

07-23-1999

Docket No.: 20166/110495

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101099012

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies.

1. Name of conveying party(ies):

Hans Ulrich GONZENBACH  
Ulrich HUBER  
Rolf SCHWARZENBACH

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: F. HOFFMANN-LA ROCHE AG

Address: 124 Grenzacherstrasse

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: June 18, 1999 and June 25, 1999

City: CH-4070 Basle

State/Prov.: \_\_\_\_\_

Country: SWITZERLAND

ZIP: \_\_\_\_\_

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

June 18, 1999

June 25, 1999

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark E. Waddell

Registration No. 31,803

Address: BRYAN CAVE LLP

245 Park Avenue

City: New York

State/Prov.: NY

Country: USA

ZIP: 10167-0034

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed ; or, if check is missing or otherwise insufficient,  
authorized to be charged to deposit account 02-4467.  
A duplicate copy of this sheet is enclosed.

☐ Authorized to be charged to deposit account

8. Deposit account number:

02-4467

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin C. Hooper

Name of Person Signing

[Signature]  
Signature

July 13, 1999

Date

2

Total number of pages including cover sheet, attachments, and

# Assignment

WHEREAS, I/WE

**Hans Ulrich Gonzenbach, Ulrich Huber, Rolf Schwarzenbach**

the ASSIGNOR(S), have invented certain new and useful improvements in

## **LIGHT SCREENING COMPOSITION CONTAINING A POLYSILOXANE-TYPE UV-B**

### **SCREENING AGENT AND A BENZIMIDAZOL-TYPE SCREENING AGENT**

which are described and claimed in application for United States Letters Patent in respect of which a Declaration and Power of Attorney was executed on

June 18 and 25, 1999

which application is based upon the following foreign application(s)

**in Europe on July 16, 1998 under No. 98113311.9**

NOW, THEREFOR, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations to me (us) in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I/we have sold, assigned and set over, and by these presents do hereby sell, assign and set over unto

**F. Hoffmann-La Roche AG, a Swiss Company  
of 124 Grenzacherstrasse, CH-4070 Basle (Switzerland) the Assignee**

and the said Assignee's legal representatives, successors and assigns, the entire right, title and interest, in and to the said invention within the United States of America and its territories and possessions, and in and to said application and the Letters Patent of the United States of America that may be granted therefor, together with the right to extend the protection thereof to the various territories and possessions now owned or which may be acquired hereafter by the United States of America; and I/we do hereby request and authorize the Commissioner of Patents to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this assignment.

UPON SAID CONSIDERATION, I/we do hereby covenant and agree with the said Assignee that I/we will not execute any writing or do any act whatsoever conflicting with these presents, and that I/we will at any time upon request without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued, or extended Letters Patent of the United States of America on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s)

at **Vernier, Switzerland**

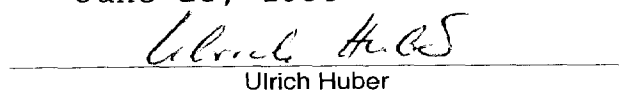
on **June 18, 1999**

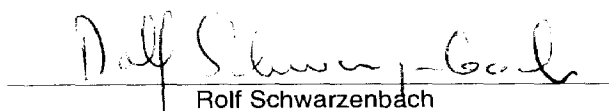
  
Hans Ulrich Gonzenbach

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s)

at **Dübendorf, Switzerland**

on **June 25, 1999**

  
Ulrich Huber

  
Rolf Schwarzenbach