

09-08-1999

FORM PTO-1595



JET

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

101120136

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Steven C. Cozzolino
John Sundquist
Chun C. ChangAdditional name(s) of conveying party(ies) attached?
☐ YES ☒ NO2. Name and address of receiving party(ies):
Name:

Shure Brothers Incorporated

Internal Address:

Street Address:

222 Hartrey Avenue

City: Evanston

State: Illinois

Zip: 60202

Additional name(s) & address(es) attached?
☐ YES ☒ NO

3. Nature of conveyance:

8-9-99

☐ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☒ Other Corrected Assignment DocumentExecution Date: Dec. 1, 1998 and Dec. 16, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s):
(include series code or filing date)

29/099,258

B. Patent No.(s):

Additional application or patent numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Banner & Witcoff, Ltd.

Address: 10 South Wacker, Drive, Suite 3000

City: Chicago

State: Illinois Zip: 60606

6. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41) \$ 40.00
☒ Enclosed ☐ Authorized to charge Deposit Account 19-07338. Should any fee adjustment be necessary to effect proper recordation, please debit or credit our Deposit Account No. 19-0733, as necessary.

08/10/1999 NTHAIL 00000171 29099258

DO NOT USE THIS SPACE

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40.00 OP

40E
NO SPEC
Fee

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Pieter van Es

Name of person signing

August 6, 1999

Date

Atty. Docket No.: 98,340

Total number of pages including cover sheet, attachments and document: _____

PATENT
REEL: 010076 FRAME: 0614

ASSIGNMENT

Case No.: **98,340**

Serial No.: 29/099,258

Inventors: **STEVEN C. COZZOLINO, JOHN SUNDQUIST and CHUN C. CHANG**

Date of Execution
of Application: 12/1/98 and 12/16/98

Filing Date: 1/18/99

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

SHURE BROTHERS INCORPORATED

**222 Hartrey Avenue
Evanston, Illinois 60202,**

give
a corporation of the State of ~~Delaware~~ ^{Illinois}, its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

MICROPHONE SWIVEL ADAPTOR

and identified as:

give
Case No. 98,340

give
in the offices of Banner & ~~Allegretti~~ ^{Witcoff}, Ltd. and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known.

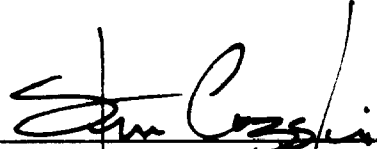
The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

BANNER & WITCOFF, LTD.
10 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
TELEPHONE (312) 715-1000

PATENT
REEL: 010076 FRAME: 0615

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 16 day of DECEMBER 1998


STEVEN C. COZZOLINO

City of Chicago

County of Cook

The foregoing instrument was acknowledged before me this 16th day of
DECEMBER, 1998 by Katarina M. Walters




NOTARY PUBLIC

* * *

- 2 -

WITNESS my hand and seal this 1st day of December, 1998.



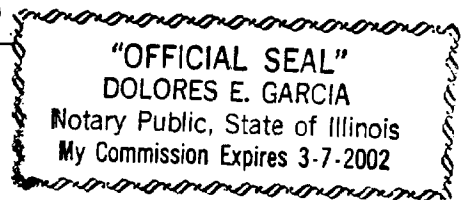
JOHN SUNDQUIST

City of Evansville

County of Franklin

The foregoing instrument was acknowledged before me this 1st day of

December, 1998 by Dolores E. Garcia



NOTARY PUBLIC

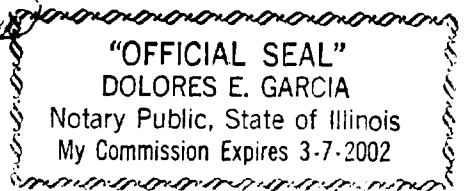
* * *

City of Evansville

County of Cook

The foregoing instrument was acknowledged before me this 1st day of

December, 1998 by Dolores E. Garcia



NOTARY PUBLIC

WITNESS my hand and seal this 1st day of December, 1998.



CHUN C. CHANG

- 3 -

06-04-1999

FORM PTO 1595



101055857

COVER SHEET

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Steven C. Cozzolino
John Sundquist
Chun C. ChangAdditional name(s) of conveying party(ies) attached?
___ YES ___ X NO

3. Nature of conveyance:

X Assignment ___ Merger
___ Security Agreement ___ Change of Name
___ Other _____Execution Date: Dec. 1, 1998 and Dec. 16, 19982. Name and address of receiving party(ies):
Name:

Shure Brothers Incorporated

Internal Address:

Street Address:

222 Hartrey Avenue

City: Evanston

State: Illinois

Zip: 60202

Additional name(s) & address(es) attached?
___ YES ___ X NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s):
(include series code or filing date)

29/099.258

B. Patent : s.(s):

Additional application or patent numbers attached? ___ YES ___ X NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Banner & Witcoff, Ltd.
Address: 10 South Wacker, Drive, Suite 3000
City: Chicago
State: Illinois Zip: 606066. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41)

___ Enclosed ___ Authorized to charge Deposit Account 19-0733

8. Should any fee adjustment be necessary to effect proper recordation, please debit or credit our Deposit Account No. 19-0733, as necessary. **405**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Pieter van Es
Name of Person Signing

Signature
May 12, 1999
DateAtty. Docket No.: 98,340Total number of pages including cover sheet, attachments and document: Total # of pages, including cover sheet

PATENT

REEL: 009992 FRAME: 0186

PATENT

REEL: 010076 FRAME: 0618

02-01-1999



100953867

Please type a plus sign (+) inside this box.

