

07-15-1999

IEET

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To the honorable Commissioner of P.

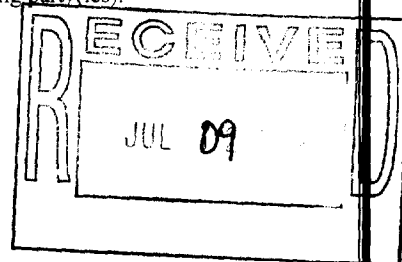
101091909

ached original documents or copy thereof.

1. Name of conveying party(ies):
SMALL, Robert J.; CHENG, Jun; MAW, Taishih
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

EKC Technology, Inc.
2520 Barrington Court
Hayward, California 94545



3. Nature of Conveyance:

WED 7-9-99

[X] Assignment [] Merger
[] Security Agreement [] Change of Name
[] Other:

Additional name(s) attached? [] Yes [X] No

Execution Date: 06/14/1999; 06/21/1999; 06/14/1999

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/304,450

B. Patent No.(s)

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Willis E. Higgins
Cooley Godward LLP
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306

6. Total number of application and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

[X] Enclosed

40E

[] Authorized to be charged to deposit account

8. Deposit account number: 03-3117

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Reg. No.: 23,025

Name of Person Signing: Willis E. Higgins

Signature: *Willis E. Higgins*

Date: *7-9-99*

Total number of pages including cover sheet, attachments, and document: 6

Express Mail Label No. EM 570 538 722 US

Date of Deposit: *July 9, 1999*

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Honorable Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231.

Date:

9 July 1999

By:

Vladimir Skliba

Vladimir Skliba

07/14/1999 DNGUYEN 00000067 09304450

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40.00 DP

PATENT
REEL: 010085 FRAME: 0650

ASSIGNMENT

Whereas, Robert J. Small residing at 11418 Rampart Drive, Dublin, California 94568; Jun Cheng residing at 990 Westlynn Way #2, Cupertino, California 95014; Taishih Maw residing at 502 Treyburn Circle, San Ramon, California 94583 (hereinafter referred to individually and collectively as "Inventor") have made an invention relating to certain new and useful improvements in:

**COMPOSITIONS FOR CLEANING ORGANIC AND PLASMA ETCHED RESIDUES
FOR SEMICONDUCTOR DEVICES**

and executed therefor an Application for Letters Patent of the United States and

[] having an oath or declaration executed on even date herewith;
[**xx**] bearing Serial No. 09/304,450 and filed on May 3, 1999
[] issued as a Patent No. ____ on ____

Whereas, EKC Technology, Inc.' (hereinafter "Assignee"), a corporation of California, and having a principal place of business at 2520 Barrington Court, Hayward, California 94545, is desirous of acquiring the entire right, title, and interest in and to said invention, said Application, and the Letters Patent to be obtained therefor:

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to Inventor in hand paid, the receipt and sufficiency whereof are hereby acknowledged, Inventor has sold, assigned, and set over and by these presents does hereby sell, assign, and set over unto Assignee and Assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said Application and any other application, domestic or foreign, that claims said invention, as well as any Letters Patent, domestic or foreign, that may or shall issue thereon; and Inventor does hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this Assignment.

The terms "Application" and "Application for Letters Patent" as used herein include both provisional and non-provisional applications.

Inventor hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said

Application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, Inventor conveys to Assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the United States application (or other application if any there be) in priority to other applications; and **Inventor does hereby covenant** and agree with Assignee that Inventor will not execute any writing or do any act whatsoever conflicting with these presents, and that Inventor will at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, continuation-in-part, renewal, reexamined, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of both parties.


