

07-16-1999



1 COVER SHEET
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101092341

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

S. Iraj NAJAFI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 30, 1999

2. Name and address of receiving party(ies):

Name: **LUMENON, INNOVATIVE LIGHTWAVE TECHNOLOGY, INC.**

Internal Address:

Street Address: **9060 Ryan Avenue
Dorval**

City: **Quebec** State: **CANADA** Zip: **H9P 2M8**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:
June 30, 1999

A. Patent Application No.(s) **09/345872**

B. Patent No.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: Melvin C. Garner

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

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02 FC:581

40.00 DP

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Melvin C. Garner
Name of Person Signing

Signature

July 1, 1999
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

Assignment of Rights in Invention (Sole inventor; single assignee)		Docket No
Inventor S. Iraj NAJAFI	Residence of Inventor 491 Victoria Avenue Westmount, Quebec Canada H3Y 2R3	
Assignee LUMENON, INNOVATIVE LIGHTWAVE TECHNOLOGY INC.	Residence or Principal Place of Business of Assignee 9060 Ryan Avenue Dorval, Quebec Canada H9P 2M8	

Whereas, I, the above-identified Inventor, have invented certain new and useful improvements in:

ON-SUBSTRATE CLEAVING OF SOL-GEL WAVEGUIDE

(hereinafter referred to as "Invention") for which I am making application for Letters Patent in the United States of America:

And, whereas I desire to assign a 100% undivided interest in said Invention, said application disclosing the Invention and any Letters Patent which may be granted therefor to the above-identified Assignee, and whereas said Assignee is desirous of acquiring the entire right, title and interest in the same;

Now, this indenture witnesseth, that for the sum of **One** dollars (\$ **1.00**), and other good and valuable consideration, the receipt whereof is hereby acknowledged;

I hereby assign, sell and transfer a 100% undivided interest in said invention, said application, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said Invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Letters Patent to said Assignee, as assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary and lawful future documents, including assignments in favor of Assignee, or its designers as Assignee or its Assignees may from time-to-time present to me in order to perfect title in said Invention, modifications, and improvements in said Invention, applications and Letters Patent of the United States and countries foreign thereto;

**Assignment of Rights in Invention
(Sole inventor; single assignee)**

Docket No

Inventor

Residence of Inventor

S. Iraj NAJAFI

481 Victoria Avenue
Westmount, Quebec
Canada
H3Y 2R3

Assignee

Residence or Principal Place of Business of Assignee


LUMENON, INNOVATIVE LIGHTWAVE
TECHNOLOGY INC.

9060 Ryan Avenue
Dorval, Quebec
Canada
H9P 2M8

And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee thereof shall hereafter require and prepare at its own expense.

Executed this 30th day of June, in the year 1999

at


(Signature of Inventor)

State of

County of

Before me personally appeared S. Iraj NAJAFI
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this day of in the year

(Notary Public)