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SHEET

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

BAO, ZHENAN
MAKHJITA, ANITA
ROGERS, JOHN A.

2. Name and address of receiving party(ies):

Name: LUCENT TECHNOLOGIES INC.

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: 600-700 MOUNTAIN AVENUE

City: MURRAY HILL State: NJ ZIP: 07974

Execution Date: MAY 24, 26, 24, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/305,722

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ANASTASIA P. WINSLOW

Internal Address: MATHEWS, COLLINS, SHEPHERD
& GOULD

Street Address: 100 THANET CIRCLE, SUITE 306

06/30/1999 VMM11 00000059 122325 09305722

01 FC:581 40.00 CH

City: PRINCETON State: NJ ZIP: 08540

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ANASTASIA P. WINSLOW

JUNE 25, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

5

ASSIGNMENT AND AGREEMENT

For value received, we, Zhenan Bao of North Plainfield in the County of Somerset and State of New Jersey, Anita Makhjita of Edison in the County of Middlesex and State of New Jersey and John A. Rogers of New Providence in the County of Union and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **HIGH-RESOLUTION METHOD FOR PATTERNING A SUBSTRATE WITH MICRO-PRINTING** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Zhenan Bao

Date: May. 24, 1999

United States of America)

State of New Jersey) ss.:

County of Union)

On this 24th day of May, 1999, before me personally came Zhenan Bao, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

Anita V. Makhjita
Anita Makhjita

Date: May 26 '1999

United States of America)

State of New Jersey) ss.:

County of MIDDLESEX)

On this 26TH day of May, 19 99, before me personally came Anita Makhjita, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

[Signature]
Notary Public

HENRY H. WARONKER
A Notary Public of New Jersey
My Commission Expires July 2, 2000

John A. Rogers
John A. Rogers

Date: 5/24/99

United States of America)

State of New Jersey) ss.:

County of Union)

On this 24th day of May, 1999, before me personally came John A. Rogers, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole
Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

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