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Attorney Docket No. 1472-06214

U. S. DEPARTMENT OF COMMERCE

FORM PTO-1595

(Rev. 6-93) Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

101088294

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties(ies):

Yves Pommier  
Abhijit Mazumder

Additional name(s) of conveying party(ies):

Attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

United States of America, The,  
Represented by the Secretary of  
The Department of Health & Human Services  
200 Independence Ave., S.W.  
Washington, D.C. 20201

RECEIVED

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Additional name(s) & address(es)

Attached? ☐ Yes ☒ No

Execution Dates: January 19, 1999 and February 1, 1999

4. Application number(s) or patent number(s): 1

If this document is being filed together with a new application, the execution date of the application is : \_\_\_\_\_

A. Patent Application No.(s). 08/682,255

B. Patent No.(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

C. STEVEN MCDANIEL  
Conley, Rose & Tayon, P.C.  
P. O. Box 3267  
Houston, Texas 77253-3267

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed  
☒ Authorize to charge any deficiency in these fees to  
deposit account

8. Deposit account number: 03-2769

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy if a true copy of the original document

CAROL G. MINTZ  
C. Steven McDaniel

Name of Person Signing

Carol G. Mintz  
Signature

July 8, 1999  
Date

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D. C. 20231

07/13/1999 DNGUYEN 00000056 08682255

01 FC:581

40.00

PATENT  
REEL: 010082 FRAME: 0109

ASSIGNMENT

WHEREAS, I, Yves Pommier, an employee of the Department of Health and Human Services at the time I co-invented

ANTI-VIRAL GUANOSINE-RICH OLIGONUCLEOTIDES

for which I have co-executed application for Letters Patent of the United States of America that was filed on July 17, 1996, under Serial No. 08/682,255; and

WHEREAS, I am one of the applicants named in the above-mentioned application for Letters Patent; and

WHEREAS, the conditions under which said invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096 to the entire, right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring my domestic and foreign right, title, and interest in the above-mentioned invention described in the aforesaid application for Letters Patent;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign and transfer to the United States of America, represented by the Secretary, Department of Health and Human Services, my portion of the full and exclusive rights in and to said invention in the U.S. and within each and every foreign country in which a patent application directed to the above invention has been or will be filed and my entire right, title, and interest in and to such applications, and any continuations, continuations-in-part, divisions, reissues or extensions thereof, and including priority rights, as may be filed in the U.S. and foreign countries, and such Letters Patent as may be granted to be held by the Government to the end of the term for which the same would have been held by the inventor had this assignment not been made.

I further agree to make, execute, and deliver to the Secretary, Department of Health and Human Services, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist the Government in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by the Government.

IN WITNESS WHEREOF, I have hereunder set my hand this 19th day of

January, 1999.

Yves Pommier  
Yves Pommier

STATE OF Maryland )  
) SS:

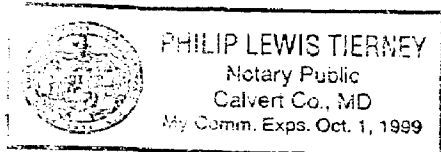
COUNTY OF Montgomery )

On this 19th day of January, 1999, before me, a Notary Public in and for said county, appeared Yves Pommier, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

Philip Lewis Tierney  
Notary Public

{SEAL}

My Commission Expires: \_\_\_\_\_



## ASSIGNMENT

WHEREAS, I, Abhijit Mazumder, an employee of the Department of Health and Human Services at the time I co-invented

## ANTI-VIRAL GUANOSINE-RICH OLIGONUCLEOTIDES

for which I have co-executed application for Letters Patent of the United States of America that was filed on July 17, 1996, under Serial No. 08/682,255; and

WHEREAS, I am one of the applicants named in the above-mentioned application for Letters Patent; and

WHEREAS, the conditions under which said invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096 to the entire, right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring my domestic and foreign right, title, and interest in the above-mentioned invention described in the aforesaid application for Letters Patent;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign and transfer to the United States of America, represented by the Secretary, Department of Health and Human Services, my portion of the full and exclusive rights in and to said invention in the U.S. and within each and every foreign country in which a patent application directed to the above invention has been or will be filed and my entire right, title, and interest in and to such applications, and any continuations, continuations-in-part, divisions, reissues or extensions thereof, and including priority rights, as may be filed in the U.S. and foreign countries, and such Letters Patent as may be granted to be held by the Government to the end of the term for which the same would have been held by the inventor had this assignment not been made.

I further agree to make, execute, and deliver to the Secretary, Department of Health and Human Services, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist the Government in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by the Government.

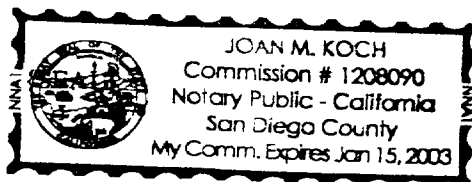
IN WITNESS WHEREOF, I have hereunder set my hand this 1<sup>st</sup> day of

February, 1999.

Abhijit Mazumder  
Abhijit Mazumder

STATE OF CALIFORNIA )  
San Diego )  
COUNTY OF San Diego ) SS:

On this 1<sup>st</sup> day of February, 1999, before me, a Notary Public in and for said county, appeared Abhijit Mazumder, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.



Joan M. Koch  
Notary Public  
My Commission Expires: 1/15/03