

07-06-1999

Docket No.: 717-155

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To The Honorable Commissioner of Patents and Trademarks

101081728

Original documents or copy thereof.

## 1. Name of conveying party(ies):

Rick Silveira  
Anatoly Ratsimor  
Johnson Hsu  
Robert SherwoodAdditional names(s) of conveying party(ies) \_\_\_ Yes ☒ No

## 3. Nature of conveyance:

\_\_\_ Assignment \_\_\_ Merger  
\_\_\_ Security Agreement \_\_\_ Change of Name  
☒ Other Correction of an error "HSU" and not "HUS"Execution Date: June 28, 1999

## 2. Name and address of receiving party(ies):

Name: HOLMES PRODUCTS CORP.

Internal Address: \_\_\_\_\_

Street Address: 233 Fortune BoulevardCity: Milford State: MA Zip: 01757Additional names(s) & address(es)? \_\_\_ Yes ☒ No

## 4. Application number(s) or registration numbers:

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s):

Additional numbers attached: \_\_\_ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Glenn T. Henneberger, Esq.Internal Address: Hoffmann & Baron, LLP6900 Jericho TurnpikeSyosset, New York 117916. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account.

\_\_\_ Authorized to be charged to deposit account.

8. Deposit account no: 08-2461

07/02/1999 MTHAI1 00000163 09225412

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01. Statement and signature, 40.00 OP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glenn T. Henneberger, Esq.

Name of Person Signing

Signature

6/28/99

Date

Total number of pages including cover sheet, attachments, and document: 4

04-06-1999



101002230

Docket No.: 717-155

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rick Silvera  
Anatoly Ratsimor  
Johnson Hus  
Robert Sherwood

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: March 19, 1999

2. Name and address of receiving party(ies):

Name: HOLMES PRODUCTS CORP.

Internal Address: \_\_\_\_\_

Street Address: 233 Fortune Boulevard

City: Milford State: MA ZIP: 01757

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: March 19, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles R. Hoffmann, Esq.

Internal Address: \_\_\_\_\_

HOFFMANN & BARON, LLP

Street Address: 6900 Jericho Turnpike

04/05/1999 DNGUYEN 00000153 09225412

01 FC:581

40.00

City: Jericho State: NY ZIP: 11791

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glenn T. Henneberger

Name of Person Signing

[Signature]  
Signature

3/29/99

Date

Total number of pages including cover sheet, attachments, and document: 4

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
By: ☒ Inventors or ☐ Present Owners

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

- |   |   |
|---|---|
| 1. Rick Silveira<br>147 Division St.<br>New Bedford, MA 02744 | 2. Anatoly Ratsimor<br>4 Kilsyth Terrace, Apt. 23<br>Brighton, MA 02135 |
| 3. Johnson Hsu<br>3 Winter Terrace<br>Framingham, MA 01701    | 4. Robert Sherwood<br>9253 McCabe Drive<br>El Paso, TX 79925            |

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_

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Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

**HOLMES PRODUCTS, CORP.**

(Type or print name of ASSIGNEE)

**233 Fortune Boulevard**

Address

**Milford, MA 01757**

**USA**

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

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**ODOR/AIR PURIFIER MOUNTABLE UNDER A KITCHEN CABINET**

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and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
    ☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
    ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. 09/225,412, filed on January 5, 1999  
(e) ☐ International application No. PCT/\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
    ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

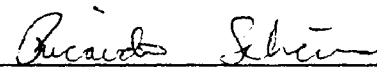
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and

deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

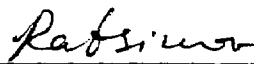
IN WITNESS WHEREOF, I/We have hereunto set hand and seal on this day set forth below.

**WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked above.

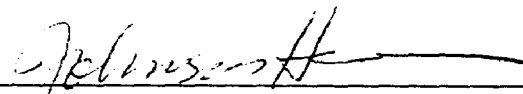
Date: X 1/20/99  
Date of signing

X   
Rick Silveira

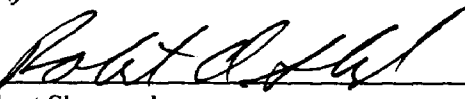
Date: X 1/20/99  
Date of signing

X   
Anatoly Ratsimor

Date: X 1/20/99  
Date of signing

X   
Johnson Hsu

Date: X 3/19/99  
Date of signing

X   
Robert Sherwood