

7/12/99

07-14-1999



Docket No. 1232-4539

101089619

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hisao Okita, Mitsuru Amimoto, Takashi Sakai and Kimihiko Fukawa  
Serial No. : 09/318,056 Group Art Unit : 2713  
Filed : May 25, 1999  
For : IMAGE READING APPARATUS, IMAGE READING METHOD,  
AND STORAGE MEDIUM

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks  
BOX ASSIGNMENTS  
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:

Hisao OKITA, 3-2-2B, Shinsaku 6-chome, Takatsu-ku, Kawasaki-shi, Kanagawa-ken, Japan; Mitsuru AMIMOTO, 37-8-101, Matsukazedai, Aoba-ku, Yokohama-shi, Kanagawa-ken, Japan; Takashi SAKAI, 5-13-302, Nakajima 3-chome, Kawasaki-ku, Kawasaki-shi, Kanagawa-ken, Japan and Kimihiko FUKAWA, c/o Canon Musashinitta-ryo, 19-18, Shimomaruko 2-chome, Ohta-ku, Tokyo, Japan

2. Name and address of receiving party/parties:

Name CANON KABUSHIKA KAISHA

Internal Address: \_\_\_\_\_

Street Address: 30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo, Japan

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

07/12/1999 MTHAI1 00000232 09318056

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Name 40.00 OP

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

[ ] Additional names and addresses attached.

3. Name of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement  
☐ Change of Name ☐ Other  
Execution Date: 6/30/99

478300 1

PATENT  
REEL: 010081 FRAME: 0957

## 4. Application Number(s) or Patent Number(s):

[ ] This document is being filed together with a new application which was executed on \_\_\_\_\_.

[X] Patent Application No.(s) 09/318,056

[ ] Patent No.(s) \_\_\_\_\_

## 5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154

## 6. Total number of applications and patents involved: \_\_\_\_\_.

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$40.00

[X] A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

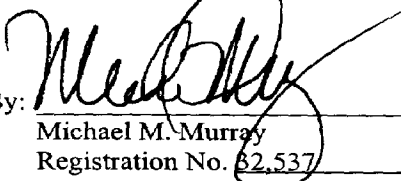
[ ] Charge fee to Deposit Account No. 13-4500. Order No. \_\_\_\_\_.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 1232-4539.

## 8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By:   
Michael M. Murray  
Registration No. 82,537

Dated: July 7, 1999

## CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

FORM: REC-PAT.NY  
Rev. 05/26/98

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JOINT  
(AFTER APPLICATION FILED)

**ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES**

FOR VALUE RECEIVED, We, Hisao OKITA, Mitsuru AMIMOTO, Takashi SAKAI  
and Kimihiko FUKAWA

citizens of Japan  
residing respectively at

3-2-2B, Shinsaku 6-chome, Takatsu-ku, Kawasaki-shi,  
Kanagawa-ken, Japan;  
37-8-101, Matsukazedai, Aoba-ku, Yokohama-shi,  
Kanagawa-ken, Japan;  
5-13-302, Nakajima 3-chome, Kawasaki-ku,  
Kawasaki-shi, Kanagawa-ken, Japan; and  
c/o Canon Musashinitta-ryo, 19-18,  
Shimomaruko 2-chome, Ohta-ku, Tokyo, Japan

hereby sell, assign, transfer and convey unto Canon Kabushiki Kaisha  
a corporation of Japan  
having a place of business at

30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo, Japan  
its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title  
and interest, for the United States, in and to certain inventions relating to

IMAGE READING APPARATUS, IMAGE READING METHOD, AND  
STORAGE MEDIUM

and described in an application for Letters Patent of the United States filed by us on May 25, 1999 and  
which has been accorded Application No. 09/318,056  
and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent  
of the United States which may be granted, thereon, and all reissues and extensions thereof; and we hereby  
authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters  
Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for  
patent or other form of protection for said inventions, the benefit of the right of priority provided by the  
International Convention for the Protection of Industrial Property, as amended, or by any convention which may  
henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral  
authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any  
assignment, consent to file or like document which may be required in the United States for any purpose and  
more particularly in proof of the right of Assignee or nominees to claim the aforesaid benefit of the right of  
priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any  
convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

By: Hisao Okita  
Hisao OKITA

Date: June 30, 1999

By: Mitsuru Amimoto  
Mitsuru AMIMOTO

Date: June 30, 1999

By: Takashi Sakai  
Takashi SAKAI

Date: June 30, 1999

By: Kimihiko Fukawa  
Kimihiiko FUKAWA

Date: June 30, 1999