

4.23-77

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Patent and Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Henry Sicignano III

06-28-1999



101077389

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No



2. Name and address of receiving party(ies):

Name: Santa Fe Natural Tobacco Company, Inc.

Internal Address: _____

Street Address: 1368 Cerrillos Road

City: Santa Fe State: NM ZIP: 87504-5140

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 22, 1999

4. Application number(s) or registration number(s)

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 29/099,277

B. Patent No.(s): 29/099277

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Charles W. Calkins, Esq.
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, North Carolina 27101

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☒ Authorized any deficiencies to be charged to deposit account

8. Deposit account number: 16-1435

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John M. Harrington
Name of Person Signing

[Signature]
Signature

Total number of pages comprising cover sheet: 4

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Date

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Mail documents to be recorded with required cover sheet information to:
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04/30/1999 FDELOATC 00000024 29099277

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40.00 DP

Attorney's Docket No. 31756/186735**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or ☒ U.S. Patent
For: ☐ PCT Application
By: Single Inventor

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuation consideration,

ASSIGNOR (inventor):

(name of inventor)

Address

Nationality

Henry Sicignano III67 Camino DimitrioSanta Fe, NM 87505U.S.

hereby sells, assigns and transfers to

ASSIGNEE:Santa Fe Natural Tobacco Company, Inc.1368 Cerrillos RoadSanta Fe, NM 87504-5140*(type or print name of ASSIGNEE)*

Address

New Mexico
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following:

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority

in and to any all improvements which are disclosed in the invention entitled:

MERCHANDISE DISPLAY*(check and complete (a), (b), (c) or (d))*

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith

- (b) ☐ U.S. patent application executed on _____
- ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney, as named in the Declaration and Power of Attorney I executed for this invention on the execution date stated above, to insert below the filing date and application number when it becomes known.
- (c) ☒ U.S. application serial no. 29/099,277 filed on January 19, 1999
- (d) ☐ International application no. PCT/_____/_____
- (e) ☐ U.S. patent no. _____ issued _____
- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (f) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon his request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this April 22, 1999
(Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Henry Sicignano III
(type name of Inventor)

Henry Sicignano III
Signature of Inventor

☒ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

Details of Country United States

and place of signing of assignment

SANTA FE NATURAL TOBACCO COMPANY, INC
1368 CERRILLO ROAD
SANTA FE, NM 87504

Before me this 22 day of April 1999 personally appeared the above named individual(s), to me known to be the person(s) who ☒ is ☐ are described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he ☒ his
☐ she ☐ her
☐ they executed the same of ☐ their

own free will for the purpose therein expressed

Deann C. Wade exp. Sept. 8, 2001
Notary Public or Consular Officer of the United
States of America