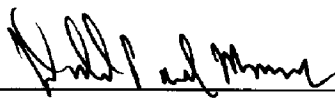
John Michael Hergenrother
John Michael Hergenrother

Date: 6/18/99

United States of America)
State of New Jersey) ss.:
County of Union)

On this 18th day of June, 1999, before me personally came John Michael Hergenrother, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

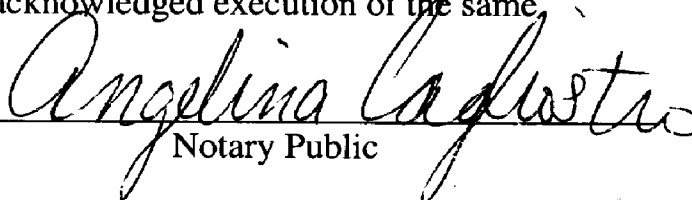
Angelina Cagliostro
Notary Public
ANGELINA CAGLIOSTRO
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 1/21/2004


Donald Paul Monroe

Date: 6/18/99

United States of America)
State of New Jersey) ss.:
County of Union)

On this 18th day of June, 19 99, before me
personally came Donald Paul Monroe, to me known to be the individual described in and
who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

ANGELINA CAGLIOSTRO
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 1/21/2004

J.M. Hergenrother 3-6-10

Gary Robert Weber
Gary Robert Weber

Date: 6/18/99

United States of America)
State of New Jersey) ss.:
County of Union)

On this 18th day of June, 19 99, before me
personally came Gary Robert Weber, to me known to be the individual described in and
who executed the foregoing instrument, and acknowledged execution of the same.

Angelina Cagliostro
Notary Public

ANGELINA CAGLIOSTRO
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My Commission Expires 1/21/2004

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