07-19-1999

y Docket No. 02307E-085910US UC Case No. 98-031-2

FORM RTO 4505	(C 10)
FORM PTO-1595 (Rev. 6-93) 1010	U.S. Department of Commerce Patent and Trademark Office
To the Honorable Asst. Commissioner for Patents. Please record	the attached original documents or copy thereof
Name of conveying party(ies):	2. Name and address of receiving party(ies)
Thomas K. wood	Name: The Regents of the University of California
Arul Jayaraman	Traine: The Regents of the Offiversity of Galifornia
James C. Earthman	Internal Address:
14 1	the same of the sa
Additional name(s) of conveying parties attached?   Yes	Street Address: 111 Franklin St., 12 <sup>th</sup> Floor
No.	City: Oakland State: CA ZIP: 94607-5200
Nature of conveyance:	Oily. Cakland State. CA ZIF. 94607-5200
·	Additional names and addresses attacked CDV CDV
Assignment	Additional names and addresses attached? ☐ Yes ☒ No
Security Agreement Change of Name	
Other:	
Execution Date: 5/3/99 and 4/30/99	
Application Number(s) or Patent Numbers.	
••	
If this document is being filed together with a new application, the	e execution date of the application is:
A. Patent Application No(s): 09/282,277	B. Patent No(s):
,,	<u>_</u>
Additional numbers attached	——————————————————————————————————————
5. Name and address of party to whom correspondence	Total number of applications and patents involved 1
concerning document should be mailed:	7. Total fee (37 CFR 3.41):\$
Name: Laurence J. Hyman, Esq. TOWNSEND AND TOWNSEND AND CREW LLP	1. Total Ide (31 OFN 3.41)
Two Embarcadero Center, 8 <sup>th</sup> Floor	☐ Enclosed ☐ Charge Fees to Deposit Account
San Francisco, California 94111-3834	
(415) 576-0200	☐ Charge any additional fees associated with this paper or during
	the pendency of this application, or credit any overpayment, to
	deposit account.  8. Deposit account number: 20-1430
	·
9. Statement and signature.	SE THIS SPACE
-	
•	true and correct and any attached copy is a true of copy of the
original document.	. //
	$\Lambda J/$
Laurence J. Hyman	7/12/99
Name of Person Signing Signature	/   / \ / \ / / / / / / / / / / / / / /
Name of Leison Oldring   Oldring	Date
	Date /
Atty Reg. No. 35,551 Total number of page	Date /
Atty Reg. No. 35,551 Total number of page 10. Change Correspondence Address to that of Part 5?  OMB No. 0651-0011 (exp. 4/94)	Date / ges including cover sheet, attachments and document 4
Atty Reg. No. 35,551 Total number of page 10. Change Correspondence Address to that of Part 5?  OMB No. 0651-0011 (exp. 4/94)	Date  Date  ges including cover sheet, attachments and document 4
Atty Reg. No. 35,551  Total number of page 10. Change Correspondence Address to that of Part 5?  OMB No. 0651-0011 (exp. 4/94)  Mail documents to be recorded with required cover to:	Date / ges including cover sheet, attachments and document 4
Atty Reg. No. 35,551  Total number of page 10. Change Correspondence Address to that of Part 5?  OMB No. 0651-0011 (exp. 4/94)  Mail documents to be recorded with required cover to:  16/1999 DNGUYEN 00000316 201430 09282277  Asst. Comm. Box:	Date / ges including cover sheet, attachments and document 4  Yes No stach this portion

SF 150911 v1 SF 1005591 v1

I

PATENT REEL: 010092 FRAME: 0504

## **ASSIGNMENT**

U.C. Case No. 98-031-2

For good and valuable consideration, the receipt of	which is hereby acknowledged, ASSIGNOR(S),	
1. Thomas K. Wood	2. Arul Jayaraman	
3. James C. Earthman	4	
hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:		
INHIBITION OF SULFATE-REDUCING-BACTERIA-M ANTIMICROBIALS	EDIATED DEGRADATION USING BACTERIA WHICH SECRETE	
and which is found in		
(a) U.S. provisional application filed here	ewith and listing the above-named persons as inventors	
(b) U.S. provisional application filed here	ewith and listing the above-named persons as inventors	
(c) X U.S. application serial no. 09/282,2	77, filed on <u>3/31/99</u>	
(d), issued, issued		
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;		
ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;		
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.		
AND the <u>ASSIGNOR(S)</u> requests the Commissione United States and any reissue or extension thereof	r of Patents and Trademarks to issue said Letters Patent of the to the ASSIGNEE, The Regents of the University of California.	
executed this	Signature of Inventor(s)	
3 day of Mc-, 1999	Thomas K. Wood	
day of, 19	Arul Jayaraman	
day of, 19	James C. Earthman	
day of, 19		