Under the Paperwork Reduction Act of 1995, the time for completion of this form is estimated to be 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Design, Washington, DC 20231.

PTO/SB/18 (2/95)
 Approved for use through 08/30/2000. OMB 0861-0032
 Address: Office: U.S. DEPARTMENT OF COMMERCE
 Patent and Trademark Office, Washington, DC 20231

DESIGN PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 98,340

First Inventor or Application Identifier Cozzolino et al.

Title Microphone Swivel Adaptor

Express Mail Label No. EM04939943305

ADDRESS TO:

Assistant Commissioner for Patents
 Box Design
 Washington, DC 20231

DEMY V. VILITZ: A "design patent" protects an article's ornamental appearance (e.g., the way an article looks) (35 U.S.C. 171), while a "utility patent" protects the way an article is used and works (35 U.S.C. 101). The ornamental appearance of an article includes its shape/configuration or surface ornamentation upon the article, or both. Both a design and a utility patent may be obtained on an article if invention resides both in its ornamental appearance and its utility. For more information see MPEP 1602.01.

APPLICATION ELEMENTS

See MPEP chapter 1800 concerning design patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification (Total Pages 1)
(preferred arrangement set forth below, MPEP 1603.01)
 - Preamble
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Description of the figure(s) of Drawings
 - Description, if any
 - Claim (only one (1) claim permitted, MPEP 1603.03)
3. ☒ Drawing(s) (37 C.F.R. § 1.152) (Total Sheets 2)
4. Oath or Declaration (Total Pages 3)
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.53(d))
(For continuation/divisional with Box 16 completed)
(Photo Sheet 8 required)
 - c. ☐ **DECLARATION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.53(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional of prior application No. _____
 Prior application Information: Examiner _____ Group / Art Unit _____

16. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label☐ Correspondence address below

Name J. Pieter van Es
 BANNER & WITCOFF, LTD.
 Address Ten South Wacker Drive
 Suite 3000
 City Chicago State IL Zip Code 60606-7407
 Country USA Telephone (312) 715-1000 Fax (312) 715-1234

Name (Print/Type) J. Pieter van Es Registration No. (Attorney/Agent) 37,746
 Signature *J. Pieter van Es* Date 1/19/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Design, Washington, DC 20231.

PATENT
 REEL: 009992 FRAME: 0187

PATENT
 REEL: 010076 FRAME: 0619

ASSIGNMENT

Case No.: 98,340

Serial No.: 29/099,258

Inventors: STEVEN C. COZZOLINO, JOHN SUNDQUIST and CHUN C. CHANG

Date of Execution
of Application: 12/1/98 and 12/16/98

Filing Date: 1/18/99

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

SHURE BROTHERS INCORPORATED
222 Hartrey Avenue
Evanston, Illinois 60202,

a corporation of the State of Delaware, its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

MICROPHONE SWIVEL ADAPTOR

and identified as:

Case No. 98,340

in the offices of Banner & Allegretti, Ltd. and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

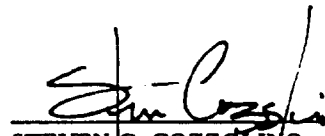
BANNER & WITCOFF, LTD.
10 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
TELEPHONE (312) 715-1000

PATENT
REEL: 009992 FRAME: 0188

PATENT
REEL: 010076 FRAME: 0620

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

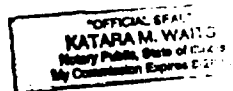
WITNESS my hand and seal this 16 day of DECEMBER 1998


STEVEN C. COZZOLINO

City of CHICAGO

County of COOK

The foregoing instrument was acknowledged before me this 16th day of
DECEMBER, 1998 by Katarina M. Miller




NOTARY PUBLIC

- 2 -

BANNER & WITCOFF, LTD.
10 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
TELEPHONE (312) 715-1000

PATENT
REEL: 009992 FRAME: 0189

PATENT
REEL: 010076 FRAME: 0621

WITNESS my hand and seal this 1st day of December, 1998.


JOHN SUNDQUIST

City of Evansville

County of Cook

The foregoing instrument was acknowledged before me this 1st day of

December, 1998 by Dolores E. Garcia



NOTARY PUBLIC

City of Evansville

County of Cook

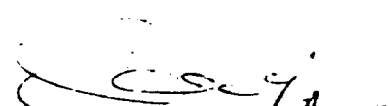
The foregoing instrument was acknowledged before me this 1st day of

December, 1998 by Dolores E. Garcia



NOTARY PUBLIC

WITNESS my hand and seal this 1st day of December, 1998.


CHUN C. CHANG

- 3 -

BANNER & WITCOFF, LTD.
10 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
TELEPHONE (312) 715-1000

RECORDED: 03/17/1999

PATENT
REEL: 009992 FRAME: 0190

RECORDED: 08/09/1999

PATENT
REEL: 010076 FRAME: 0622