Date: 14 June 99

By: 
Robert J. Small

50
Date: 14 June 99

By: 
Jun Cheng

Date: 14 June 99

By: 
Taishih Maw

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

STATE OF CALIFORNIA

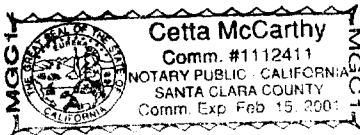
COUNTY OF Santa Clara

On June 21, 1999 before me, Cetta McCarthy (Notary Public)
(Name and Title of Officer)

personally appeared Jun Cheng

☐ personally known to me -or- ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Cetta McCarthy
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment

Document Date: May 3, 1999 (signed June 21, 1999)

Number of Pages: 2

Signer(s) Other Than Named Above: Taishih Mau (signed June 14, 1999) + Robert J. Small (signed June 14, 1999)

CAPACITY(IES) CLAIMED BY SIGNER(S)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
 Title: _____
☐ Partner – ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer is representing:
Name of Person(s) or Entity(ies)

Signer's Name: Jun Cheng

- ☒ Individual
☐ Corporate Officer
 Title: _____
☐ Partner – ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
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Signer is representing:
Name of Person(s) or Entity(ies)

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

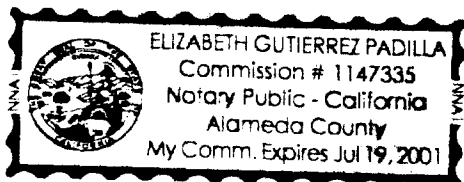
STATE OF CALIFORNIA

COUNTY OF ALAMEDA

On JUNE 14, 1999, before me, ELIZABETH GUTIERREZ PADILLA
(Name and Title of Officer) NOTARY PUBLIC

personally appeared ROBERT J. SMALL

☐ personally known to me or ☒ proved to me on the basis of satisfactory evidence to be the person ~~(s)~~ whose name ~~(s)~~ is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: ASSIGNMENT (Attorney Docket # E KCT-D18/0005)

Document Date: MAY 3, 1999 (Signed June 14, 1999) Number of Pages: 2

Signer(s) Other Than Named Above: TAT Suih mau (Signed June 14, 1999) Jun Chong (Unsigned)

CAPACITY(IES) CLAIMED BY SIGNER(S)

Signer's Name: ROBERT J. SMALL

- ☒ Individual
☐ Corporate Officer
 Title: _____
☐ Partner - ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

Signer is representing:
Name of Person(s) or Entity(ies)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
 Title: _____
☐ Partner - ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER

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Signer is representing:
Name of Person(s) or Entity(ies)

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

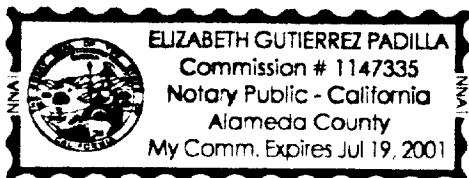
STATE OF CALIFORNIA

COUNTY OF ALAMEDA

On JUNE 14, 1999, before me, ELIZABETH GUTIERREZ PADILLA,
(Name and Title of Officer) NOTARY PUBLIC

personally appeared TAISHA MAU

☐ personally known to me or ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Elizabeth Gutierrez Padilla
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment (Attorney Docket # EKCT-018/0005)

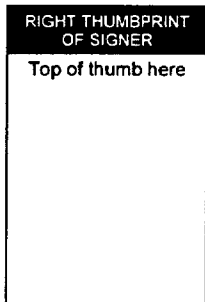
Document Date: May 3, 1999 (signed June 14, 1999) Number of Pages: 2

Signer(s) Other Than Named Above: Robert J. SMALL (signed June 14, 1999), and
Wen Cheng (unsigned)

CAPACITY(IES) CLAIMED BY SIGNER(S)

Signer's Name: TAISHA MAU

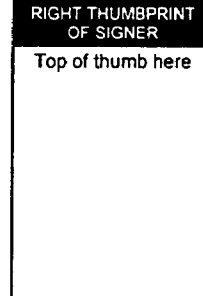
- ☒ Individual
☐ Corporate Officer
 Title: _____
☐ Partner – ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____



Signer is representing:
Name of Person(s) or Entity(ies)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
 Title: _____
☐ Partner – ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____



Signer is representing:
Name of Person(s) or Entity(ies)

