

07-12-1999

U.S. DEPARTMENT OF COMMERCE
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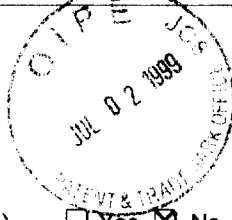
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) William Fenical
- 2) Paul R. Jensen
- 3) David C. Rowley



2. Name and address of receiving party(ies):

Name: The Regents of the University of CaliforniaInternal Address: 1111 Franklin Street12th FloorOakland CA 94607-5200Street Address: 1111 Franklin Street12th FloorCity: Oakland State: CA ZIP: 94607

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: 1) 01/27/99 2) 01/28/99 3) 01/27/99Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/211,877Filed:
12/15/98Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathryn CampbellInternal Address: Campbell & Flores LLP6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):\$ 40.00 €☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

03-0370

07/07/1999 ZABDALLA 00000050 09211877

05 FC:581 40.00 00

Street Address: 4370 La Jolla Village DriveSuite 700City: San Diego State: CA ZIP: 92122

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*James J. Wong, Reg. No. 34,949
June 29, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

PATENT
REEL: 010074 FRAME: 0580

ASSIGNMENT

UCSD Case No.:

SD 98-056

C&F Docket No.:

P-UD 3148

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. William Fenical
2. Paul R. Jensen
3. David C. Rowley
4. _____

hereby sells, assigns and transfers to ASSIGNEE, **The Regents of the University of California**, A California Corporation, having its statewide administrative offices located at **1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200**, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

HALOVIR, AN ANTIVIRAL MARINE NATURAL PRODUCT, AND DERIVATIVES THEREOF

and which is found in

- (a) ☐ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) ☐ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) ☒ U.S. application serial no. 09/211,877, filed on December 15, 1998
- (d) ☐ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signatures of Inventor(s)

27 day of January 1999
28 day of January 1999
27 day of January 1999
____ day of _____ 19__

William Fenical
Paul Jensen
David Rowley

ASSIGNMENT

P-UD 3148

Serial No.: 09/211,877

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, ATTENTION: BOX MISSING PARTS on June 29, 1999.

By James J. Wong
James J. Wong, Reg. No. 34,949
June 29, 1999
Date of Signature