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Case Name: Dawes 11-45-11-23

COVER SHEET

0 Recd PCT/PTO 10 JUN 1999

To the Honorable Commissioner of Patents and Trademarks: Please record the attached documents or copy thereof.

- (1) Conveying Party(ies): Steven B. Dawes
Ronald E. Johnson
Richard O. Maschmeyer
Robert D. Shoup

Title: HYBRID ORGANIC-INORGANIC PLANAR OPTICAL WAVEGUIDE DEVICE

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

- (2) Receiving Party: Corning Incorporated
Patent Department
SP-TI-03
Corning, NY 14831

(3) Nature of conveyance: Assignment

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 09319800
Filing date:

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on June 3, 1999 and June 8, 1999.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Philip G. Alden, Esq.
Reg. No. 32,189
Corning Incorporated
Patent Department
SP-TI-03
Corning, NY 14831
Phone No.: (607) 974-8803

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

— Enclosed (Check No.)
— Included with filing fee. Check No. ____.

X Authorization given by Corning Incorporated to charge deposit account number 03-3325

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Philip G. Alden, Esq.

6/10/99
Date

Total number of pages comprising cover sheet: four

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PATENT
REEL: 010077 FRAME: 0568

ASSIGNMENT

FOR VALUE RECEIVED, WE, **Steven B. Dawes** residing at 4 East 4th. Street, Corning, NY 14830; **Ronald E. Johnson** residing at PO Box 436, Tioga, PA 16946; **Richard O. Maschmeyer** residing at 10287 Powderhorn Dr., Corning, NY 14830; and **Robert D. Shoup** residing at 2995 Hidden Oaks Dr., Seabrook Island, SC 29455, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to **HYBRID ORGANIC-INORGANIC PLANAR OPTICAL WAVEGUIDE DEVICE** and generally described in an application for Letters Patent of the United States, executed by us on the

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respectively, and all the rights and privileges under any and all Letters Patents that may be granted therefor including the Provisional application 60/032,961 filed on December 13, 1996, and the PCT application PCT/US97/22760 filed December 12, 1997. We request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

We agree that, when requested, we will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

We authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its

successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

We covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Signed at Corning, N.Y., this

<u>6/3</u>	1999	<u>Steven B. Dawes</u> Steven B. Dawes
<u>6/3</u>	1999	<u>Ronald E. Johnson</u> Ronald E. Johnson
<u>6/3</u>	1999	<u>Richard O. Maschmeyer</u> Richard O. Maschmeyer
<u>6/3</u>	1999	<u>Robert D. Shoup</u> Robert D. Shoup

State of New York)

: ss.

County of Steuben)

On the 3 day of June 1999, before me personally came **Steven B. Dawes** known to be the person described in and who executed the foregoing instrument, and acknowledged the execution of the same.

Sharon C. Archer
Notary Public

SHARON C. ARCHER
Notary Public, State of New York
Steuben County No. 01A0436431
Commission Expires April 30, 2000

State of New York)

: ss.

County of Steuben)

On the 3rd day of June 1994, before me personally came **Ronald E. Johnson** known to be the person described in and who executed the foregoing instrument, and acknowledged the execution of the same.

Sharon C. Archer
Notary Public

SHARON C. ARCHER
Notary Public, State of New York
Steuben County No. 01AR4636431
Commission Expires April 30, 2000

State of New York)

: ss.

County of Steuben)

On the 3rd day of June 1994, before me personally came **Richard O. Maschmeyer** known to be the person described in and who executed the foregoing instrument, and acknowledged the execution of the same.

Sharon C. Archer
Notary Public

SHARON C. ARCHER
Notary Public, State of New York
Steuben County No. 01AR4636431
Commission Expires April 30, 2000

State of New York)

: ss.

County of Steuben)

On the 8 day of June 1997 before me personally came **Robert D. Shoup** known to be the person described in and who executed the foregoing instrument, and acknowledged the execution of the same.

Sharon C. Archer
Notary Public

SHARON C. ARCHER
Notary Public, State of New York
Steuben County No. 01AR4636431
Commission Expires April 30, 2000