

07-20-1999

EET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)



To the Honorable Commissioner of Patents

101094502

ed original documents or copy thereof.

1. Name of conveying party(ies):

Dong-Hwa KWAK, Yoo-Sang HWANG and  
Tae-Young CHUNGAdditional name(s) of conveying party(ies) attached? No

3. Nature of conveyance:

☒ Assignment                      ☐ Merger  
☐ Security Agreement           ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: June 28, 1999

2. Name and address of receiving party(ies):

Name: Samsung Electronics Co., Ltd.

Internal Address:

Street Address: 416, Maetan-dong, Paldal-gu,  
Kyungki-doCity: Suwon-city, State: REPUBLIC OF KOREA  
ZIP:Additional name(s) & address(es) attached? No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

June 28, 1999A. Patent Application No.(s) 09/346922

B. Patent No.(s)

Additional numbers attached? No5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: Eugene M. LeeInternal Address: FOLEY & LARDNERStreet Address: 3000 K Street, N.W., Suite 500City: Washington, State: D.C. ZIP: 20007-51096. Total number of applications and patents  
involved: 17. Total fee (37 C.F.R. § 3.41). . . . . \$40.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit account number: 19-0741(Attach duplicate copy of this page if paying by deposit  
account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of  
the original document.*Eugene M. Lee

Name of Person Signing

Signature

July 2, 1999

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 010094 FRAME: 0169

**ASSIGNMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto **Samsung Electronics Co., Ltd.**

name and  
address of  
assignee

**416, Maetan-dong, Paldal-gu, Suwon-city  
Kyungki-do, REPUBLIC OF KOREA**

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

title of  
invention

**SEMICONDUCTOR DEVICE WITH PILLAR SHAPED CAPACITOR STORAGE  
NODE AND METHOD OF FABRICATING THE SAME**

as set forth in his United States Patent Application

check one

- ☒ executed concurrently herewith  
☐ executed on \_\_\_\_\_  
☐ Serial No. \_\_\_\_\_ Filed \_\_\_\_\_

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **FOLEY & LARDNER** the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

<b>NAMES AND SIGNATURES OF INVENTORS</b>		
Name: <b>Dong-Hwa KWAK</b>	Signature: <i>Dong-Hwa Kwak</i>	Date: <i>1999.6.28</i>
Name: <b>Yoo-Sang HWANG</b>	Signature: <i>Yoo-Sang Hwang</i>	Date: <i>99.6.28</i>
Name: <b>Tae-Young CHUNG</b>	Signature: <i>Tae-Young Chung</i>	Date: <i>99.6.28</i>
Name:	Signature:	Date:
<b>NAMES AND SIGNATURES OF WITNESSES</b>		
Name:	Signature:	Date:
Name:	Signature:	Date:

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul