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07-15-1999

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SHEET

101093561

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Kerry A. ORTEGA,
Hans EGGER, Arthur KELLER, Ronald E. VANBUSKIRK,
Huifang WANG and James R. LEWIS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 30, June 30, June 29, June 29,
June 29, June 29, 1999, respectively.

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

Armonk, New York 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09, 345071

If this document is being filed with a new application, the execution date of the application is: June 30, June 30,
June 29, June 29, June 29, June 29, 1999, respectively

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document should be
mailed:

Name: Robert J. Sacco, Esq.

Internal Address: _____

Street Address: QUARLES & BRADY LLP
222 Lakeview Avenue - Suite 400
P.O. Box 3188

07/13/1999 PSTANDAC 00000045109340071 Beach, FL 33402-3188

02 FC:581

40.00 OP

6. Total number of applications and patents
involved:

1

7. Total Fee (37 CFR 34)\$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number

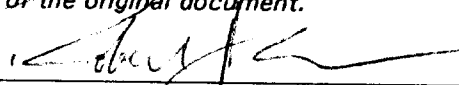
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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
copy of the original document.


Robert J. Sacco, Reg. No. 35,667
Docket No. 6169-100

Date

6/30/99

Total number of pages including cover sheet: 7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

DOCKET NUMBER: 6169-100

ASSIGNMENT

Whereas, we,

- | | | |
|-----|--|--|
| (1) | Kerry A. Ortega
County of Wake | of Raleigh
and State of North Carolina, |
| (2) | Hans Egger | of Vienna
and Country of Austria, |
| (4) | Arthur Keller
County of Palm Beach | of Boca Raton
and State of Florida, |
| (3) | Ronald E. VanBuskirk
County of Martin | of Indiantown
and State of Florida, |
| (5) | Huifang Wang
County of Palm Beach | of Boynton Beach
and State of Florida, |
| (6) | James R. Lewis
County of Palm Beach | of Delray Beach
and State of Florida, |

have invented certain improvements in

METHOD AND APPARATUS FOR IMPROVING SPEECH RECOGNITION ACCURACY

and executed a United States patent application therefor on the following date(s):

- (1) June 30, 1999 (2) _____;
(3) _____ (4) _____;
(5) _____; and (6) _____.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York, 10504 (hereinafter IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may

apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>Raleigh</u>	<u>6/30/99</u>	<u>Kerry A. Ortega</u> Kerry A. Ortega
(2)	_____	_____	_____
(3)	_____	_____	_____
(4)	_____	_____	_____
(5)	_____	_____	_____
(6)	_____	_____	_____

Hans Egger

Arthur Keller

Ronald E. VanBuskirk

Huifang Wang

James R. Lewis

PATENT

DOCKET NUMBER: 6169-100**ASSIGNMENT**

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- | | | |
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apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	_____	_____	_____
			Kerry A. Ortega
(2)	<u>VIENNA</u>	<u>06/30/1999</u>	
			Hans Egger
(3)	_____	_____	_____
			Arthur Keller
(4)	_____	_____	_____
			Ronald E. VanBuskirk
(5)	_____	_____	_____
			Huifang Wang
(6)	_____	_____	_____
			James R. Lewis

JUN 29 1999 10:08 FR QUARLES AND BRADY LLP561 653 5333 TO 96157005

P.37/38

PATENT

DOCKET NUMBER: 6169-100

A S S I G N M E N T

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- (1) _____ (2) _____;
(3) 29 JUNE 99 (4) JUNE 29, 1999
(5) JUNE 29 99 and (6) JUNE 29, 1999.

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JUN 29 1999 10:08 FR QUARLES AND BRADY LLP 561 653 5333 TO 96157005

P.38/38

apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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(1)	_____	_____	_____
			Kerry A. Ortega
(2)	_____	_____	_____
			Hans Egger
(3)	<u>West Palm Beach, FL</u>	<u>June 29, 1999</u>	<u>Arthur Keller</u>
			Arthur Keller
(4)	<u>West Palm Beach, FL</u>	<u>June 29, 1999</u>	<u>Ronald E. Van Buskirk</u>
			Ronald E. VanBuskirk
(5)	<u>West Palm Beach, FL</u>	<u>June 29, 1999</u>	<u>Huifang Wang</u>
			Huifang Wang
(6)	<u>West Palm Beach, FL</u>	<u>June 29, 1999</u>	<u>James R. Lewis</u>
			James R. Lewis