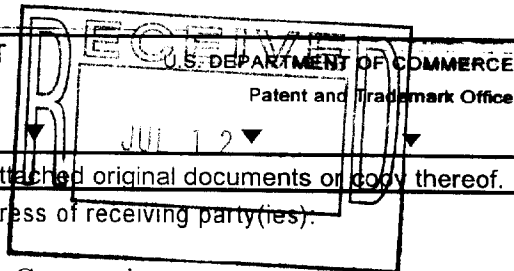


07-16-1999

SHEET  
Y



Tab settings == == 7-12-99

To the Honorable Commissioner of

1. Name of conveying party(ies):

ROBERT A. CHOPKO  
KENNETH B. BARRETT

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: JUNE 28, & JULY 2, 1999

2. Name and address of receiving party(ies):

Name: Carrier Corporation

Internal Address: \_\_\_\_\_

Intellectual Property Department

Street Address: CARRIER WORLD HEADQUARTERS BLDG.

ONE CARRIER PLACE

City: FARMINGTON State: CT ZIP: 06034

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s): 09/295,871

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carrier Corporation/William Habelt

Internal Address: Intellectual Property Department

M/S 72, A&R Bldg.

Street Address: Carrier Parkway

City: Syracuse State: NY ZIP: 13221

07/15/1999 DBOUYER 00000164 030835 09295871

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)

01 FC:581

40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Colleen S. Kuklinski

Name of Person Signing

Signature

JULY 2, 1999

Date

(ATTY DOCKET NO. 9044) Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

(Form PTO-1595-Recordation Form Cover Sheet - page 1 of 3)

PATENT  
REEL: 010090 FRAME: 0038

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled **ELECTRICALLY POWERED TRANSPORT REFRIGERATION UNIT** (Carrier Docket No. 9044)

executed by: Robert A. Chopko  
residing at: 25 Shriver Drive, Baldwinsville, New York 13027

on this 24th day of JUNE, 1999,

and by: Kenneth B. Barrett  
residing at: 6205 The Hamlet, Jamesville, New York 13078

on this 2nd day of July, 1999, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, we have hereunto set our hand and seal.

Robert A. Chopko (L.S.)

Date: 6/28/99

Robert A. Chopko

Kenneth B. Barrett (L.S.)

Date: 7/2/99

Kenneth B. Barrett

STATE OF NEW YORK )  
 ) SS.:  
COUNTY OF ONONDAGA )

On this 28<sup>th</sup> day of June, 1999, before me the subscriber,  
personally came **ROBERT A. CHOPKO**, to me known, and known to me to be the person  
described in and who executed the foregoing instrument, and duly acknowledged to me that  
he they executed the same as his free act and deed.

Luann McCormick  
Notary Public

(seal)

LUANN McCORMICK  
Notary Public, State of New York  
Qualified in Onondaga Co. No. 4886345  
My Commission Expires March 2, 2001

STATE OF NEW YORK )  
 ) SS.:  
COUNTY OF ONONDAGA )

On this 2<sup>nd</sup> day of July, 1999, before me the subscriber,  
personally came **KENNETH B. BARRETT**, to me known, and known to me to be the  
person described in and who executed the foregoing instrument, and duly acknowledged to  
me that he they executed the same as his free act and deed.

Luann McCormick  
Notary Public

(seal)

LUANN McCORMICK  
Notary Public, State of New York  
Qualified in Onondaga Co. No. 4886345  
My Commission Expires March 2, 2001

\*\*\*\*\*  
The patent application referred to in the foregoing instrument was filed in the United States Patent  
and Trademark Office on April 21, 1999, and was assigned Serial Number 09/295,871.

Frederick A. Goettel, Jr.  
Attorney: Frederick A. Goettel, Jr.

July 6, 1999  
Reg. No.: 25,139