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Case Docket No. 990668

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Assistant Commissioner for Patents.  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Akinori ITOH, Susumu HONDA, Tatsuya MATSUI  
and Yoshihiro HAYASHI**

Additional name(s) of conveying party(ies) attached? NO

2. Name and address of receiving party(ies):

Name: **NOF CORPORATION**

Street Address:

20-3, Ebisu 4-chome, Shibuya-ku,  
Tokyo 150-0013 Japan

06/24/1999 GUMSHING 00000064 09332892

02 FC:581

Additional name(s) & address(es) attached? NO

3. Nature of conveyance: **Assignment**

Execution Date: **June 3, 7, 3 and 3, 1999**

4. Title: **POLYOXYALKYLENE MONOALKYL ETHER, PROCESS FOR  
PRODUCING THE SAME, POLYMERIZABLE POLYOXYALKYLENE  
MONOALKYL ETHER DERIVATIVE, POLYMER OF SAID  
DERIVATIVE AND DISPERSANT COMPRISING SAID POLYMER**

5. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 3, 7, 3 and 3, 1999

A. Patent Application No.(s)      B. Patent No.(s)

Additional numbers attached? NO

6. Name and address of party to whom correspondence concerning document should be mailed:

Name: Armstrong, Westerman, Hattori,  
McLeland & Naughton  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006

7. Total number of applications and patents involved: 1

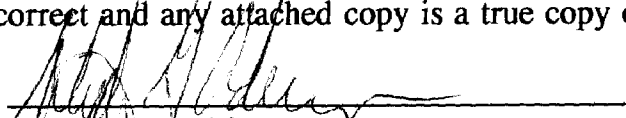
8. Total fee (37 CFR 3.41). . . . . \$ **40.00**

XX Check enclosed

9. Deposit Account No.: 01-2340

10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

  
\_\_\_\_\_  
Stephen G. Adrian  
Reg. No.: 32,878

Date June 15, 1999

Total number of pages including cover sheet: 3

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### U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

(Insert ASSIGNEE's Name(s) Address(es))

NOF CORPORATION  
20-3, Ebisu 4-chome, Shibuya-ku, Tokyo 150-0013 JAPAN

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled POLYOXYALKYLENE MONOALKYL ETHER, PROCESS FOR PRODUCING THE SAME, POLYMERIZABLE POLYOXYALKYLENE MONOALKYL ETHER DERIVATIVE, POLYMER OF SAID DERIVATIVE AND DISPERSANT COMPRISING SAID POLYMER

(Title of Invention)

(\*If the assignment is being filed after the filing of the application, this section must be completed)

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

\*filed on \_\_\_\_\_, Serial No. \_\_\_\_\_.  
(Armstrong, Westerman, Hattori, McLeland & Naughton is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

<u>Akinori Itoh</u> (SIGNATURE)	<u>Akinori Itoh</u> (TYPE NAME)	<u>03/06/1999</u> (DATE)
<u>Susumu Honda</u> (SIGNATURE)	<u>Susumu, Honda</u> (TYPE NAME)	<u>07/06/1999</u> (DATE)
<u>Tatsuya Matsui</u> (SIGNATURE)	<u>Tatsuya Matsui</u> (TYPE NAME)	<u>03/06/1999</u> (DATE)
<u>Yoshihiro Hayashi</u> (SIGNATURE)	<u>Yoshihiro Hayashi</u> (TYPE NAME)	<u>03/06/1999</u> (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)

NO LEGALIZATION REQUIRED

PATENT