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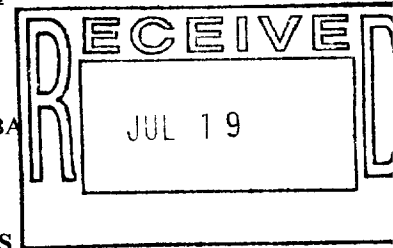


Docket No. 2016-4010US2

101093294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : JASON D. BANNAN, ET AL.
Serial No. : 09/335,581 Group Art Unit: TBA
Filed : June 18, 1999 Examiner: TBA
For : **PEPTIDES USEFUL FOR REDUCING SYMPTOMS
OF TOXIC SHOCK SYNDROME AND SEPTIC SHOCK**



RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks
BOX ASSIGNMENT
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:
JASON D. BANNAN
KUMAR VISVANATHAN
JOHN B. ZABRISKIE

2. Name and address of receiving party/parties:

Name The Rockefeller University

Internal Address:

Street Address: 1230 York Avenue

City New York State New York ZIP 10021-6399

Name

Internal Address:

Street Address:

City State ZIP

[] Additional names and addresses attached.

3. Name of conveyance: [X] Assignment [] Merger [] Security Agreement
[] Change of Name [] Other
Execution Date: July 7, 1999, July 13, 1999 and July 13, 1999, respectively.

07/20/1999 DNGUYEN 00000122 09335581

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PATENT
REEL: 010094 FRAME: 0038

4. Application Number(s) or Patent Number(s):

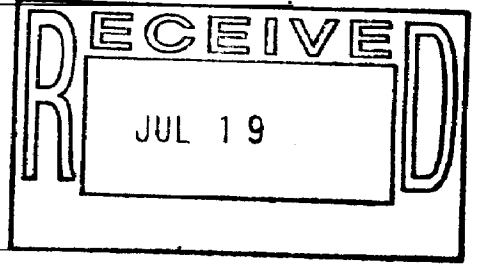
[] This document is being filed together with a new application which was executed on _____

[X] Patent Application No.(s) 09/335,581, filed June 18, 1999

[] Patent No.(s) _____

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
 345 Park Avenue
 New York, New York 10154

6. Total number of applications and patents involved: 17. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$ 40.00.[X] A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

[] Charge fee to Deposit Account No. 13-4500. Order No. _____.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 2016-4010US2.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,
 MORGAN & FINNEGAN, L.L.P.

Dated: July 14, 1999

By: Mary J. Morry
 Mary J. Morry
 Registration No. 34,398

CORRESPONDENCE ADDRESS:

Total number of pages including the recordation cover sheet 5.

MORGAN & FINNEGAN, L.L.P.
 345 Park Avenue
 New York, New York 10154
 (212) 758-4800
 (212) 751-6849 Facsimile

FORM: REC-PAT.NY
 Rev. 05/26/98

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

JASON D. BANNAN, 5713 Cedar Walk, No. 401, Centreville, Virginia 20121 (U.S.A.)KUMAR VISVANATHAN, 450 East 63rd Street, Apt. 4H, New York, New York 10021 (U.S.A.)JOHN B. ZABRISKIE, 1385 York Avenue, New York, New York 10021 (U.S.A.)

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

PEPTIDES USEFUL FOR REDUCING SYMPTOMS OF TOXIC SHOCK SYNDROME AND SEPTIC SHOCK

(title of discovery or invention)

☐ for which application for Letters Patent of the United States has been executed on even date herewith,☒ for which application for Letters Patent of the United States has been filed on June 18, 1999, under Serial No. 09/335,581, and

WHEREAS:

The Rockefeller University, 1230 York Avenue, New York, New York 10021-6399

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of One Dollar (\$ 1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, I will, at any time, when called upon to do so by the ASSIGNEE its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature: _____

Jason
First name

D.
Middle initial

Bannan
Last name

Citizenship: United States of America

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 7th day of July, 1999

STATE OF Virginia)
COUNTY OF Prince William) ss.: 449-73-9525

On this 7th day of July, 1999, before me, the undersigned authority, personally appeared

to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Carolyn S. Salata
Notary Public

My Commission expires August 31, 2002.
CAROLYN S. SALATA

Assignor's signature: KV-2
Kumar **Visvanathan**
 First name Middle initial Last name

Citizenship: Australian

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 13 day of July, 1999

STATE OF New York,
 COUNTY OF New York, ss.:

On this 13th day of July, 1999, before me, the undersigned authority, personally appeared

to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

GLORIA CHANG DIGENNARO
 Notary Public, State of New York
 No. 4884704
 Qualified in Westchester County
 Commission Expires Jan. 12, 2001

Assignor's signature: John B. Zabriskie
John **B.** **Zabriskie**
 First name Middle initial Last name

Citizenship: United States of America

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 13 day of July, 1999

STATE OF New York,
 COUNTY OF New York, ss.:

On this 13th day of July, 1999, before me, the undersigned authority, personally appeared

to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Notary Public
GLORIA CHANG DIGENNARO
 Notary Public, State of New York
 No. 4884704
 Qualified in Westchester County
 Commission Expires Jan. 12, 2001