

Docket No.: 915-2

FORM PTU-1595 (Modified)

Re

07-09-1999

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office*Corrective*

101085487

To The Honorable Commissioner of Patents

Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
CollaGenex Pharmaceuticals, Inc.

2. Name and address of receiving party(ies):

Name: Christian Schwabe

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies) ☐ Yes ☒ No7-7-99

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement ☐ Change of Name  
Record to Correct Conveying Party's name  
Previously Recorded at Reel 9596,☒ Other Frame 0802Street Address: 5 Longitude LaneCity: Charleston State: SC Zip: 29401Additional names(s) & address(es)? ☐ Yes ☒ NoExecution Date: 11/10/1998

4. Application number(s) or registration numbers:

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s):

5,827,503Additional numbers attached: ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: Ronald J. Baron, Esq.Internal Address: Hoffmann & Baron, LLP6900 Jericho TurnpikeSyosset, NY 117916. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited  
or debited to deposit account.*paid with 7/2/99 Cover Sheet*  
Authorized to be charged to deposit account.8. Deposit account no: 08-246140E

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9. Statement and signature,

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of  
the original document.Samir R. Patel, Esq.

Name of Person Signing

Signature

9/7/99

Date

Total number of pages including cover sheet, attachments, and document: \_\_\_\_\_

FORM PTO-1619A  
Expires 06/30/99  
OMB 0851-0027

06-23-1999

U.S. Department of Commerce  
Patent and Trademark Office  
PATENTMRO  
11-23-98

101043330

RECORDATION FORM COVER SHEET  
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

## Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) CollaGenex Pharmaceuticals, Inc.

Execution Date  
Month Day Year  
11/10/1998

Name (line 2)

## Second Party

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Schwabe, Christian

Name (line 2)

Address (line 1) 5 Longitude Lane

Address (line 2)

Address (line 3) Charleston

South Carolina

29401

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0851-0027) Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks

PATENT  
REEL: 010086 FRAME: 0344

FORM PTO-1619B

Expires 08/30/99  
OMB 0851-0027

Page 2

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

## Correspondent Name and Address

Area Code and Telephone Number

516-822-3550

Name Ronald J. Baron, Esq.

Address (line 1) Hoffmann &amp; Baron, LLP

Address (line 2) 350 Jericho Turnpike

Address (line 3) Jericho, New York 11753

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document  
including any attachments.

# 2

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


5827503		

If this document is being filed together with a new Patent Application, enter the date the patent application  
was

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

## Number of Properties

Enter the total number of properties involved.

# one

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 08-2461

Authorization to charge additional fees:

Yes

☒

No

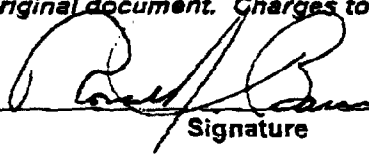
☐

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.

Ronald J. Baron

Name of Person Signing



Signature

November 17, 1998

Date

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☐ U.S. Application;  
☐ U.S. Provisional Application;  
☒ U.S. Patent; or  
☐ PCT Application  
By: ☐ Inventors or ☒ Present Owners

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

**CollaGenex Pharmaceuticals, Inc.**  
**301 South State Street**  
**Newtown, Pennsylvania 18940**  
**United States of America**

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on 09/19/96 Reel 8235  
Frame 0433

hereby sells, assigns and transfers to

ASSIGNEE:

**Christian Schwabe**

(Type or print name of ASSIGNEE)  
**5 Longitude Lane**

Address  
**Charleston, South Carolina 29401**

**United States of America**

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

# METHOD AND COMPOSITION FOR TREATING PERIODONTITIS

and which is found in


(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
    ☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
    ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. 08/689,403 filed on August 8, 1996  
(e) ☐ International application No. PCT/\_\_\_\_/\_\_\_\_\_  
(f) ☒ U.S. Patent No. 5,827,503 issued October 27, 1998  
    ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

Date: X 11/10/96 X   
Date of signing Robert A. Ashley  
Vice President, Commercial Development  
CollaGenex Pharmaceuticals, Inc.