

07-19-1999

FORM PTO-1595

CALT-2806



SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

7,115.99

101093946

To the Honorable Commissioner of Patents and Trademarks

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Lee J. Burrows

2. Name and address of receiving party(ies):

Name California Institute of Technology

Internal Address _____

JUL 15

Street Address 1200 E. California BoulevardCity Pasadena State CA Zip 91125Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date May 27, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No. (s)

09/309,361

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name D'Alessandro & Ritchie

Internal Address _____

Street Address P. O. Box 640640City San Jose State CA Zip 95164-06406. Total number of applications and patents involved ☐ 17. Total fee (37 CFR §3.41) \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0025

(Attach duplicate copy of this page if paying by deposit account)

07/16/1999 DNGUYEN 00000166 09309361

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01 FC-581 40.00 DP

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Tara Hayden

Name of Person Signing

[Signature]
SignatureJune 8, 1999

Date

Total number of pages including cover sheet, attachments and document ☐ 2Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, DC 20231PATENT
REEL: 010093 FRAME: 0328

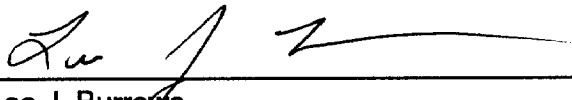
ASSIGNMENT

WHEREAS, I, Lee J. Burrows, a citizen of the United States of America, residing 4106 - 25th Street, #4, San Francisco, California 94114, have invented a "A Method For Pressurized Annealing of Lithium Niobate and Resulting Lithium Niobate Structures" for which I have executed application papers for a U.S. patent thereon which was filed on May 11, 1999, serial no. 09/309,361; and

WHEREAS, California Institute of Technology, a California Non-profit corporation, having a place of business 1200 E. California Boulevard, Pasadena, California 91125, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, Lee J. Burrows, do sell, assign, transfer and set over unto the said California Institute of Technology, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said California Institute of Technology, its successors and assigns, in accordance with this Assignment.

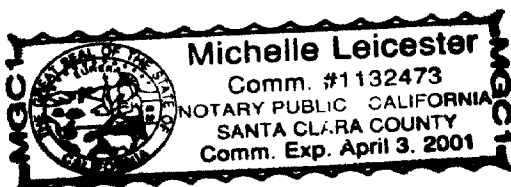
WITNESS MY HAND at SAN JOSE, California, this 27 day of MAY, 1999.


Lee J. Burrows

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA) ss.

On this 27th day of MAY, 1999, before me, a Notary Public, personally appeared Lee J. Burrows, ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person ~~whose name is~~ subscribed to the within instrument and acknowledged to me that he ~~she~~ ~~they~~ executed the same in his ~~her~~ ~~their~~ authorized capacities, and that by his ~~her~~ ~~their~~ signature ~~s~~ on the instrument the person ~~s~~ or the entity upon behalf of which the person ~~s~~ acted, executed the instrument.

WITNESS my hand and official seal.




NOTARY PUBLIC