07-09-1999 

FORM PTO-1 Expires 06/30/99	Petent and Tage fiat Diffice
OMB 0651-0027	101085442
1	PO GG RECORDATION FORM COVER SHEET
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	PATENTS ONLY
TO: The Comm Submission	nissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).  Type   Conveyance Type
X New	X Assignment Security Agreement
	ssion (Non-Recordation) License Change of Name
	n of PTO Error Other
Reel #	Frame # U.S. Government
Reel #	e Document (For Use ONLY by U.S. Government Agencies)  Frame # Departmental File Secret File
Conveying F	Party(ies)  Mark if additional names of conveying parties attached Execution Date  Month Day Year
Name (line 1)	David <del>Kou</del> Kuo K
Name (line 2)	Execution Date
Second Party	Month Day Year
Name (line 1)	
Name (line 2)	
Receiving P	arty Mark if additional names of receiving parties attached
Name (line 1)	CARDIO LOGIC, INC.  If document to be recorded is an assignment and the receiving party is not
Name (line 2)	domiciled in the United States, an appointment
Address (line 1)	(Designation must be a
Address (line 2)	separate document from Assignment.)
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Domestic R	epresentative Name and Address  Enter for the first Receiving Party only.
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Address (line 1)	
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**REEL: 010071 FRAME: 0207** 

FORM PTO Expires 06/30/99 OMB 0651-0027	9-1619B	Page 2		e Patent and	ment of Commerce Tademark Office
Corresponde	ent Name and Address Ar	ea Code and Tele <sub>l</sub>	hone Number	650-321-4	063
Name [	Robert Buckley				
Address (line 1)	PO Box 2520				
Address (line 2)	Menlo Park, CA 940	26-2520, USA			
Address (line 3)					
Address (line 4)					
Pages	Enter the total number of pages of including any attachments.	of the attached co	nveyance docu	ment #	ONE
Application I	Number(s) or Patent Number	(s)	Mark i	f additional numbers	attached
	e Patent Application Number or the Patent	Number (DO NOT EN 1			rty).
	ent Application Number(s)	1	Pate	nt Number(s)	
09/039,302					
	<b></b>	<del></del>			
If this document is signed by the first	being filed together with a <u>new</u> Patent App t named executing inventor.	lication, enter the date	the patent applica	tion was Month	Day Year
	eration Treaty (PCT)				
-	r PCT application number	Т	РСТ	PCT	
<u>only</u>	<u>if</u> a U.S. Application Number PC not been assigned.	Т	РСТ	РСТ	
Number of P	roperties  Enter the total nu	mber of propertie	s involved.	# ONE	
Fee Amount					
	Fee Amount for Pr	operties Listed (3	37 CFR 3.41):	\$ 40	
Method o Deposit A	of Payment: Enclosed	Deposit A	ccount		
	payment by deposit account or if additional	fees can be charged to it Account Number:		#	
	Author	rization to charge ad	lditional fees:	Yes	No [
Statement ar	nd Signature		-		
To the b	best of my knowledge and belief, t	he foregoing info	rmation is true	and correct and	anv
attache	d copy is a true copy of the origin	al document. Cha	rges to deposi	t account are au	thorized, as
	ed herein.	No. t	Buch		100
·	Buckley of Person Signing	Signature		29 Jur Da	ne 1999 te
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PATENT REEL: 010071 FRAME: 0208 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Typed or printed name

Signature

Application Number 09/039,302 **Filing Date** 03/14/98 First Named Inventor KUO, David Group Art Unit 2782 **Examiner Name** Attorney Docket Number DKU0-001

	ENCLOSURES (check all that an				
	ENCLOSURES (check all that ap	<i>pry)</i>			
Fee Transmittal Form	χ Assignment Papers (for an Application)	After Allowance Communication to Group			
X Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information			
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	X Additional Enclosure(s) (please identify below):			
Express Abandonment Request	Terminal Disclaimer	statement under			
Information Disclosure Statement	!	37CFR3.73(b) postcard			
Certified Copy of Priority	Request for Refund				
Document(s)	Remarks				
Response to Missing Parts/ Incomplete Application					
Response to Missing					
Parts under 37 CFR 1.52 or 1.53					
SIGNATU	RE OF APPLICANT, ATTORNEY, OR	AGENT			
Firm					
or Robert Buck	aley				
Individual name					
Signature Raber	* Burble				
Date 29 June	1999				
CERTIFICATE OF MAILING					
hereby certify that this correspondence		notal Convince on first stars well in			
l hereby certify that this correspondence is being deposited with the United States Postal Service as <u>first class mail in an</u> envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 20 June 100					

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Date

**PATENT** 

June

REEL: 010071 FRAME: 0209

Jun<u>e</u>

1999

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## FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

\$)	4	0
		•

Complete if Known			
Application Number	09/039.302		
Filing Date	03/14/98		
First Named Inventor	KUO, David		
Examiner Name			
Group / Art Unit	2782		
Attorney Docket No.	DKU0-001		

METHOD OF PAYMENT (check one) FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  3. ADDITIONAL FEES Large Entity Small Entity				
	Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee	e Paid		
Deposit Account	Code (\$) Code (\$)	Faiu		
Number	105 130 205 65 Surcharge - late filing fee or oath			
Deposit Account	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.			
Name	139 130 139 130 Non-English specification			
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2,520 147 2,520 For filing a request for reexamination			
2. X Payment Enclosed:	— 112 920* 112 920* Requesting publication of SIR prior to Examiner action			
Z. A Payment Eliciosed. Money Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
FEE CALCULATION	115 110 215 55 Extension for reply within first month			
	116 380 216 190 Extension for reply within second month			
BASIC FILING FEE     Large Entity Small Entity	117 870 217 435 Extension for reply within third month			
Fee Fee Fee Fee Description	118 1,360 218 680 Extension for reply within fourth month			
Code (\$) Code (\$) Fee Paid  101 760 201 380 Utility filing fee	128 1,850 228 925 Extension for reply within fifth month			
101 760 201 380 Utility filing fee 106 310 206 155 Design filing fee	119 300 219 150 Notice of Appeal			
107 480 207 240 Plant filing fee	120 300 220 150 Filing a brief in support of an appeal			
107 480 207 240 Plant filling fee	121 260 221 130 Request for oral hearing			
	138 1,510 138 1,510 Petition to institute a public use proceeding			
<u> </u>	140 110 240 55 Petition to revive - unavoidable			
SUBTOTAL (1) (\$)	141 1,210 241 605 Petition to revive - unintentional			
2. EXTRA CLAIM FEES	142 1,210 242 605 Utility issue fee (or reissue)			
Fee from Extra Claims below Fee Paid	142 420 242 245 Design lesus foo			
Total Claims -20** = X = =	144 580 244 290 Plant issue fee			
Independent - 3** = X = X	122 130 122 130 Petitions to the Commissioner			
Multiple Dependent =	123 50 123 50 Petitions related to provisional applications			
**or number previously paid, if greater; For Reissues, see below	• • • • • • • • • • • • • • • • • • • •			
Large Entity Small Entity	581 40 581 40			
Fee Fee Fee Fee Description Code (\$) Code (\$)	recording each patent assignment per	40		
103 18 203 9 Claims in excess of 20	146 760 246 380 Filing a submission after final rejection (37 CFR § 1.129(a))			
102 78 202 39 Independent claims in excess of 3	149 760 249 380 For each additional invention to be			
104 260 204 130 Multiple dependent claim, if not paid	examined (37 CFR § 1.129(b))			
109 78 209 39 ** Reissue independent claims over original patent	Other fee (specify)			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)			
SUBTOTAL (2) (\$)	Reduced by Basic Filing Fee Paid SUBTOTAL (3)	40		
SUBMITTED BY	Complete (if applicable)			
Name (Print/Type) Robert Buckley	Registration No. 33,657 Telephone 650-321-4	063		
Signature Parks & Voca	Date 29 June	1999		

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PATENT REEL: 010071 FRAME: 0210

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: David Keu Kuo
Application No./Patent No.: 09/039,302 Filed/Issue Date: 03/14/98
Entitled: Super Command Communication Protocol and Dispatcher
CARDIO LOGIC, INC. ,a Corporation ,
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:
1. X the assignee of the entire right, title, and interest; or
2. an assignee of an undivided part interest
in the patent application/patent identified above by virtue of either:
A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR
B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2. From:
The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From: To: To:  The document was recorded in the Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
[ ] Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]
The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.
Date  David Signature
- · · · · · · · · · · · · · · · · · · ·
David <del>Kou</del> Kuo € Typed or printed name
President, Cardio Logic, Inc.
Title

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PTO/SB/82 (11-96)

Approved for use through 6/30/99. OMB 0651-0035

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## **REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT**

09/039.302
03/14/98
KUO, David
2782
DKU0-001

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:							
X A Power of Attorney or Authorization of Agent is submitted herewith.							
OR							
Please	e change	e the corresponde	ence address for the	above-id	dentified appl	lication	to:
□ OR		er Number			<b>▶</b>		Customer er Bar Code nere
Firm <i>or</i> Individual	l Name	Robert Bu	ıckley				
Address		PO Box 25	520				
Address							
City		Menlo Par	`k				
Country		USA		State	CA	ZIP	94026-2520
Telephone		(650) 321	-4063	Fax			
l am the:							
Арр	licant.						
X Ass	X Assistance of accord of the continuity to the						
Assignee of record of the entire interest  Certificate under 37 CFR 3.73(b) is enclosed							
SIGNATURE of Applicant or Assignee of Record							
Name	Name David Kou, President, Cardio Logic, Inc.						
Signature	(	David Kin	9				
Date		6/29/99					

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**PATENT RECORDED: 07/06/1999 REEL: 010071 FRAME: 0212**