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07-15-1999



101091916

Docket No.: 1279-262PCT

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bradley F. Chmelka Jianglin Feng Glenn H. Fredrickson
Galen D. Stucky Peidong Yang Patrick Schmidt-Winkel
Dongyuan Zhao David Pine
Qisheng Huo David Margolese
Nick Melosh Wayne Lukens, Jr.

Additional names(s) of conveying party(ies)

☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: See Exhibit A

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin St., 12th Floor

City: Oakland State: CA ZIP: 94607

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 12/9/98

A. Patent Application No.(s)

PCT/US98/
26201

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert Berliner

Internal Address: Fulbright & Jaworski LLP

Street Address: 865 South Figueroa St., Suite 2800

City: Los Angeles State: CA ZIP: 90017

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0337

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert Berliner

Name of Person Signing

Signature

March 26, 1999

Date

Total number of pages including cover sheet, attachments, and document: 1

PATENT
REEL: 010087 FRAME: 0297

EXHIBIT A TO
RECORDATION FORM COVER SHEET
DATE OF ASSIGNMENT

1. <u>BRADLEY F. CHMELKA</u>	<u>2/5/99</u>	2. <u>GALEN D. STUCKY</u>	<u>1/6/99</u>
3. <u>DONGYUAN ZHAO</u>	<u>2/25/99</u>	4. <u>QISHENG HUO</u>	<u>1/5/99</u>
5. <u>NICK MELOSH</u>	<u>2/8/99</u>	6. <u>JIANGLIN FENG</u>	<u>2/26/99</u>
7. <u>PEIDONG YANG</u>	<u>1/20/99</u>	8. <u>DAVID PINE</u>	<u>2/8/99</u>
9. <u>DAVID MARGOLESE</u>	<u>1/20/99</u>	10. <u>WAYNE LUKENS JR.</u>	<u>2/25/99</u>
11. <u>GLENN H. FREDRICKSON</u>	<u>2/8/99</u>	12. <u>PATRICK SCHMIDT-WINKEL</u>	<u>1/20/99</u>

ASSIGNMENT

U.C. Case No. 98-089-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|---------------------------------|-----------------------------------|
| 1. <u>BRADLEY F. CHMELKA</u> | 2. <u>GALEN D. STUCKY</u> |
| 3. <u>DONGYUAN ZHAO</u> | 4. <u>QISHENG HUO</u> |
| 5. <u>NICK MELOSH</u> | 6. <u>JIANGLIN FENG</u> |
| 7. <u>PEIDONG YANG</u> | 8. <u>DAVID PINE</u> |
| 9. <u>DAVID MARGOLESE</u> | 10. <u>WAYNE LUKENS JR.</u> |
| 11. <u>GLENN H. FREDRICKSON</u> | 12. <u>PATRICK SCHMIDT-WINKEL</u> |

hereby sells, assigns and transfers to ASSIGNEE, the Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin St., 5th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: **BLOCK POLYMER PROCESSING FOR MESOSTRUCTURED INORGANIC OXIDE MATERIALS** and which is found in:

- (a) ☐ U.S. provisional filed herewith and listing the above named inventors
(b) ☐ U.S. patent application filed herewith and listing the above named inventors
(c) ☒ PCT application no. PCT/US98/26201, filed on 12/9/98.
(d) ☐ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

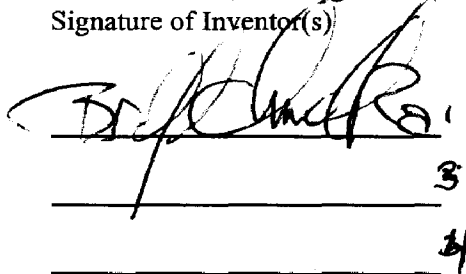
ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and document relating to said invention and said Letters patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

Signature of Inventor(s)

5 day of February 1999
____ day of January, 1999
____ day of January, 1999



8 day of ~~January~~^{February}, 1999

6 day of January, 1999

 day of January, 1999

20 day of January, 1999

8 day of ~~January~~^{February}, 1999

20 day of January, 1999

 day of January, 1999

8 day of ~~January~~^{February}, 1999

20 day of January, 1999

Nick Nulash 5

Allen Stueck 2

peidun 7

Paul D. P. 8

Dave Mangler 9

 10

Alvin Fiedler 11

T. Schmidt 12

U.C. Case No. 98-089-1

1. BRADLEY F. CHMELKA
3. DONGYUAN ZHAO
5. NICK MELOSH
7. PEIDONG YANG
9. DAVID MARGOLESE
11. GLENN H. FREDRICKSON

2. GALEN D. STUCKY
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(d) ☐ U.S. Patent No.: _____, issued _____

Signature of Inventor(s)

25 day of February, 1999

Dongyuan zhuo

____ day of February, 1999

____ day of February, 1999

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U.C. Case No. 98-089-1

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(d) ☐ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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____ day of January, 1999

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5 day of January, 1999

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| 5. <u>NICK MELOSH</u> | 6. <u>JIANGLIN FENG</u> ✓ |
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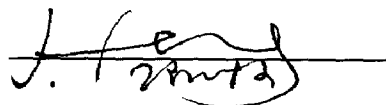
AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

Signature of Inventor(s)

____ day of February, 1999

____ day of February, 1999

26th day of February, 1999



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Signature of Inventor(s)

____ day of February, 1999

25 day of February, 1999

____ day of February, 1999



04-05-1999

EET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

FORM PTO-159 (Modified)
(Rev. 8-93)
OMB No. 0651-0011 (exp. 4/94)
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POB/REV02



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101001334

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bradley F. Chmelka Jianglin Feng Glenn H. Fredrickson
Galen D. Stucky Peidong Yang Patrick Schmidt-Winkel
Dongyuan Zhao David Pine
Qisheng Huo David Margolese
Nick Melosh Wayne Lukens, Jr.

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin St., 12th FloorCity: Oakland State: CA ZIP: 94607Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional names(s) of conveying party(ies) ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: Various

4. Application number(s) or registration numbers(s):

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Name: Robert BerlinerInternal Address: Fulbright & Jaworski LLPStreet Address: 865 South Figueroa St., Suite 2800

04/05/1999 DNGUYEN 00000100 500337 US9826201

01 FC:581

40.00 CH

City: Los Angeles State: CA ZIP: 900176. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
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Robert Berliner

March 26, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 9

RECORDED: 07/12/1999

PATENT
REEL: 010087 FRAME: 0306