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Form PTO-1595  
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10-21-98



1103326-0526

09/171572

To the Honorable Commissioner of Patent

101088597

attached original documents or copy thereof.

1. Name of conveying party(ies):

Stefan Berg  
Mats Linderberg  
Svante Ross  
Seth-Olov Thorberg  
Bengt Ulff

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: Sept. 10 and Sept. 11, 1998

2. Name and address of receiving party(ies):

Name: Astra Aktiebolag

Internal Address:

Street Address: S-151 85 Sodertalje  
Sweden

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is:  
Sept. 10, and Sept. 11, 1998

A. Patent Application No.(s)

B. Patent No.(s)

09/171572

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: White & Case LLP

Internal Address: Patent Department

Street Address: 1155 Ave. of the Americas

City: NY State: NY ZIP: 10036

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40 E

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

23-1703

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thelma A. Chen Cleland - 40,948  
Name of Person Signing

Thelma A. Chen Cleland  
Signature

21 October 1998  
Date

Total number of pages comprising cover sheet:

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## ASSIGNMENT

WHEREAS, we, Stefan Berg of Astra Arcus AB, S-151 85 Södertälje, Sweden; Mats Linderberg of Astra Production Chemicals AB, S-151 85 Södertälje, Sweden; Svante Ross of Astra Arcus AB, S-151 85 Södertälje, Sweden; Seth-Olov Thorberg of Astra Arcus AB, S-151 85 Södertälje, Sweden and Bengt Ulff of Ägostigen 19, S-151 52 Södertälje, Sweden; citizens of Sweden, have made an invention entitled **SUBSTITUTED CHROMAN DERIVATIVES** for which we are about to make an application for Letters Patent of the United States, which application claims priority from Swedish application No. 9703378-1 filed on 18 September 1997, and

WHEREAS, **Astra Aktiebolag**, a corporation organized and existing under the laws of **Sweden** and having an office for the transaction of business in Södertälje, Sweden, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent there for, and any Letters Patent which may be obtained therefor;

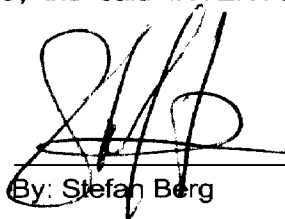
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that we, the said INVENTORS, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said ASSIGNEE, and other valuable considerations unto us moving from said ASSIGNEE, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to the said invention as set forth and described in the specification forming a part of the application for Letters Patent of the United States for **SUBSTITUTED CHROMAN DERIVATIVES** and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements, including all priority rights under the Paris Convention, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND we, the said INVENTORS, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said ASSIGNEE, as the assignee of the entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns;

AND we, the said INVENTORS, for the considerations aforesaid, do hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said ASSIGNEE, its successors and assigns, may be required or necessary to more effectively secure to and vest in said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, benefits, privileges and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

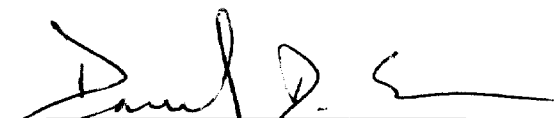
IN WITNESS WHEREOF, we, the said INVENTORS, have hereunto set our hands and seals on the date below written.

10 September 1998  
Date

  
By: Stefan Berg

WITNESS:

  
Signature of Witness

  
Signature of Witness

Name and Address of Witness:

WERNER HANSEN  
ASTRA ARCUS AB

Name and Address of Witness:

DANIEL SOHN  
ASTRA ARCUS AB

(NOTE: The name and address of each witness should be typed or clearly printed below the signature of the witness.

10 September 1998

Date

By: Mats Linderberg

**WITNESS:**

Signature of Witness

Name and Address of Witness:

Rolf Johansson  
Astra Arus AB

Signature of Witness

Name and Address of Witness:

MARTIN HESBERG  
ASTRA PRODUCTION CHEMICALS AB

**(NOTE:** The name and address of each witness should be typed or clearly printed below the signature of the witness.

10 September 1998

Date

By: Svante Ross

**WITNESS:**

Signature of Witness

Name and Address of Witness:

STEFAN HENNING  
ASTRA PRODUCTION CHEMICALS AB

Signature of Witness

Name and Address of Witness:

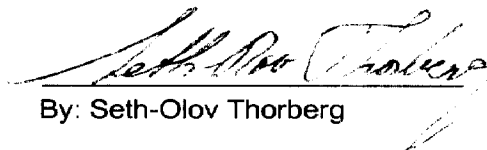
STEFAN HENNING  
ASTRA PRODUCTION CHEMICALS AB

**(NOTE:** The name and address of each witness should be typed or clearly printed below the signature of the witness.

PATENT

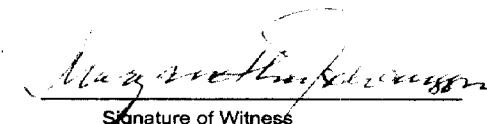
REEL: 010077 FRAME: 0068

10 September 1998  
Date

  
By: Seth-Olov Thorberg

**WITNESS:**

  
Signature of Witness

  
Signature of Witness

Name and Address of Witness:

Els-Mane Herelius  
Astra Arcus AB

Name and Address of Witness:

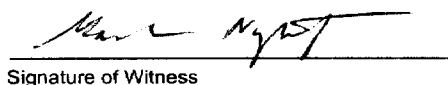
Margaretha Johansson  
Astra Arcus AB

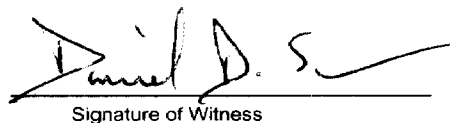
(NOTE: The name and address of each witness should be typed or clearly printed below the signature of the witness.

11 September 1998  
Date

  
By: Bengt Ulf

**WITNESS:**

  
Signature of Witness

  
Signature of Witness

Name and Address of Witness:

Martin Nyhof  
Smultenvägen 39  
155 31 Nyhagen

Name and Address of Witness:

DANIEL SJÖSTRÖM  
ASTRA ARCUS AB

(NOTE: The name and address of each witness should be typed or clearly printed below the signature of the witness.