SF 150574 v1 SF 216635 v1

> PATENT REEL: 010092 FRAME: 0505

## **ASSIGNMENT**

U.C. Case No. 98-031-2

For good and valuable consideration, the receipt of	which is hereby acknowledged, ASSIGNOR(S),	
1. Thomas K. Wood	2. Arul Jayaraman	
3. James C. Earthman	4	
hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:		
INHIBITION OF SULFATE-REDUCING-BACTERIA-M ANTIMICROBIALS	IEDIATED DEGRADATION USING BACTERIA WHICH SECRETE	
and which is found in		
(a) U.S. provisional application filed here	ewith and listing the above-named persons as inventors	
(b) U.S. provisional application filed here	ewith and listing the above-named persons as inventors	
(c) X U.S. application serial no. 09/282,27	77, filed on <u>3/31/99</u>	
(d) U.S. Patent No.:, issued		
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;		
ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;		
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.		
	r of Patents and Trademarks to issue said Letters Patent of the to the ASSIGNEE, The Regents of the University of California.	
executed this	Signature of Inventor(s)	
day of, 19	Thomas K. Wood	
3'd day of May, 1999	Arul Jayaraman	
day of, 19	James C. Earthman	
day of, 19		

SF 150574 v1 SF 216635 v1

PATENT REEL: 010092 FRAME: 0506

## **ASSIGNMENT**

U.C. Case No. 98-031-2

For good and valuable consideration, the receipt of v	vhich is hereby acknowledged, ASSIGNOR(S),
1. Thomas K. Wood	2. Arul Jayaraman
3. James C. Earthman	4
Corporation, having its statewide administrative of 94607-5200, and the successors, assigns and legi-	The Regents of the University of California, a California offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA all representatives of the ASSIGNEE all of its right, title and essessions and in all foreign countries in and to, any and all intitled:
INHIBITION OF SULFATE-REDUCING-BACTERIA-ME ANTIMICROBIALS	DIATED DEGRADATION USING BACTERIA WHICH SECRETE
and which is found in	
(a) U.S. provisional application filed herev	with and listing the above-named persons as inventors
(b) U.S. provisional application filed herev	with and listing the above-named persons as inventors
(c) X U.S. application serial no. 09/282,277	7, filed on <u>3/31/99</u>
(d), issued,	
Patent to be obtained for said invention by the aborpart, extension, conversion to 35 USC 111(a) or su	, including the right to claim priority and, in and to, all Letters ove application or any continuation, division, continuation-in-bstitute thereof, and any reissue, reexamination or extension ional Conventions for the Protection of Industrial Property;
<u>ASSIGNOR(S)</u> hereby covenants that no assignment, entered into which would conflict with this assignment	sale, agreement or encumbrance has been or will be made or ent;
facts and documents relating to said invention and saccessible to ASSIGNOR and will testify as to the thereto and will promptly execute and deliver to instruments or affidavits required to apply for, obtained said Letters Patent and said equivalents there	ill, upon its request, be provided promptly with all pertinent aid Letters Patent and legal equivalents as may be known and a same in any interference, litigation, or proceeding relating ASSIGNEE or its legal representative any and all papers, in, maintain, issue or enforce said application, said invention eof which may be necessary or desirable to carry out the d and requested by the execution of this assignment to insert of said application when officially known.
	of Patents and Trademarks to issue said Letters Patent of the the ASSIGNEE, The Regents of the University of California.
executed this	Signature of Inventor(s)
day of, 19	Fhomas K. Wood
day of, 19	And Investment
$50$ day of $April_{197}$ , $197$	Action Javaraman James C. Earthman
day of, 19	· · · · · · · · · · · · · · · · · · ·

SF 150574 v1 SF 216635 v1

RECORDED: 07/15/1999

PATENT REEL: 010092 FRAME: 0507