

07-13-1999

FORM PTO-1595  
(Rev. 6-93)  
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HEET U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Robert Kostecki Frank R. McLarnon <b>7.1.99</b></p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies) Name: <u>The Regents of the University of California</u> Internal Address: _____ _____ _____ Street Address: <u>1111 Franklin St.,</u> <u>12th Floor</u> City: <u>Oakland</u> State: <u>CA</u> ZIP: <u>94607-</u> <u>5200</u></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>07/01/99</u></p>	

4. Application number(s) or patent number(s): **09346121**

If this document is being filed together with a new application, the execution date of the application is: 07/01/99

A. Patent Application No.(s) \_\_\_\_\_ B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Henry P. Sartorio</u> Internal Address: <u>Lawrence Berkeley Nat'l Lab Patent Dept.</u> _____ Street Address: <u>One Cyclotron Road</u> <u>MS 90-1121</u> City: <u>Berkeley</u> State: <u>CA</u> ZIP: <u>94720</u></p>	<p>6. Total number of applications and patents involved: <b>1</b></p> <p>7. Total fee (37 CFR 3.41).....\$ <u>40</u></p> <p><input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>12-0690</u></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Henry P. Sartorio Henry P. Sartorio 7-1-99  
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document **2**

Mail documents to be recorded with required cover sheet information to:  
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(Form PTO-1595—Recordation Form Cover Sheet [16-5]—page 1 of 3)

PATENT  
REEL: 010079 FRAME: 0432

ASSIGNMENT

LBNL Case No. IB-1235

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

Robert Kostecki  
1143 Perales St.  
Lafayette, CA 94549

Frank R. McLarnon  
8 Ridge Lane  
Orinda, CA 94563

hereby sells, assign(s) and transfer(s) to ASSIGNEE, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>TH</sup> Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Photochromic, Electrochromic, Photoelectrochromic and Photovoltaic Devices

and which is found in

- (a)  U.S. patent application executed on 07/01/99 entitled as above and listing the above named persons as inventors.
- (b)  U.S. application serial no. filed on ,
- (c)  U.S. Patent No.: , issued .

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

executed this:

1st day of July, 1999

1st day of July, 1999

Signature of Inventor(s)

Robert Kostecki  
Robert Kostecki

Frank R. McLarnon  
Frank R. McLarnon