

06-29-1999

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

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6-15-99



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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒ New

☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
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**Conveyance Type**

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

**U.S. Government**  
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☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
06/15/1999

Name (line 1) Pawley, Daniel Eugene

Name (line 2)

**Second Party**

Name (line 1) Schneider, David Anthony

Execution Date  
Month Day Year  
06/15/1999

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) Lexmark International, Inc.

Name (line 2)

Address (line 1) Intellectual Property Law Dept.

Address (line 2) 740 West New Circle Road

Address (line 3) Lexington

KY

40550

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Michael T. Sanderson

Address (line 1) Lexmark International, Inc.

Address (line 2) 740 West New Circle Road

Address (line 3)

Address (line 4) Lexington, KY 40550

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**PATENT**  
REEL: 010048 FRAME: 0898

06/15/99  
14333/08  
JCS594 U.S. 33344

09/333,344

## Correspondent Name and Address

Area Code and Telephone Number (606) 258-9445

Name Michael T. Sanderson

Address (line 1) Lexmark International, Inc.

Address (line 2) Intellectual Property Law Dept.

Address (line 3) 740 West New Circle Road

Address (line 4) Lexington, KY 40550

## Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

2

## Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)


## Patent Number(s)


If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

06/15/1999

## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

## Number of Properties

Enter the total number of properties involved.

#

1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:  
Deposit AccountEnclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 12-1213

Authorization to charge additional fees:

Yes

☒

No

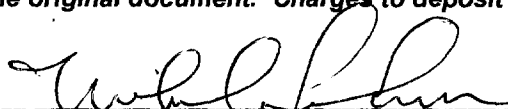
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## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Michael T. Sanderson

Name of Person Signing



Signature

6-15-99

Date

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CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

U.S. PAT. & TM. OFF.  
09/333344

06/15/99

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Wade, Thomas Campbell

Execution Date  
Month Day Year  
06/15/1999

Name (line 2)

Execution Date  
Month Day Year  
06/15/1999

Name (line 1) Ward, II, Earl Dawson

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

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Zip Code

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Name (line 1)

Name (line 2)

Address (line 1)

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



**ASSIGNMENT OF INVENTION**

For good and valuable consideration, the receipt of which is hereby acknowledged,

the **ASSIGNORS:**

Daniel Eugene Pawley  
11309 Oakhurst Road  
Louisville, KY 40245  
Nationality: USA

David Anthony Schneider  
2840 Ashbrook Drive  
Lexington, KY 40513  
Nationality: USA

Thomas Campbell Wade  
1261 Colonial Drive  
Lexington, KY 40504  
Nationality: USA

Earl Dawson Ward, II  
777 River Hill Drive  
Richmond, KY 40475  
Nationality: USA

hereby sell, assign and transfer to

the **ASSIGNEE:**

Lexmark International, Inc.  
Intellectual Property Law Dept.  
740 West New Circle Road  
Lexington, KY 40550

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**ELECTRONIC CONTROL ARRANGEMENT FOR A LASER PRINTER**

which is disclosed in a U.S. patent application executed on even date herewith, and any legal equivalent thereof in a foreign country, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, extension, or other substitute thereof, and as to letters patent for any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to

ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal as of the date(s) listed below.

  
Signature of Daniel Eugene Pawley

Date

June 15, 1999

  
Signature of David Anthony Schneider

Date

June 15, 1999

  
Signature of Thomas Campbell Wade

Date

June 15, 1999

  
Signature of Earl Dawson Ward, II

Date

June 15, 1999

#### NOTARIZATION

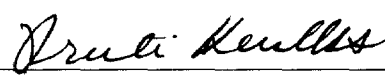
Details of Country

USA

and place of signing of assignment

Lexington, KY

Before me this 15<sup>th</sup> day of June 19 99, personally appeared the above named individuals, to me known to be the persons who are described in, and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

  
Notary Public