

07-12-1999



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Patent and Trademark Office

7.2.99

JUL 02 1999

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name(s) of conveying party(ies):

- 1) CHRISTEN M. ANDERSON
- 2) ROBERT E. DAVIS
- 3)
- 4)
- 5)
- 6)

Additional names of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party:

Name: MITOKOR

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

1194 SORRENTO VALLEY ROAD

City: SAN DIEGO

State CA

Zip: 92121

Additional names & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other \_\_\_\_\_

Execution Dates:

- 1) JUNE 18, 1999 4) \_\_\_\_\_
- 2) JUNE 18, 1999 5) \_\_\_\_\_
- 3) \_\_\_\_\_ 6) \_\_\_\_\_

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,  
the execution date of the application is \_\_\_\_\_.

A. Patent Application No(s).  
09/303,816

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: SEED AND BERRY LLP

Internal Address: STEPHEN J. ROSENMAN, PH.D.

6300 COLUMBIA CENTER

Street Address: 701 FIFTH AVENUE

City: SEATTLE State: WA ZIP: 98104-7092

6. Total number of applications and patents involved..... 1

7. Total Fee (37 CFR 3.41): ..... \$40 E

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1090

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

STEPHEN J. ROSENMAN, PH.D.  
Name of Person Signing

Stephen J. Rosenman  
Signature

6/27/99  
Date

Total number of pages including cover sheet, attachments, and document: 3

## ASSIGNMENT

WHEREAS, we, Christen M. Anderson and Robert E. Davis (hereinafter referred to as ASSIGNORS), having post office addresses of 756 Poinsettia Park South, Encinitas, California 92024, and 13272 Glenclyff Way, San Diego, California 92130, respectively, are the joint inventors of an invention entitled "INDICATORS OF ALTERED MITOCHONDRIAL FUNCTION IN PREDICTIVE METHODS FOR DETERMINING RISK OF TYPE 2 DIABETES MELLITUS," as described and claimed in the specification for which an application for United States letters patent was filed on April 30, 1999 and assigned Application No. 09/303,816.

WHEREAS, MITOKOR (hereinafter referred to as ASSIGNEE), a corporation of the State of California having a place of business at 11494 Sorrento Valley Road, San Diego, California 92121, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

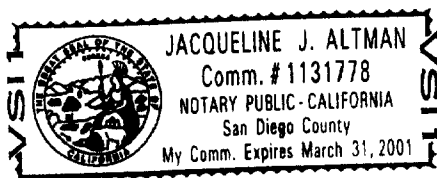
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

6/18/99  
Date  
State of California  
County of San Diego

Christen M. Anderson  
Christen M. Anderson

SS.

I certify that I know or have satisfactory evidence that Christen M. Anderson is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.



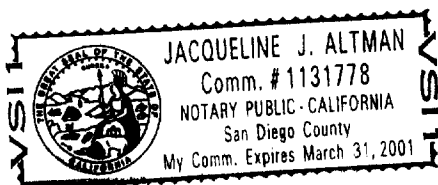
Dated June 18, 1999  
Signature of Jacqueline J. Altman  
Notary Public  
Printed Name Jacqueline J. Altman  
My appointment expires March 31, 2001

June 18, 1999  
Date  
State of California  
County of San Diego

Robert E. Davis  
Robert E. Davis

SS.

I certify that I know or have satisfactory evidence that Robert E. Davis is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.



Dated June 18, 1999  
Signature of Jacqueline J. Altman  
Notary Public  
Printed Name Jacqueline J. Altman  
My appointment expires March 31, 2001

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