

MD 6-28-99

07-02-1999

FORM PTO-1595
(Rev. 6-93)

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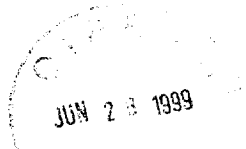
ET U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101083613

To the Honorable Commissioner of Patents and Trademarks, attached original document or copy thereof.

1. Names of conveying parties:

- 1) TONY N. FRUDAKIS
- 2) STEVEN G. REED
- 3) JOHN M. SMITH
- 4) LYNDIA MISHNER
- 5)
- 6)
- 7)
- 8)



Additional names of conveying parties attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Dates:

- 1) JUNE 12, 1999
- 2) JUNE 8, 1999
- 3) JUNE 8, 1999
- 4) JUNE 8, 1999
- 5)
- 6)
- 7)
- 8)

2. Name and address of receiving party:

Name: CORIXA CORPORATION

Internal Address: _____

Street Address: 1124 COLUMBIA STREET

SUITE 200

City: SEATTLE

State WA

Zip: 98104

Additional names & addresses attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,
the execution date of the application is _____.

A. Patent Application No(s).
09/289,198

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: SEED AND BERRY LLP

Internal Address: DAVID J. MAKI, ESQ.

6300 COLUMBIA CENTER

Street Address: 701 FIFTH AVENUE

City: SEATTLE State: WA ZIP: 98104-7092

6. Total number of applications and patents involved.....

1

7. Total Fee (37 CFR 3.41): \$40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:
19-1090

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DAVID J. MAKI

Name of Person Signing

Signature

Date

JUNE 25, 1999

Total number of pages including cover sheet, attachments, and document: 5

06/30/1999 09:25:19
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ASSIGNMENT

WHEREAS, we, Tony N. Frudakis, Steven G. Reed, John M. Smith and Lynda Misher (hereinafter referred to as ASSIGNORS), having post office addresses of 7937 Broadmoor Pines Boulevard, Sarasota, Florida 34243; 2843 - 122nd Place Northeast, Bellevue, Washington 98005; 208 - 116th Place Southeast, Everett, Washington 98208, and 6251 53rd Avenue Northeast, Seattle, Washington, respectively, are the joint inventors of an invention entitled "COMPOSITIONS AND METHODS FOR THE TREATMENT AND DIAGNOSIS OF BREAST CANCER," as described and claimed in the specification for which an application for United States letters patent was filed on April 9, 1999 and assigned Application No. 09/289,198, which is a continuation-in-part of U.S. Application No. 09/062,451, filed April 17, 1998, which is a continuation-in-part of U.S. Application No. 08/991,789, filed December 11, 1997, which is a continuation-in-part of U.S. Application No. 08/838,762, filed April 8, 1997, which claims priority from PCT/US97/00584, filed January 10, 1997, which is a continuation-in-part of U.S. Application No. 08/700,014, filed August 20, 1996, which is a continuation-in-part of U.S. Application No. 08/585,392, filed January 11, 1996, which is now abandoned.

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent

on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

6/12/99
Date

Tony N. Frudakis
Tony N. Frudakis

State of _____)
County of _____)

ss.

I certify that I know or have satisfactory evidence that Tony N. Frudakis is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____

Signature of
Notary Public _____

Printed Name _____

My appointment expires _____

Date

Steven G. Reed

State of _____)
County of _____)

ss.

I certify that I know or have satisfactory evidence that Steven G. Reed is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____

Signature of
Notary Public _____

Printed Name _____

My appointment expires _____

on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

Date

Tony N. Frudakis

State of)

County of)

ss.

I certify that I know or have satisfactory evidence that Tony N. Frudakis is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated

Signature of
Notary Public

Printed Name

My appointment expires

June 8, 1999
Date

Steven G. Reed
Signature of
Notary Public

Washington
State of)

King
County of)

ss.

I certify that I know or have satisfactory evidence that Steven G. Reed is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.


June 8, 1999
Dated

Deirdre I. Bryan
Signature of
Notary Public

DEIRDRE I. BRYAN
Printed Name

9/1/02
My appointment expires

June 8, 1999
Date
Washington
State of)
King
County of)

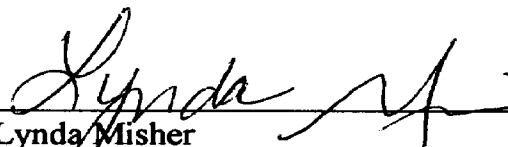


John M. Smith

ss.

I certify that I know or have satisfactory evidence that John M. Smith is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

June 8, 1999
Date
Washington
State of)
King
County of)



Lynda Misher

ss.

I certify that I know or have satisfactory evidence that Lynda Misher is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

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