

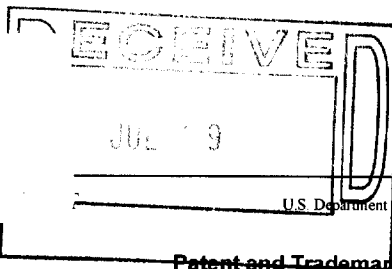
7.19.99

07-21-1999



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PATENTS ONLY



FORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

U.S. Department of Commerce

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Kenneth J. Balkus, Jr.
Mary E. Kinsel
Lisa L. Washmon

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Board of Regents, The University of
Texas System

Internal Address:

Street Address: 201 West 7th Street

City: Austin State: Texas Zip: 78701-2981

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Security Agreement

☐ Other

☐ Merger

☐ Change of Name

Execution Date: June 23, 1999; June 28, 1999; June 23, 1999

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/315,805

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael C. Barrett
Arnold White & Durkee
P.O. Box 4433

City: Houston State: Texas Zip: 77210

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)----- \$ 40

☒ Enclosed

☒ Authorized to be charged to deposit account
if check insufficient or inadvertently omitted

8. Deposit account number: 01-2508/UTSF:080/BAM
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael C. Barrett

Michael C. Barrett

7-15-99

Name of Person Signing, Reg. No. P-44,523

Signature

Date

Total number of pages including cover sheet, attachments and documents: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Office of Public Records,
Crystal Gateway 4, Room 335, Washington, D.C. 20231

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Office of Public Records, Crystal Gateway 4, Room 335, Washington, DC 20231, on the date below:

7-15-99

Date

Michael C. Barrett

Michael C. Barrett

07/20/1999 DNGUYEN 00000446 09310805

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40.00 OP

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

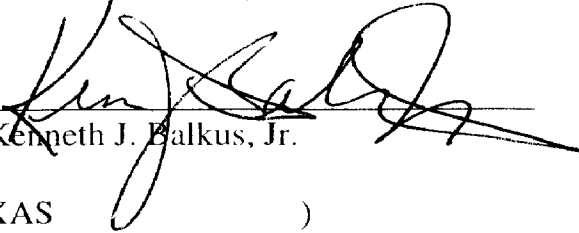
SELL, ASSIGN AND TRANSFER to Board of Regents, The University of Texas System (the "Assignee"), having a place of business at 201 West Seventh Street, Austin, Texas 78701-2981, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **09/315,805** which has been filed on **May 21, 1999** and is entitled "**PREPARATION OF LASER DEPOSITED ORIENTED FILMS AND MEMBRANES**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

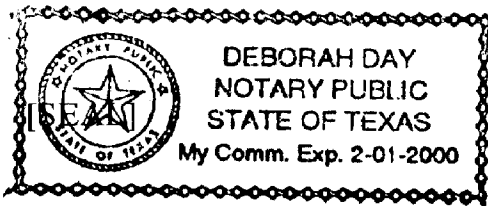
TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

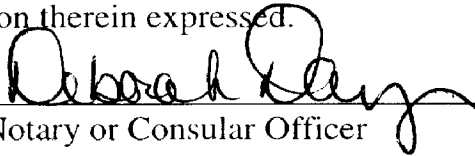
Signature: 
Name: Kenneth J. Balkus, Jr.

Date: 6-23-99

STATE OF TEXAS)
) ss.
COUNTY OF Collin)

BEFORE ME, the undersigned authority, on this 23rd day of June, 1999, personally appeared Kenneth J. Balkus, Jr., known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



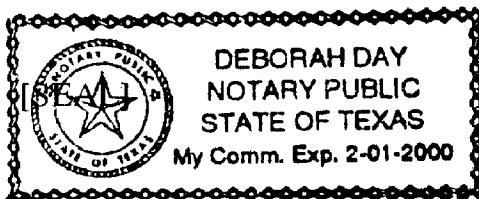

Notary or Consular Officer

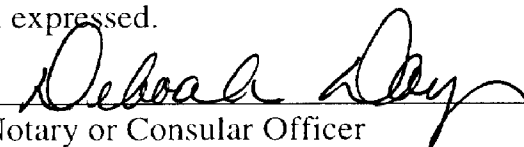
Signature: Mary E. Kinsel
Name: Mary E. Kinsel

Date: June 28, 1999

STATE OF TEXAS)
) ss.
COUNTY OF Collin)

BEFORE ME, the undersigned authority, on this 28th day of June, 1999, personally appeared Mary E. Kinsel, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.




Notary or Consular Officer

Signature: _____

Name: Lisa L. Washmon

Date: _____

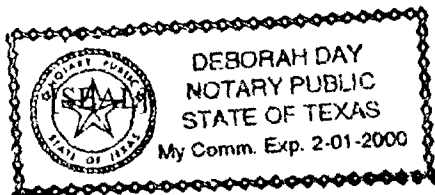
23 June 99

STATE OF TEXAS)

) ss.

COUNTY OF Collin)

BEFORE ME, the undersigned authority, on this 23rd day of June, 1999, personally appeared Lisa L. Washmon, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.



Notary or Consular Officer

P. O. Box 4433
Houston, Texas 77210

ARNOLD WHITE & DURKEE

One Franklin Square
1301 K Street, N.W.
Suite 350 East Tower
Washington, DC 20005