

07-15-1999

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Attorney Docket No. B94-002-7

To the Honorable Commis

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the attached original documents or copy thereof.

1. Name of conveying party(ies):

Corey Goodman, Alex Kolodkin, David Matthes  
David R. Bentley and Timothy O'Connor

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name

Other: \_\_\_\_\_

Execution Date: October 15 and 18, 1993

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Address: 1111 Franklin Street, 5<sup>th</sup> Floor  
Oakland, CA 94607-5200

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s): 09/060,610, filed April 15, 1998

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/060,610, filed April 15, 1998

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard Aron Osman, Ph.D.

Address: Science & Technology Law Group  
75 Denise Drive  
Hillsborough, CA 94010

6. Total number of applications and patents involved: 1 \_\_\_\_\_

7. Total fee (37 CFR 3.41): \$40.00 40E

Enclosed

Authorized to be charged to deposit account, if necessary

8. Deposit account number:

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**ASSIGNMENT**

U.C. Case No. 94-002-1

Docket No. A58750/ROA

For good and valuable consideration, the receipt of which is hereby acknowledge, ASSIGNOR(S),

- 1. Corey S. Goodman
- 2. Alex L. Kolodkin
- 3. David Matthes
- 4. David R. Bentley
- 5. Timothy O'Connor

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 5<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, and any and all improvements which are disclosed in the invention entitled:

**THE SEMAPHORIN GENE FAMILY**

and which is found in

- (a)  U.S. patent application executed on \_\_\_\_\_, entitled as above and listing the above named persons as inventors
- (b)  U.S. application serial no. 08/121,713, filed on September 13, 1993
- (c)  U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

**ASSIGNOR(S)** hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

**ASSIGNOR(S)** further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the **ASSIGNOR(S)** requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

18 day of Oct, 1993

15 day of Oct, 1993

15 day of Oct, 1993

15 day of Oct, 1993

15 day of Oct, 1993

Signature of Inventor(s)

Corey S. Goodman

Alex L. Kolodkin

David Matthes

David R. Bentley

Timothy O'Connor