

07-20-1999

1 SHEET

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1. Name of conveying party(ies):  
 Franz EFFENBERGER; Harald WAJANT; Peter LAUBLE;  
 Siegfried FÖRSTER; Holger BÜHLER; Helmut SCHWAB;  
 Christoph KRATKY; Ulrike WAGNER; and Steiner ERNST

Additional name(s) of conveying party(ies) attached? No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: April 26, 1999, April 13, 1999; April 16, 1999, April 14, 1999, April 14, 1999, April 30, 1999, April 30, 1999, May 3, 1999, and April 30, 1999, respectively

2. Name and address of receiving party(ies):

Name: DSM Fine Chemicals Austria GmbHStreet Address: St. Peter-Straße 25City: A-4021 Linz Country: Austria

Additional name(s) &amp; address(es) attached? No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 26, 1999, April 13, 1999, April 16, 1999, April 14, 1999, April 14, 1999, April 30, 1999, April 30, 1999, May 3, 1999, and April 30, 1999, respectively

A. Patent Application No.(s)

09/345773

B. Patent No.(s)

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WENDEROTH, LIND & PONACK, L.L.P.  
 Attn: Matthew Jacob, Esq.

Street Address: 2033 K Street, N.W., Suite 800City: Washington, State: DC ZIP: 200066. Total number of applications and patents involved:  
One7. Total fee (37 C.F.R. § 3.41). . . . . \$40.00

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9. Statement and signature. 40.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Matthew Jacob, Reg. No. 25,154

Name of Person Signing

Signature

Date

July 1, 1999

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PATENT  
 REEL: 010093 FRAME: 0591

# ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned

Franz Effenberger, Harald Wajant, Peter Lauble, Siegfried Förster  
Holger Bühler, Helmut Schwab, Christoph Kratky, Ulrike Wagner,  
Steiner Ernst

Insert Name(s)  
of Inventor(s)

the undersigned hereby sell(s) and assign(s) to  
DSM Fine Chemicals Austria GmbH

Insert Name(s)  
of Assignee(s)

of St. Peter-Straße 25, A-4021 Linz, Austria

Address

(hereinafter designated as the Assignee) the entire right, title and interest for the United States as defined in 35 USC 100, in the invention known as

(S)-Hydroxynitrile lyases with improved substrate  
acceptance and their use

Title of  
Invention

Date of Signing  
of Application

for which an application for patent in the United States has been executed by the undersigned on  
April 26; April 13; April 16, April 14, April 30,  
repectively May3

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of WENDEROTH, LIND & PONACK, L.L.P., 2033 K Street, N.W., Suite 800, Washington, DC 20006, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 26.4.1999, Name of Inventor Franz Effenberger  
(Effenberger Franz)  
Date 13.4.1999, Name of Inventor Harald Wajant  
(Wajant Harald)  
Date 16.4.1999, Name of Inventor Peter Lauble  
(Lauble Peter)  
Date 14.4.1999, Name of Inventor Siegfried Forster  
(Forster Siegfried)  
Date 14.4.1999, Name of Inventor Holder Buehler  
(Buehler Holder)  
Date 30.4.1999, Name of Inventor Helmut Schwab  
(Schwab Helmut)

(This assignment should preferably be acknowledged before a United States Consul. If not, then the execution by the Inventor(s) should be witnessed by at least two witnesses who sign here.)

Witness Siegfried Forster  
Witness Elisabeth Weinz

## ACKNOWLEDGEMENT

\_\_\_\_\_  
\_\_\_\_\_ } ss

This \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_\_, before me  
personally came the above-named \_\_\_\_\_

to me personally known as the individual(s) who executed the foregoing assignment, who did acknowledge to me that he (they) executed the same of his (their) own free will for the purposes therein set forth.

SEAL \_\_\_\_\_  
Official Signature  
\_\_\_\_\_  
Official Title

The above application may be more particularly identified as follows:

U.S. Application Serial No. \_\_\_\_\_ Filing Date \_\_\_\_\_  
Applicant Reference Number \_\_\_\_\_ Atty Docket No. \_\_\_\_\_  
Title of Invention \_\_\_\_\_

The undersigned hereby grant(s) the firm of WENDEROTH, LIND & PONACK, L.L.P., 2033 K Street, N.W., Suite 800, Washington, DC 20006, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 30.4.99, Name of Inventor (Kratky Christoph) *Ch. Kratky*  
Date 3.05.1995, Name of Inventor (Wagner Ulrike) *Ulrike Wagner*  
Date 30.04.1999, Name of Inventor (Steiner Ernst) *Ernst Steiner*  
Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_  
Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_  
Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_

(This assignment should preferably be acknowledged before a United States Consul. If not, then the execution by the Inventor(s) should be witnessed by at least two witnesses who sign here.)

Witness *Elisabeth Heinz*  
Witness *Ingrid Ullrich*

### ACKNOWLEDGEMENT

\_\_\_\_\_  
\_\_\_\_\_ } ss

This \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_\_, before me  
personally came the above-named \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

to me personally known as the individual(s) who executed the foregoing assignment, who did acknowledge to me that he (they) executed the same of his (their) own free will for the purposes therein set forth.

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Applicant Reference Number \_\_\_\_\_ Atty Docket No. \_\_\_\_\_  
Title of Invention \_\_\_\_\_  
\_\_\_\_\_